

Term Algebras, Rewriting

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M2IF – Automated deduction

First order

terms

Signature: $(\mathcal{S}, \mathcal{F}, \tau)$

- \mathcal{S} : set $\neq \emptyset$ of **sorts**
- \mathcal{F} : set of **symbols**
- τ : function $\mathcal{F} \rightarrow \mathcal{S}^{\mathbb{N}_+}$,

$$f \in \mathcal{F} \mapsto s_1 \times \dots \times s_n \rightarrow s$$

n : **arity** of f

Arity 0: constants

First order

terms

$(\mathcal{S}, \mathcal{F}, \tau)$,

$X = \cup_{s \in \mathcal{S}} X_s$: set of variables

$\mathcal{T}(\mathcal{F}, X)$: **smallest set** such that

- $x \in X_s$ **term** of sort s
- $f \in \mathcal{F}$, $f : s_1 \times \dots \times s_n \rightarrow s$,
 $t_1 : s_1, \dots, t_n : s_n$ **terms**
then $f(t_1, \dots, t_n)$ **term** of sort s

Ground terms: $\mathcal{T}(\mathcal{F}, \emptyset)$

First order

subterms

Terms seen as trees \rightsquigarrow positions

Subterm of t at position p , $t|_p$, defined by set of positions:

$$\{q \in \mathbb{N}_+^* \mid p \cdot q \in \text{Pos}(t)\} \quad t|_p(q) = t(p \cdot q)$$

Subterm relation: $t \triangleright s$ if $\exists p \neq \Lambda$ such that $t|_p = s$ (proper subterm)

For $t|_p$ ($p \in \text{Pos}(t)$) and u of same sort, **Replacement** $t[u]_p$, defined by:

$$\{q \in \mathbb{N}_+^* \mid q \in \text{Pos}(t) \wedge p \not\prec_{\text{pref.}} q\} \cup \{p \cdot q \mid q \in \text{Pos}(u)\}$$

$$t[u]_p(q) = t(q) \quad \text{if } q \in \text{Pos}(t) \wedge p \not\prec_{\text{pref.}} q$$

$$t[u]_p(p \cdot q) = u(q)$$

First order

substitutions

Substitution: application $X \rightarrow \mathcal{T}(\mathcal{F}, X)$ respecting sorts

Usually: identity except on a finite set

Notation **postfix:** $\sigma(x) \rightsquigarrow x\sigma$

Extended to terms by unique $H_\sigma : \mathcal{T}(\mathcal{F}, X) \rightarrow \mathcal{T}(\mathcal{F}, X)$

- $H_\sigma(x) = x$ if x not in σ 's domain
- $H_\sigma(x) = x\sigma$ if x in σ 's domain
- $H_\sigma(f(s_1, \dots, s_m)) = f(H_\sigma(s_1), \dots, H_\sigma(s_m))$ if $f(s_1, \dots, s_m) \in \mathcal{T}(\mathcal{F}, X)$

Renaming: equivalence relation

akin to α -conversion

$$\sigma = \{x_1 \mapsto y_1, \dots, x_n \mapsto y_n\} \quad y_i \text{ pairwise distincts}$$

First order

substitutions

Abuse: substitution \rightsquigarrow extended substitution

Matching: for $s t$ terms, finding σ such that $s\sigma = t$

Unification: for $s t$ terms, finding σ such that $s\sigma = t\sigma$

- Decidable (1st order, e.g. Robinson)
- If unifiable: unique most general unifier (vanilla)

A hint of semantics

\mathcal{F} -algebra

For $(\mathcal{S}, \mathcal{F}, \tau)$ a signature, **\mathcal{F} -algebra:**

- Support $A_s \neq \emptyset$ for each $s \in \mathcal{S}$
- Application $f_A : A_{s_1} \times \dots \times A_{s_n} \rightarrow A_s$
for all $f \in \mathcal{F}, f : s_1 \times \dots \times s_n \rightarrow s$

$\mathcal{T}(\mathcal{F}, X)$ \mathcal{F} -algebra

For $A B$ \mathcal{F} -algebras, **homomorphism** from A to B :

set of applications $h_s : A_s \rightarrow B_s$ such that for all $f : s_1 \times \dots \times s_n \rightarrow s$

$$\forall a_1, \dots, a_n \in A, \quad h_s(f_A(a_1, \dots, a_n)) = f_B(h_{s_1}(a_1), \dots, h_{s_n}(a_n))$$

A -assignment: homomorphism from $\mathcal{T}(\mathcal{F}, X)$ to A

Congruence (equi. relation compatible with A) \rightsquigarrow Quotient

A hint of semantics

\mathcal{F} -algebra

Equation: pair of terms of same sort, $s = t$

set of equations E

Model: A \mathcal{F} -algebra, $A \models E$ if $\forall s = t \in E, \forall A$ -assignment $\sigma, s\sigma = t\sigma$

$=_E$ smallest congruence on $\mathcal{T}(\mathcal{F}, X)$ such that $\forall \sigma, \forall s = t \in E, s\sigma =_E t\sigma$

$s = t$ an equation, **word problem** related to $s = t$: $E \models^? s = t$

To solve it: **equational reasoning**

Starting from E , uses of: *Reflexivity, Symmetry, Transitivity, Replacement*

If derivation: $E \vdash s = t$

[(Birkoff)] if at least a ground term per sort,

$$E \vdash s = t \Leftrightarrow E \models s = t \Leftrightarrow s =_E t$$

Term Rewriting

system, relation

Rewriting rule: couple of terms $s \rightarrow t$

(oriented equation)

$$s \xrightarrow[l \rightarrow r, \sigma]{p} t \quad \text{if} \quad s|_p \equiv l\sigma \quad t \equiv s[r\sigma]_p$$

Rewriting system: set of rules

Relation $\xrightarrow[R]{}$: $s \xrightarrow[R]{p} t$ iff $\exists l \rightarrow r \in R, \exists p \in \text{Pos}(s), \exists \sigma, s \xrightarrow[l \rightarrow r, \sigma]{p} t$

Actually, system extension by *monotony* and *stability*

Reflexive/transitive closure: $\xrightarrow[R]{*}$

Transitive closure: $\xrightarrow[R]{+}$

Converse: $\xleftarrow[R]{}$

Reflexive/symmetric/transitive: $\xleftrightarrow[R]{*}$

Term Rewriting

example

Binary arithmetics

Encoding of 6 : #110

- Variables: $X = \{x ; y \dots\}$
- Signature: $\mathcal{F} = \{\# ; 0 ; 1 ; +\}$ (0 and 1 postfix unary, + infix binary)

• Set of rules:

$$\left\{ \begin{array}{ll} \#0 & \rightarrow \# \\ \# + x & \rightarrow x \quad x + \# \rightarrow x \\ x0 + y0 & \rightarrow (x + y)0 \quad x1 + y0 \rightarrow (x + y)1 \\ x0 + y1 & \rightarrow (x + y)1 \quad x1 + y1 \rightarrow ((x + y) + \#1)0 \end{array} \right.$$

Relation \rightarrow **monotone**: if $s \rightarrow t$ then $C[s] \rightarrow C[t]$

stable: if $s \rightarrow t$ then $s\sigma \rightarrow t\sigma$

Term Rewriting

example

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Term Rewriting

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Term Rewriting

properties

Computing power: Turing complete

Questions:

- **Existence** of result \rightsquigarrow termination
- **Unicity** of result \rightsquigarrow confluence, convergence

Term Rewriting

termination

Questions:

- **Existence** of result \rightsquigarrow termination
- **Unicity** of result \rightsquigarrow confluence, convergence

t **normal form** for R : **no** u such that $t \xrightarrow{R} u$

t **normal form** of s for R : **no** u such that $t \xrightarrow{R} u$ and $s \xrightarrow{R}^* t$
 $\rightsquigarrow s$ **normalisable**

System **normalising**: every term normalisable

System **strongly** normalising: every **derivation** \rightsquigarrow normal form
(every term accessible for \xrightarrow{R})

Term Rewriting

confluence

Questions:

- Existence of result \rightsquigarrow terminaison
- **Unicity** of result \rightsquigarrow confluence, convergence

$$R \text{ Church-Rosser: } u \xleftrightarrow{*} v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$$

$$R \text{ confluent: } u \leftarrow^* s \rightarrow^* v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$$

$$R \text{ locally confluent: } u \leftarrow s \rightarrow v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$$

Term Rewriting

confluence

Example.

$$\begin{aligned} x + 0 &\rightarrow x \\ x + x^{-1} &\rightarrow 0 \\ (x + y) + z &\rightarrow x + (y + z) \end{aligned}$$

$$x + (x^{-1} + z) \leftarrow (x + x^{-1}) + z \rightarrow 0 + z$$

Not confluent

Term Rewriting

confluence

Questions:

- Existence of result \rightsquigarrow terminaison
- **Unicity** of result \rightsquigarrow confluence, convergence

$$R \text{ Church-Rosser: } u \xleftrightarrow{*} v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$$

$\Updownarrow?$

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Term Rewriting

confluence

$$R \text{ Church-Rosser: } u \xleftrightarrow{*} v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$$

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~~\Updownarrow~~

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Theorem. (Lemme de Newman)

Local confluence \Leftrightarrow confluence for **strongly normalising** relations

Term Rewriting

Decidability

Given: finite system R

Question: R confluent?

Undecidable

confluence

(red. word pb.)

Term Rewriting

Critical pair : $r\rho\sigma = (l\rho[d]_p)\sigma$ where

- $l \rightarrow r \in R, \quad g \rightarrow d \in R$
- p position **non variable** of l
- ρ renaming of l
- σ most general unifier of $l|_p$ and g

(rule superposition)

Set of critical pairs of R : $CP(R)$

Theorem.

Local confluence of pairs of $CP(R) \Leftrightarrow$ locale confluence of R

\rightsquigarrow Decidable for strongly normalising finite systems

Termination

Fundamental property:

- Inductions,
- Totality of functions,
- Preliminary,
- Selfstabilisation, liveness, etc.

In this lecture: [first order term rewriting](#)

Enough? Turing complete (cfr poly)

overview

Termination

Automation? \rightsquigarrow correct, incomplete...

Always difficult

- $f(f(x)) \rightarrow f(x)$
- $f(a, b, x) \rightarrow f(x, x, x)$

overview

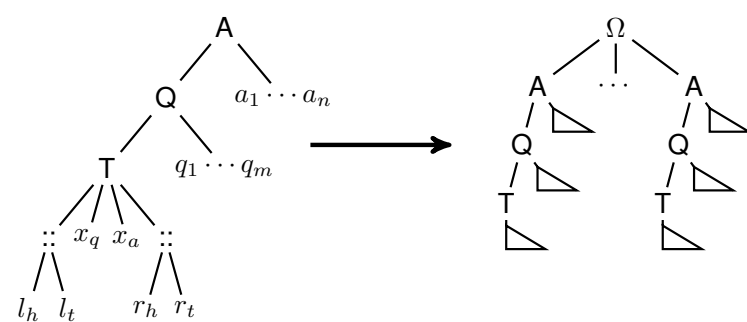
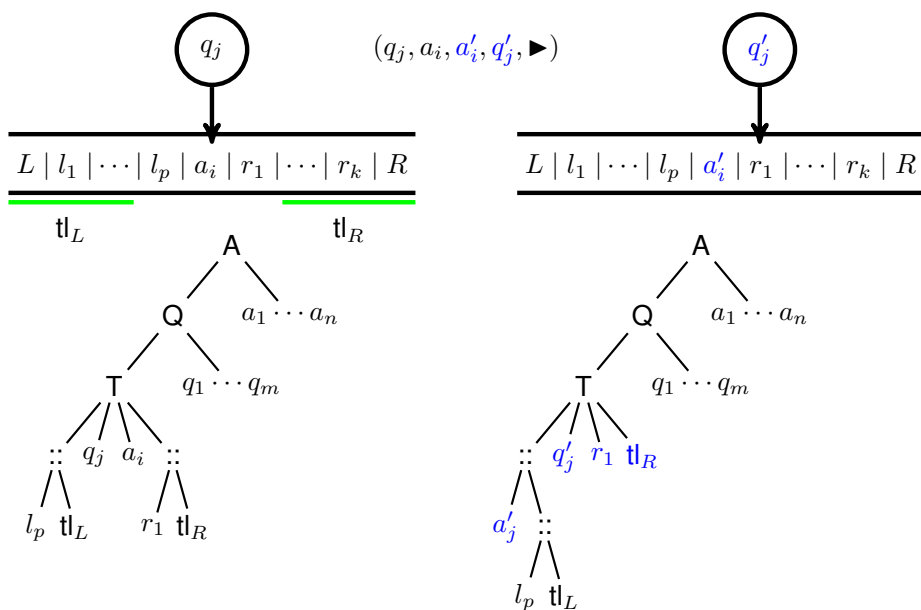
$ef(x)[y]_{\varepsilon}$	\rightarrow	$ef(x[y]_{\varepsilon})$	$f \vee f$	\rightarrow	f
$Pe(x)[y]_{\varepsilon}$	\rightarrow	$Pe(x[y]_{\varepsilon})$	$f \Rightarrow g$	\rightarrow	$\neg(f) \vee g$
$(f \vee g)[s]_{\varepsilon}$	\rightarrow	$(f[s]_{\varepsilon}) \vee (g[s]_{\varepsilon})$	$\exists(f)$	\rightarrow	$\neg(\neg(\neg(f)))$
$\neg(f)[s]_{\varepsilon}$	\rightarrow	$\neg(f[s]_{\varepsilon})$	$(a, \{\varepsilon\neg(f)\}) \vdash b$	\rightarrow	$a \vdash (\{\varepsilon f\}, b)$
$(f \wedge g)[s]_{\varepsilon}$	\rightarrow	$(f[s]_{\varepsilon}) \wedge (g[s]_{\varepsilon})$	$\{\varepsilon\neg(f)\} \vdash b$	\rightarrow	$\nabla \vdash (b, \{\varepsilon f\})$
$x[i]_{\varepsilon} d$	\rightarrow	x	$a \vdash (\{\varepsilon\neg(f)\}, b)$	\rightarrow	$(a, \{\varepsilon f\}) \vdash b$
$(f \Rightarrow g)[s]_{\varepsilon}$	\rightarrow	$(f[s]_{\varepsilon}) \Rightarrow (g[s]_{\varepsilon})$	$a \vdash \{\varepsilon\neg(f)\}$	\rightarrow	$(a, \{\varepsilon f\}) \vdash \nabla$
$1[x \cdot s]_{\varepsilon}$	\rightarrow	x	$(a, \{\varepsilon f \wedge g\}) \vdash b$	\rightarrow	$(a, \{\varepsilon f\}, \{\varepsilon g\}) \vdash b$
$\exists(f)[s]_{\varepsilon}$	\rightarrow	$\exists(f[1 \cdot (s \circ \uparrow)])_{\varepsilon}$	$\{\varepsilon f \wedge g\} \vdash b$	\rightarrow	$(\{\varepsilon f\}, \{\varepsilon g\}) \vdash b$
$f[i]_{\varepsilon} d$	\rightarrow	f	$a \vdash (\{\varepsilon f \vee g\}, b)$	\rightarrow	$a \vdash (\{\varepsilon f\}, \{\varepsilon g\}, b)$
$\forall(f)[s]_{\varepsilon}$	\rightarrow	$\forall(f[1 \cdot (s \circ \uparrow)])_{\varepsilon}$	$a \vdash \{\varepsilon f \vee g\}$	\rightarrow	$a \vdash (\{\varepsilon f\}, \{\varepsilon g\})$
$(f[s]_{\varepsilon})[t]_{\varepsilon}$	\rightarrow	$f[s \circ t]_{\varepsilon}$	$\{s a \vdash (\{\varepsilon f \wedge g\}, b)\}$	\rightarrow	$\{s a \vdash (\{\varepsilon f\}, b)\} \bullet \{s a \vdash (\{\varepsilon g\}, b)\}$
$(x[s]_{\varepsilon})[t]_{\varepsilon}$	\rightarrow	$x[s \circ t]_{\varepsilon}$	$\{s a \vdash \{\varepsilon f \wedge g\}\}$	\rightarrow	$\{s a \vdash \{\varepsilon f\}\} \bullet \{s a \vdash \{\varepsilon g\}\}$
$id \circ s$	\rightarrow	s	$\{s(a, \{\varepsilon f \vee g\}) \vdash b\}$	\rightarrow	$\{s(a, \{\varepsilon f\}) \vdash b\} \bullet \{s(a, \{\varepsilon g\}) \vdash b\}$
$\uparrow \circ (x \cdot s)$	\rightarrow	s	$\{s\{\varepsilon f \vee g\} \vdash b\}$	\rightarrow	$\{s\{\varepsilon f\} \vdash b\} \bullet \{s\{\varepsilon g\} \vdash b\}$
$(s \circ t) \circ u$	\rightarrow	$s \circ (t \circ u)$	$\{s(a, \{\varepsilon f\}) \vdash (\{\varepsilon f\}, b)\}$	\rightarrow	\diamond
$(x \cdot s) \circ t$	\rightarrow	$(x[t]_{\varepsilon}) \cdot (s \circ t)$	$\{s(a, \{\varepsilon f\}) \vdash \{\varepsilon f\}\}$	\rightarrow	\diamond
$s \circ id$	\rightarrow	s	$\{s\{\varepsilon f\} \vdash (b, \{\varepsilon f\})\}$	\rightarrow	\diamond
$1 \cdot \uparrow$	\rightarrow	id	$\{s\{\varepsilon f\} \vdash \{\varepsilon f\}\}$	\rightarrow	\diamond
$(1[s]_{\varepsilon}) \cdot (\uparrow \circ s)$	\rightarrow	s	$\{s a \vdash b\} \bullet \{s(a, f) \vdash (g, b)\}$	\rightarrow	$\{s a \vdash b\}$
a, ∇	\rightarrow	a	$\{s a \vdash b\} \bullet \{s(a, f) \vdash b\}$	\rightarrow	$\{s a \vdash b\}$
a, a	\rightarrow	a	$\{s a \vdash b\} \bullet \{s a \vdash (b, f)\}$	\rightarrow	$\{s a \vdash b\}$
$a \bullet \diamond$	\rightarrow	a	$\{s a \vdash \nabla\} \bullet \{s(a, f) \vdash b\}$	\rightarrow	$\{s a \vdash \nabla\}$
$a \bullet a$	\rightarrow	a	$\{s a \vdash (b, f)\} \bullet \{s \nabla \vdash b\}$	\rightarrow	$\{s \nabla \vdash b\}$
$\neg(\neg(f))$	\rightarrow	f	$\{s a \vdash b\} \bullet \{s \nabla \vdash b\}$	\rightarrow	$\{s \nabla \vdash b\}$
$f \wedge f$	\rightarrow	f	$\{s a \vdash b\} \bullet \{s a \vdash \nabla\}$	\rightarrow	$\{s a \vdash \nabla\}$
			$\{s a \vdash b\} \bullet \{s \nabla \vdash \nabla\}$	\rightarrow	$\{s \nabla \vdash \nabla\}$

Termination

Automation? \rightsquigarrow correct, incomplete...

Always difficult

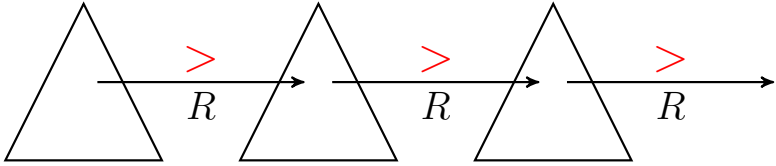
- $f(f(x)) \rightarrow f(x)$
- $f(a, b, x) \rightarrow f(x, x, x)$
- > 50 rules + equational theories
- > 1800 rules (> 1000 symbols)
- $$\begin{cases} a(a(x)) \rightarrow b(c(x)) \\ b(b(x)) \rightarrow a(c(x)) \\ c(c(x)) \rightarrow a(b(x)) \end{cases}$$
- Syracuse...



Termination

proof

Inclusion $\rightarrow_R \subseteq > : s > t$ for all $s \rightarrow_R t$



Infinitely many $s \rightarrow t \rightsquigarrow$ automation?

Test finite?

Termination

Manna-Ness

Idea: ordering on rules stable through closures giving relation

Theorem. (Lankford)

- R a TRS $\{l_1 \rightarrow r_1, \dots, l_n \rightarrow r_n\}$,
- $<$ such that $WF(<)$, $<$ stable and monotone,

then $(\forall i, l_i > r_i) \Rightarrow SN(\rightarrow_R)$.

Ex.

$$\left\{ \begin{array}{l} x + 0 \rightarrow x \\ x + s(y) \rightarrow s(x + y) \end{array} \right\} \quad \llbracket s \rrbracket >_e \llbracket t \rrbracket \text{ with } \begin{array}{l} \llbracket 0 \rrbracket = 1 \\ \llbracket s \rrbracket(x) = x + 1 \\ \llbracket + \rrbracket(x, y) = x + 2y \end{array}$$

$$\llbracket x + 0 \rrbracket = x + 2 >_e \llbracket x \rrbracket = x$$

$$\llbracket x + s(y) \rrbracket = x + 2y + 2 >_e \llbracket s(x + y) \rrbracket = x + 2y + 1$$

Termination

Manna-Ness

Idea: ordering on rules stable through closures giving relation

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Heavy constraints:

- Complexity (\rightsquigarrow relation),
- Orderings

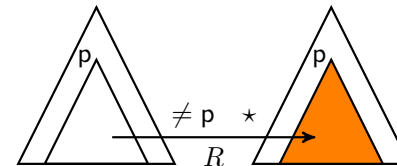
In practice: simplification orderings

(easier for WF)

$f(f(x)) \rightarrow f(g(f(x)))$? stuck!

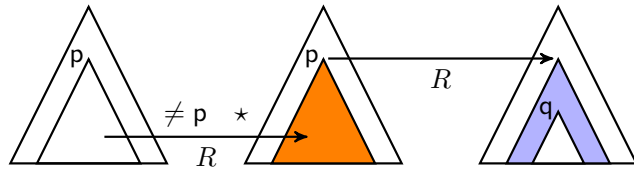
Termination

DP



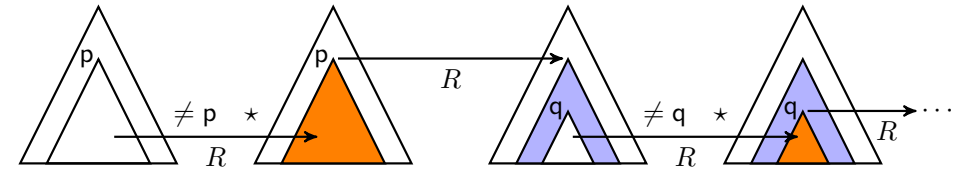
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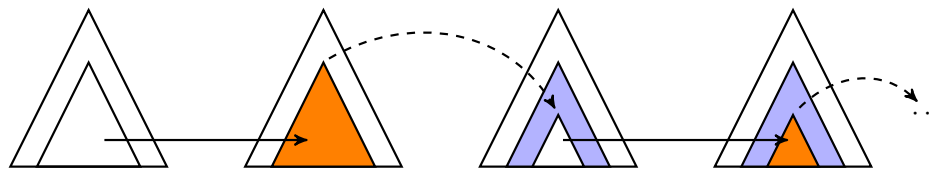
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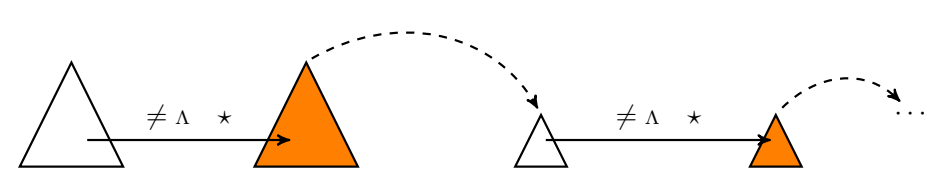
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Termination

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