

# **Modélisation du développement des couplages Homme/Homme et Homme/robot**

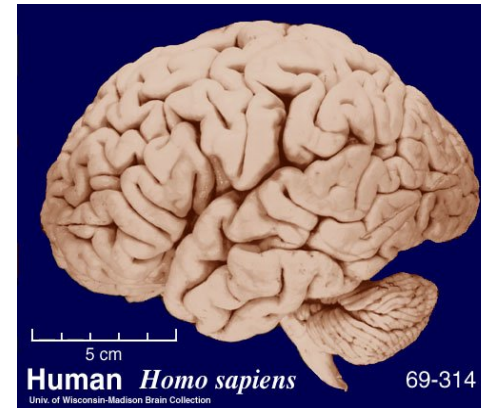
**P. Gaussier**

**Dans notre labo. :**

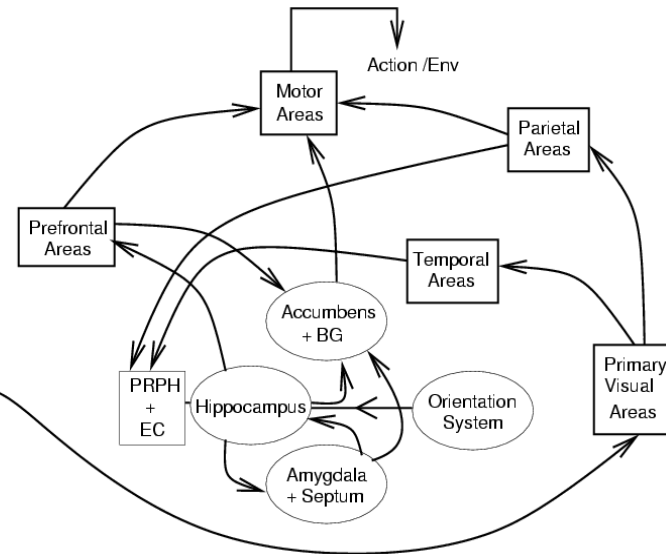
**approche Neuro-  
cybernetique des  
sciences cognitives**

**Les robots comme outils  
pour modéliser le cerveau**

# Cognition, cerveau, neurones...



- Développement
- Interactions sociales



Les limites d'une approche réductionniste...

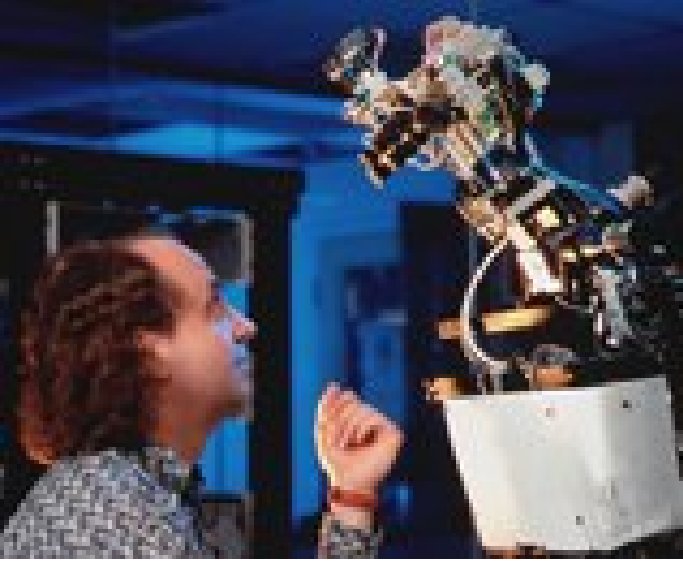
Equipe neurocybernétique, Université de Cergy Pontoise

# Laboratoire « Virtuel »

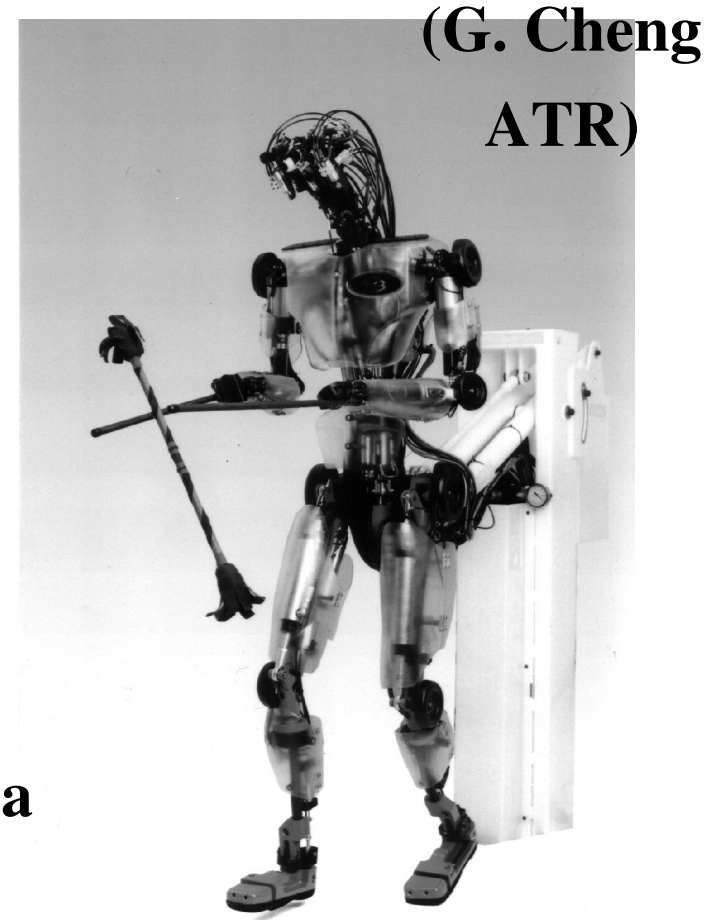
Travail en collaboration avec:

- Jean Paul Banquet : modélisation neurobiologique
- Bruno Poucet (Marseille 3C): neurobiologie
- Jacqueline Nadel: psycho pathologie du développement
- Projet européen Felix growing (L. Canamero)

# Les robots humanoïdes

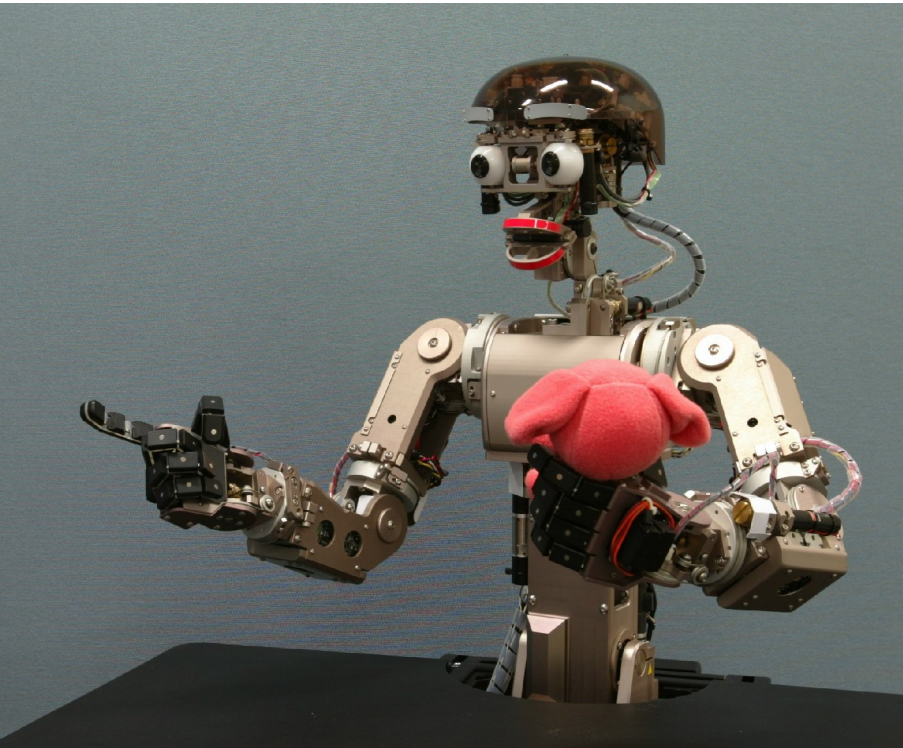


**Cog**  
**(R. Brooks**  
**MIT)**



**(G. Cheng**  
**ATR)**

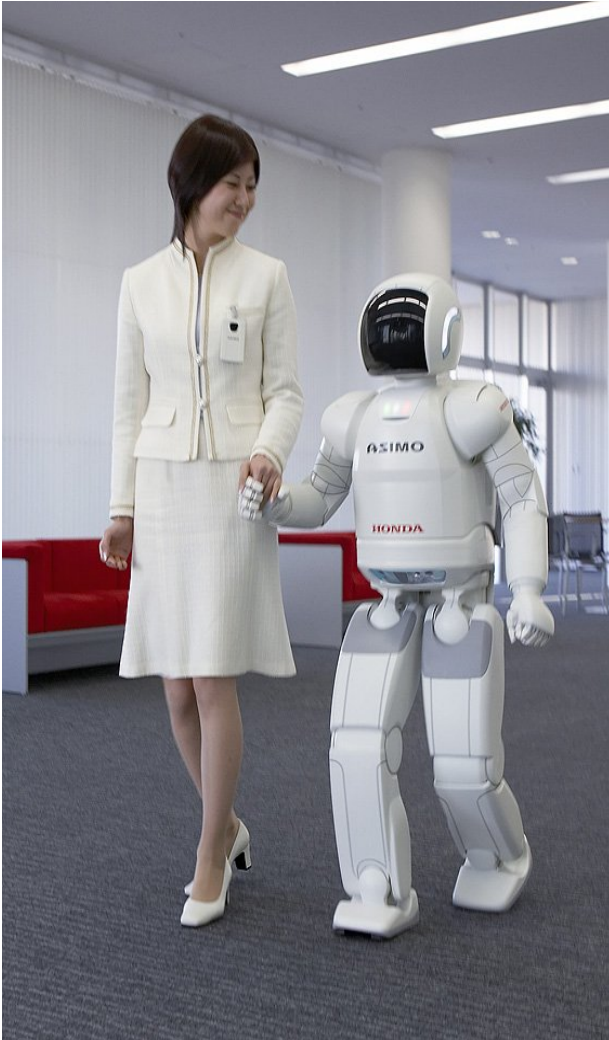
**Infanoid**  
**(I. Kosima**  
**NICT)**



...



# Les robots quel type d'artefact?



Des ordinateurs champions d'échecs  
Mais des robots qui ne savent pas très bien  
tenir debout ni mettre la table !!

# Qu'est ce que la Perception ?

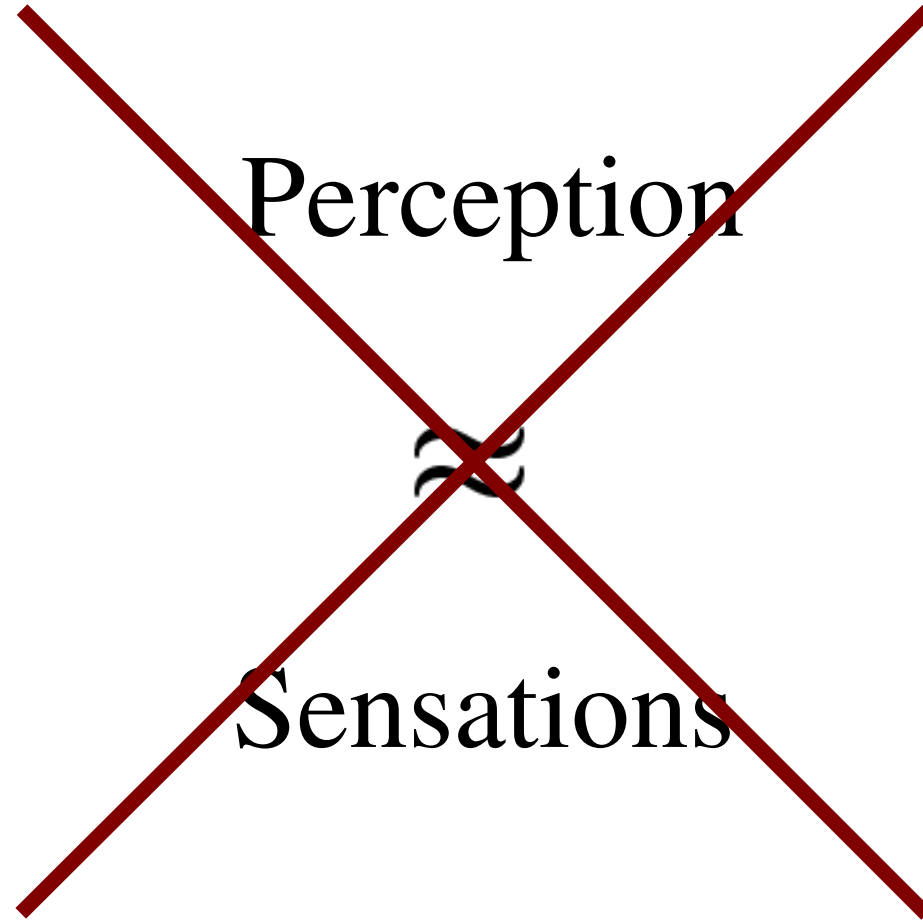
Perception

≈

Sensations

?

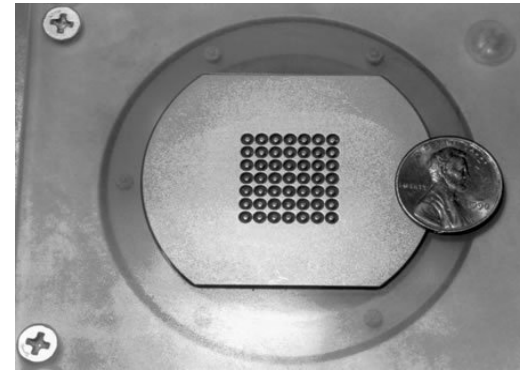
# Qu'est ce que la Perception ?





# Qu'est ce que la Perception ?

- Experiences en psychologie : Bach-y-Rita 1970



JRRD

Bach-y-Rita

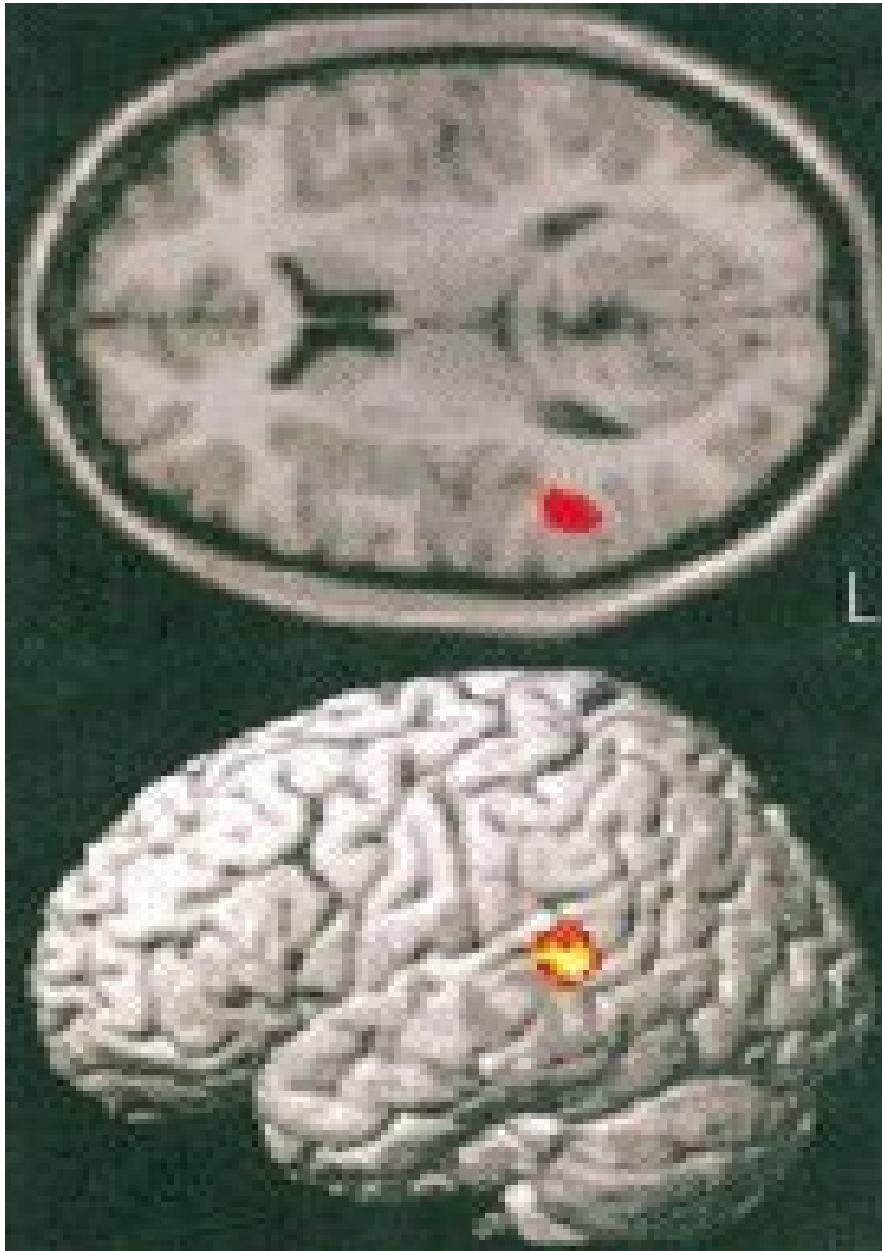
<http://www.vard.org/jour/98/35/4/bachr354.htm>

- > Très mauvaises performances sans action
- > Exteriorisation de la perception si action

Quel est le bon niveau pour  
étudier et modéliser les  
mécanismes cognitifs?



# Imagerie médicale (PET, MRI...)

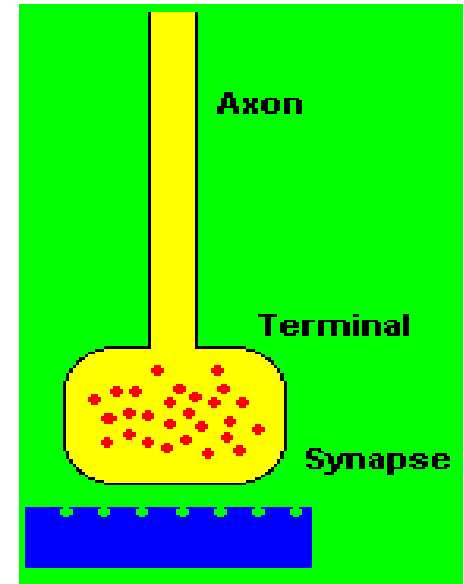
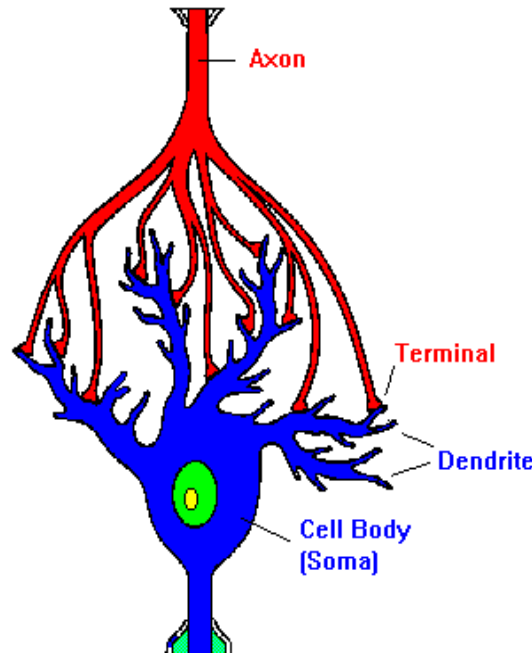
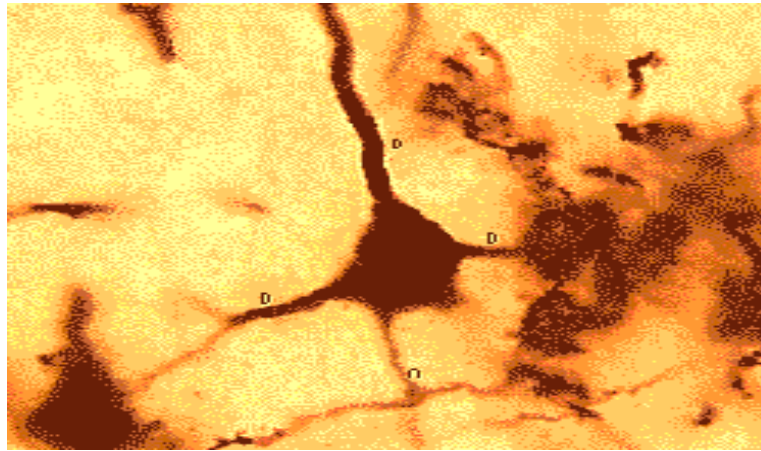


Activité différentielle  
de certaines structures  
cérébrales

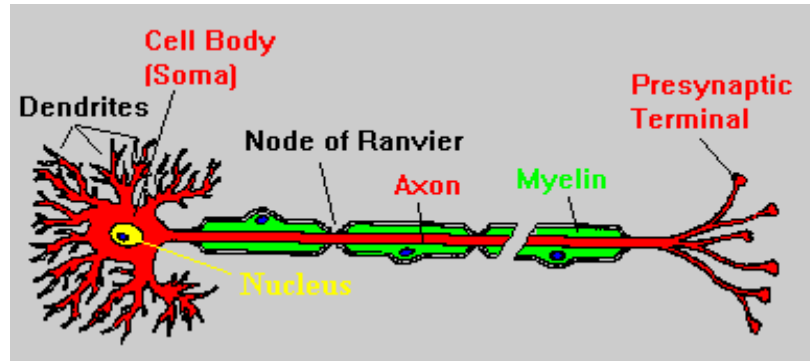
(ici durant une tâche  
d'imitation)

[Decety et al. 02]

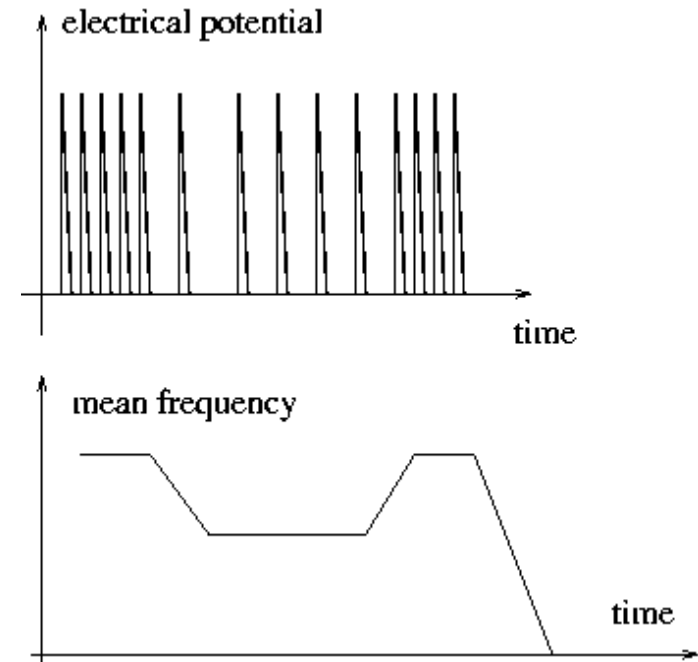
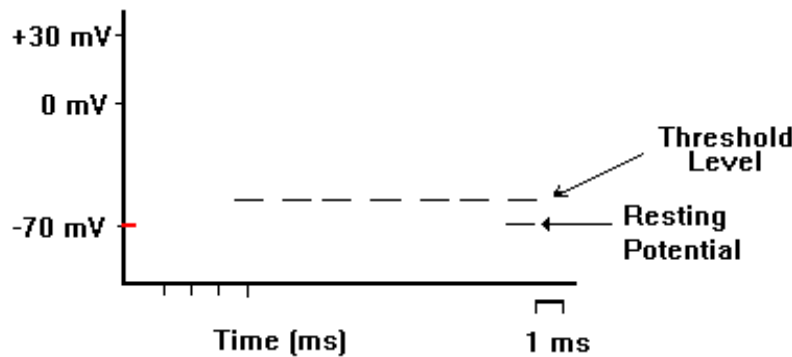
# Ou au niveau neuronal ?



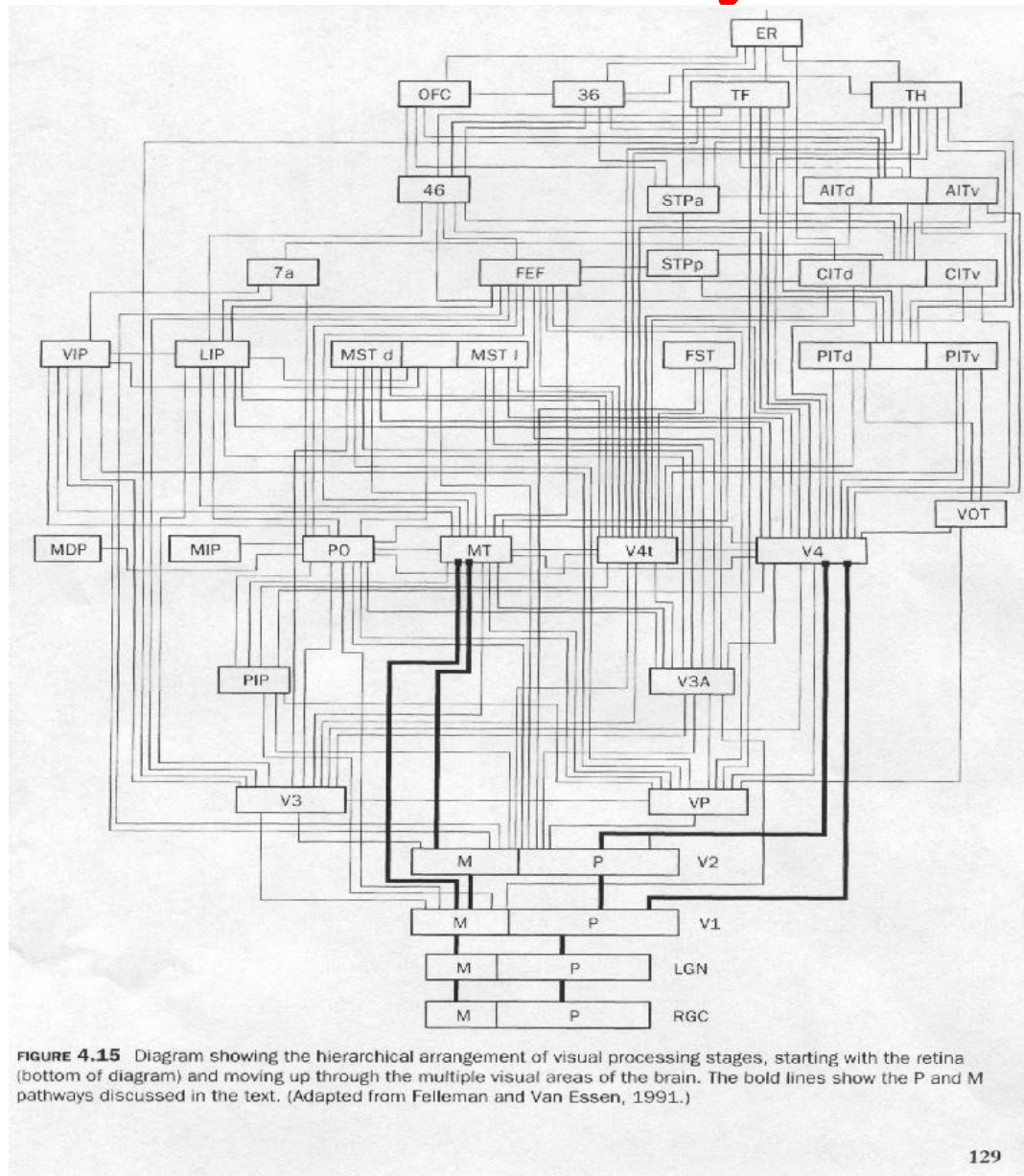
# Modéliser l'activité neuronale?



## Potentiels d'action



# Anatomie du système visuel



**FIGURE 4.15** Diagram showing the hierarchical arrangement of visual processing stages, starting with the retina (bottom of diagram) and moving up through the multiple visual areas of the brain. The bold lines show the P and M pathways discussed in the text. (Adapted from Felleman and Van Essen, 1991.)

Syst. Visuel  
des Primates

Trop  
complexe?

Comment  
tester un  
modèle à  
ce niveau?



# Comment accéder au sens?

## Y a t'il un code?

### Pas de convention pré établie

### Processus analogiques

/

### non discrétisables



# Notre approche

Trouver un modèle minimal justifié par des arguments théoriques forts:

- Prendre en compte un **minimum** de structures biologiques,
- Essayer de comprendre ce qu'apporte une entrée ou structure donnée pour un comportement global (sinon pas pris en compte),
- Utiliser les robots pour tester les implications comportementales d'un modèle (preuve par l'échec!)

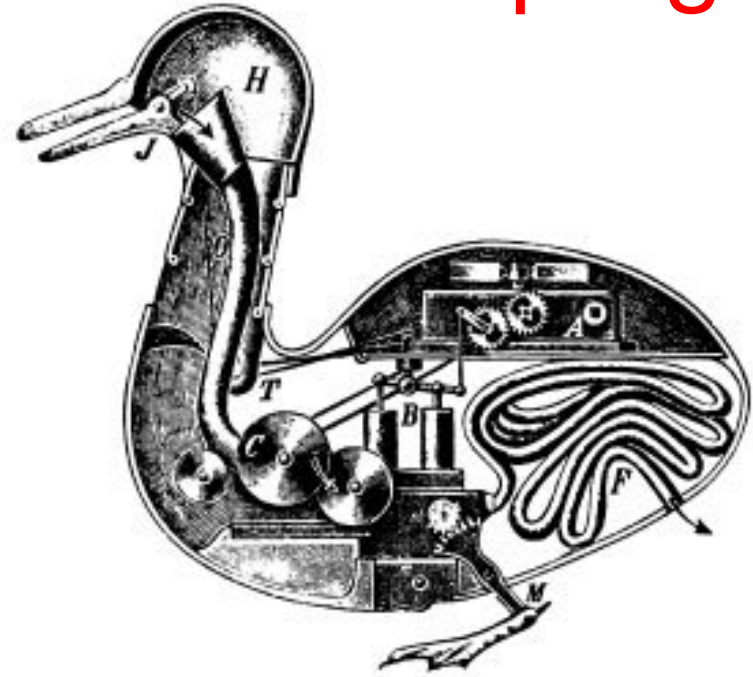
# PLAN

1. Notion de perception en tant que propriétés « émergentes »  
=> couplages avec le monde physique
2. L'imitation
3. Les interactions (problèmes et intérêt)
4. Artefacts et émotions
5. Conclusion

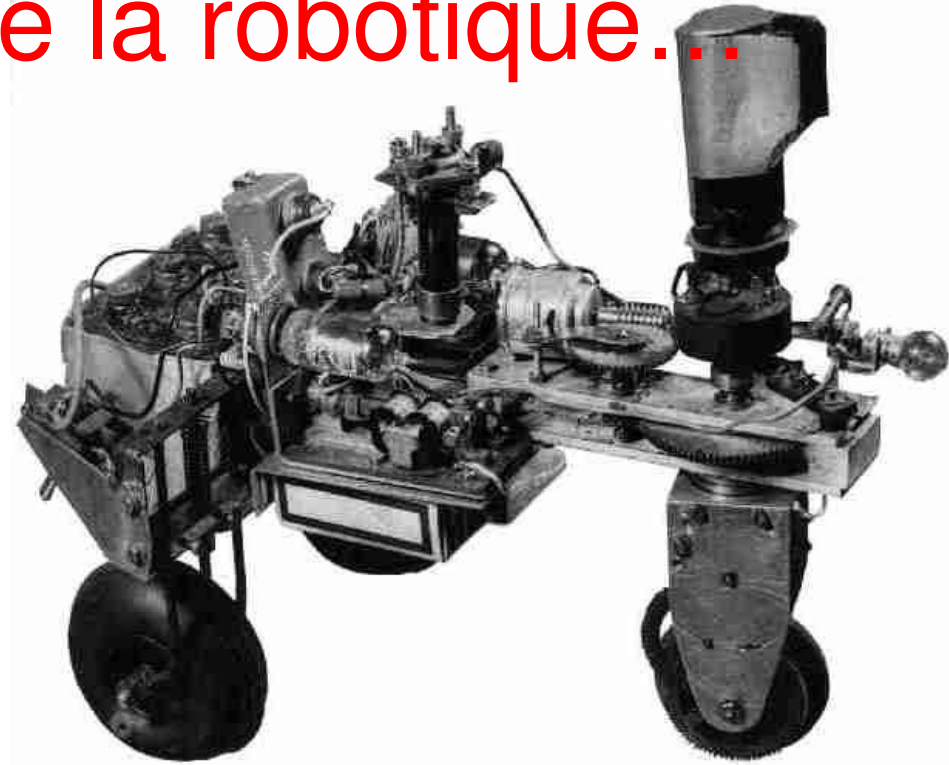
# PARTIE 1

## Etudes comportementales de petits systèmes sensori-moteurs

# Les progrès de la robotique...

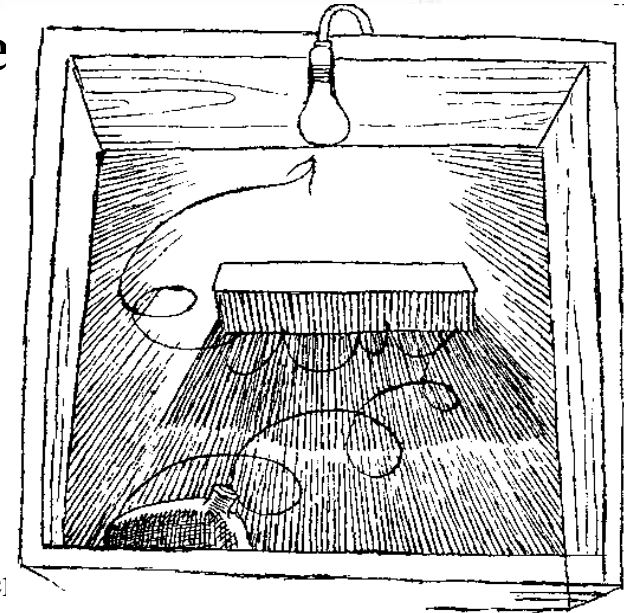


**Canard de  
Vaucanson 1739**



**Tortue électronique  
G Walter 1950**

Pas si loin des robots d'aujourd'hui...  
(même en performances!)



# Véhicules de Braitenberg

- Phototaxie: attraction par la lumière
- Evitement d'obstacles
- ...

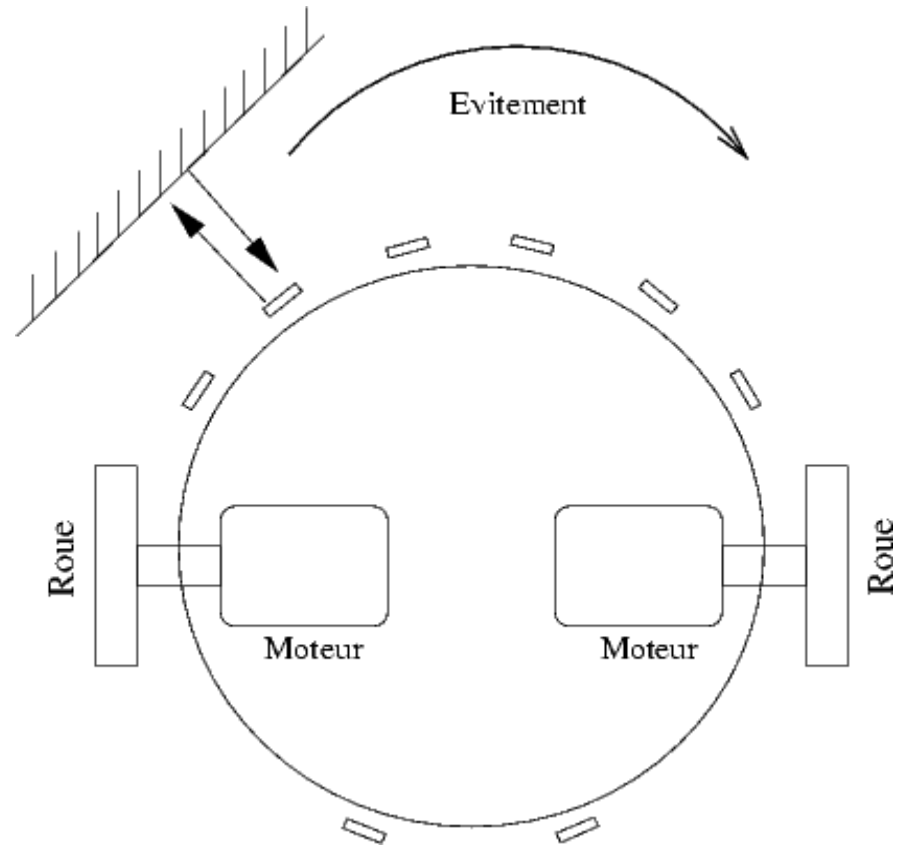
Un réseau de neurones minimal:

- N capteurs
- 2 moteurs



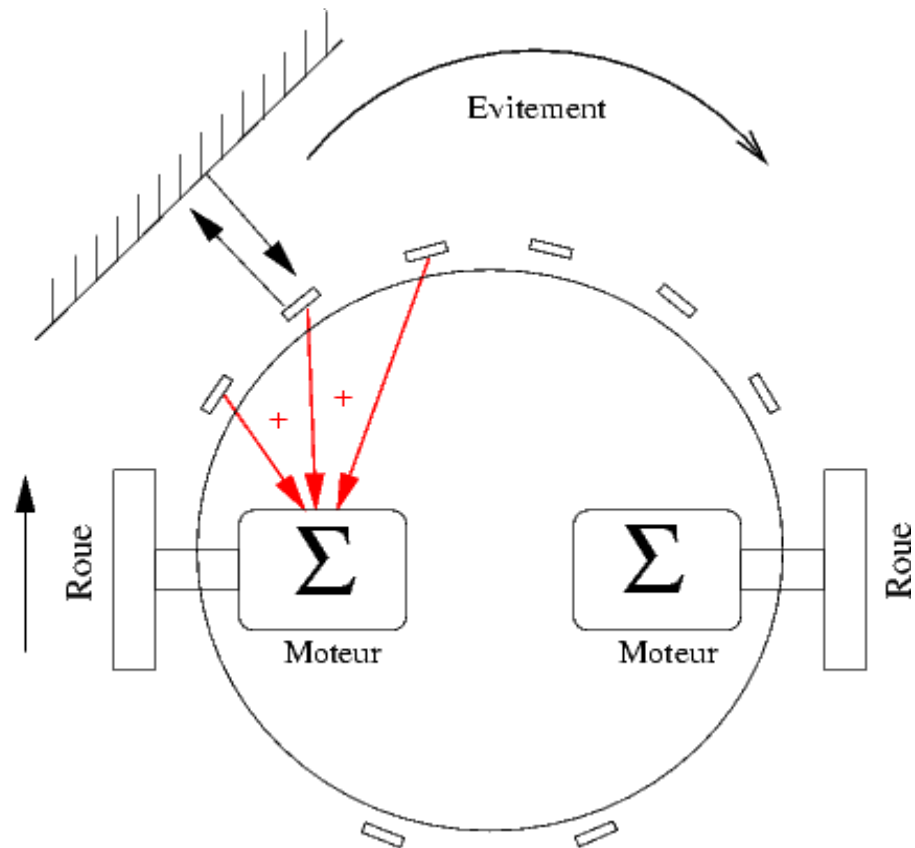
# Véhicules de Braitenberg

## Evitement d'obstacle



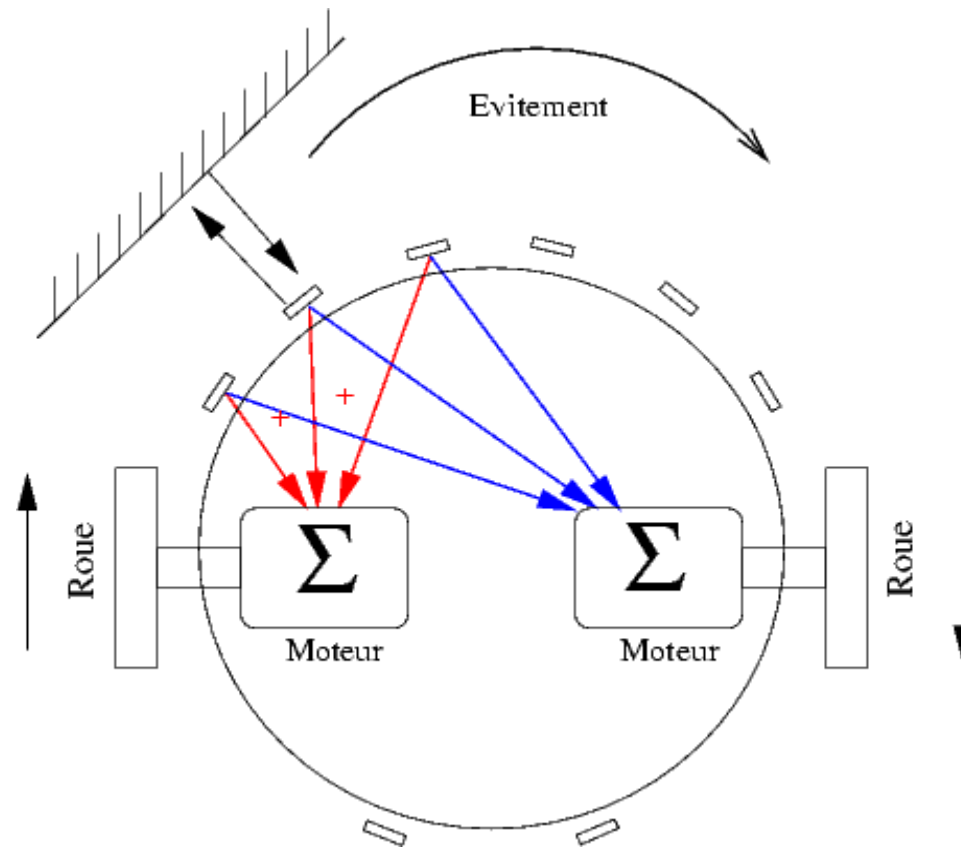
# Véhicules de Braitenberg

## Evitement d'obstacle



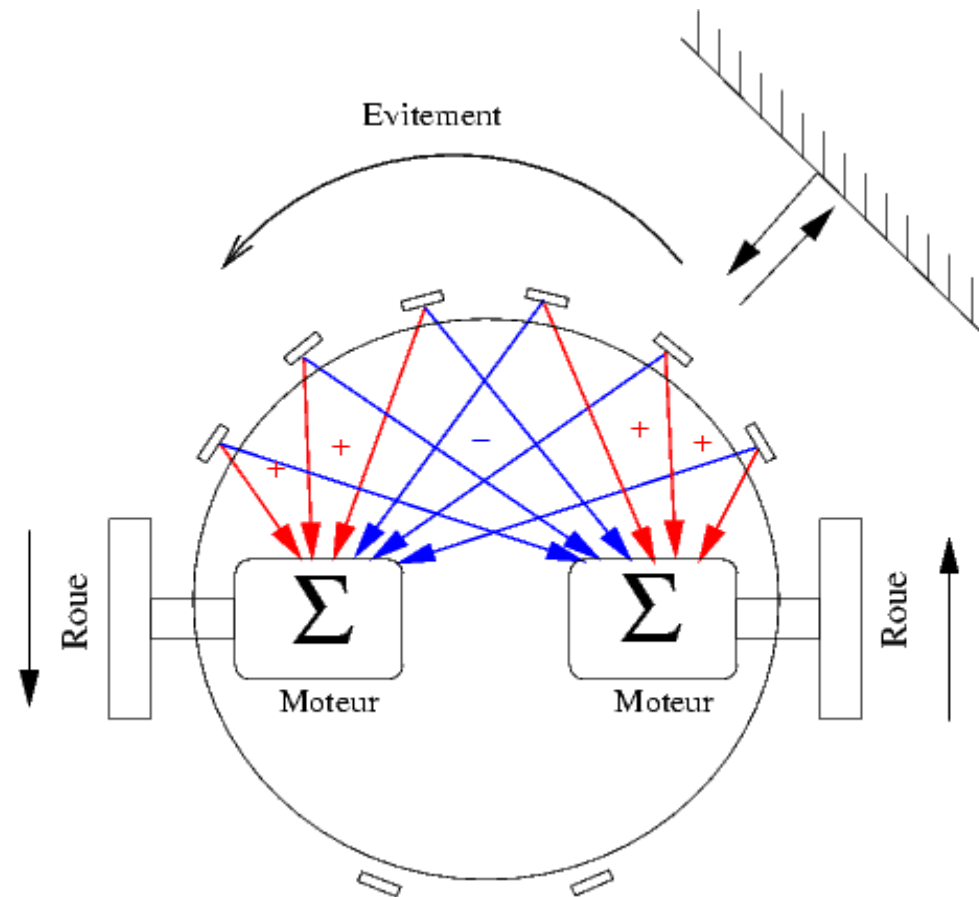
# Véhicules de Braitenberg

## Evitement d'obstacle



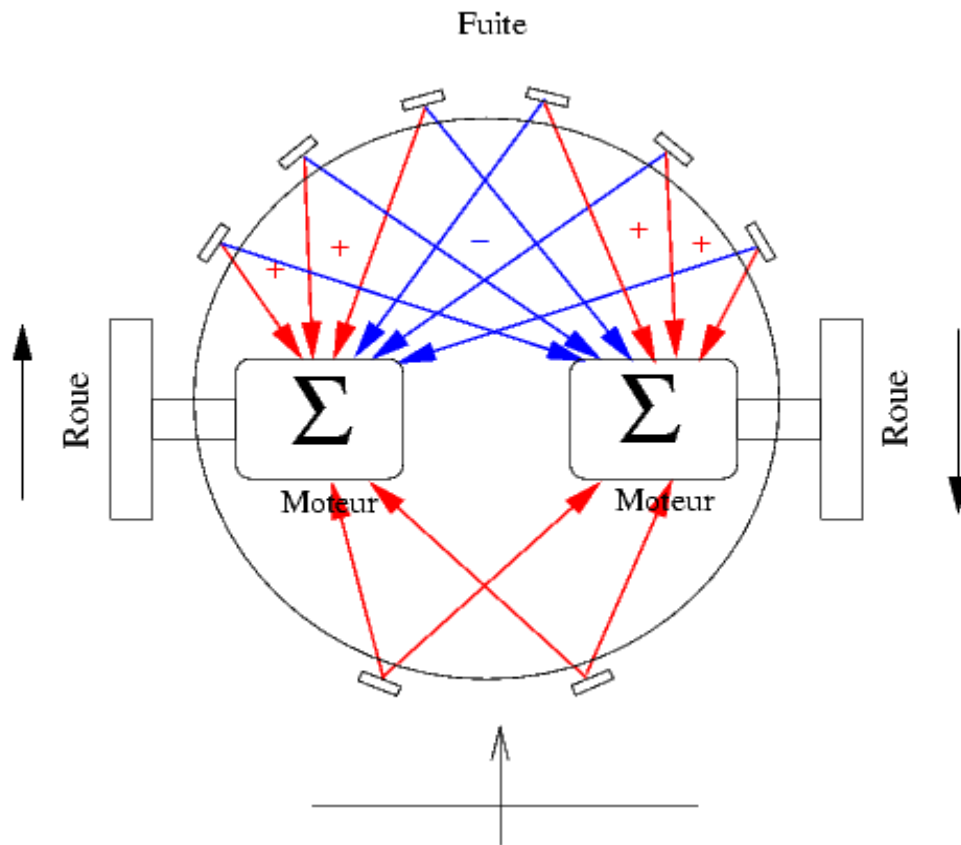
# Véhicules de Braitenberg

## Evitement d'obstacle



# Véhicules de Braitenberg

Ajout d'une capacité à fuir



# Fabrication de tas

## Etude des fourmilières (Denebourg 92)



1. Mouvements aléatoires
2. Evitement d'obstacles
3. Prise objet
4. Dépos objet

Situation initiale

web site: [www.etis.ensea.fr/~neurocyber/Videos/](http://www.etis.ensea.fr/~neurocyber/Videos/)



# Fabrication de tas

Le comportement n'est pas inscrit dans le réseau...



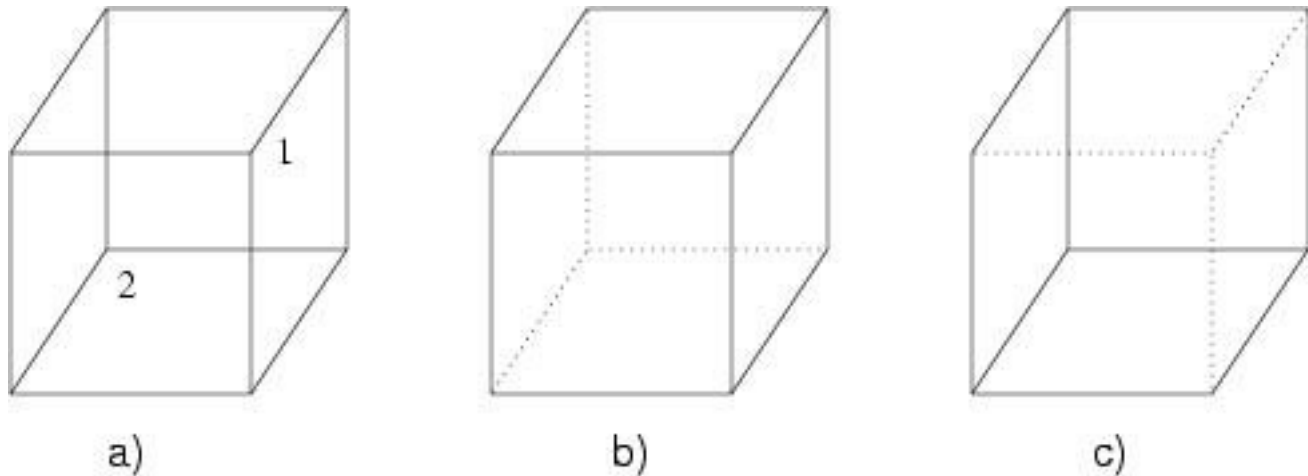
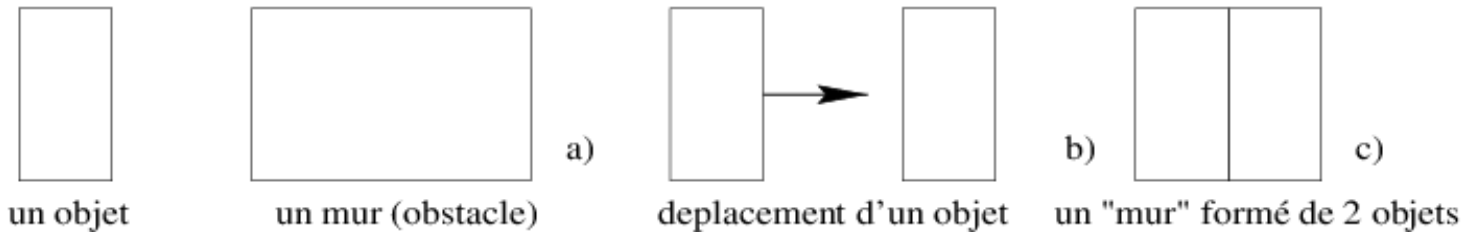
Situation après 30min

web site: [www.etis.ensea.fr/~neurocyber/Videos/](http://www.etis.ensea.fr/~neurocyber/Videos/)

# L'action modifie la perception

## Ambiguïté fondamentale de la perception

L'action modifie la perception



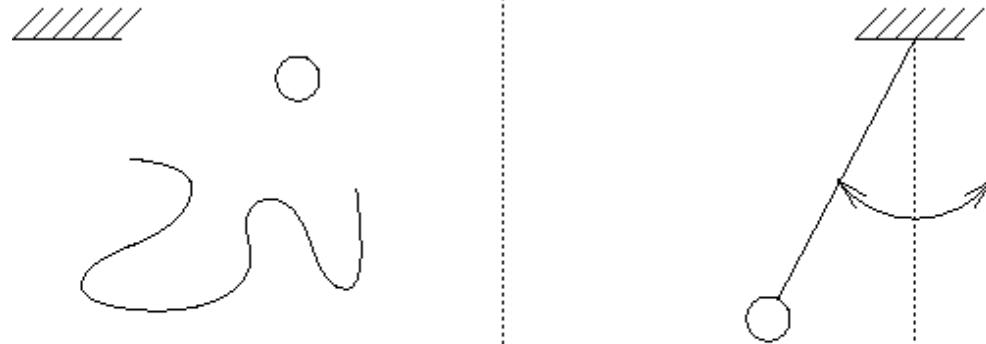
# Propriétés émergentes

Théorie de la Gestalt :

« Le tout est plus que la somme de ses parties »

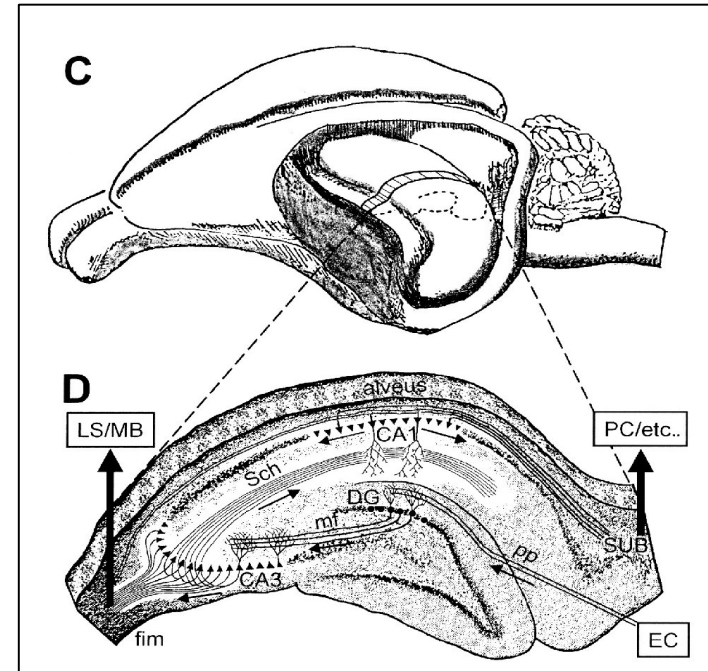
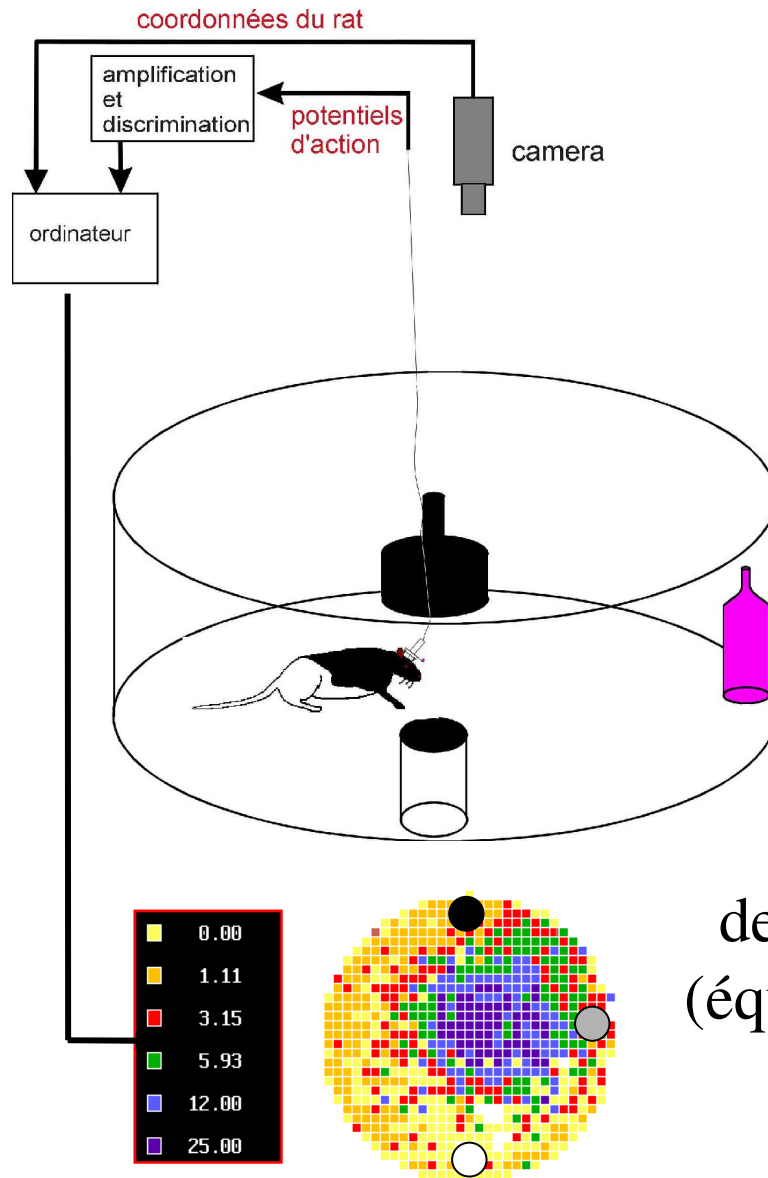
Notion de propriétés émergentes

(importance de la dynamique des interactions entre éléments)

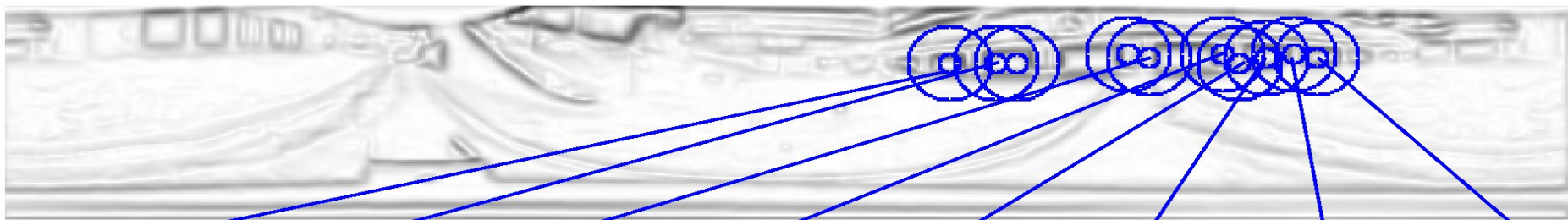


[K. Lorenz73]

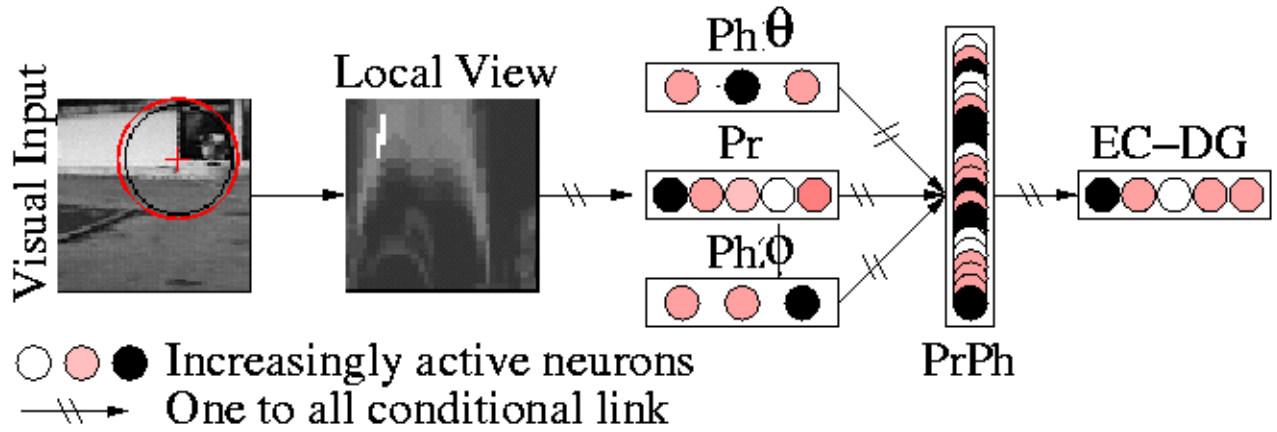
# Généralisation: Reconnaissance de lieux



Les cellules de lieu  
de l'hippocampe chez le rat  
(équipe B. Poucet – Marseille)

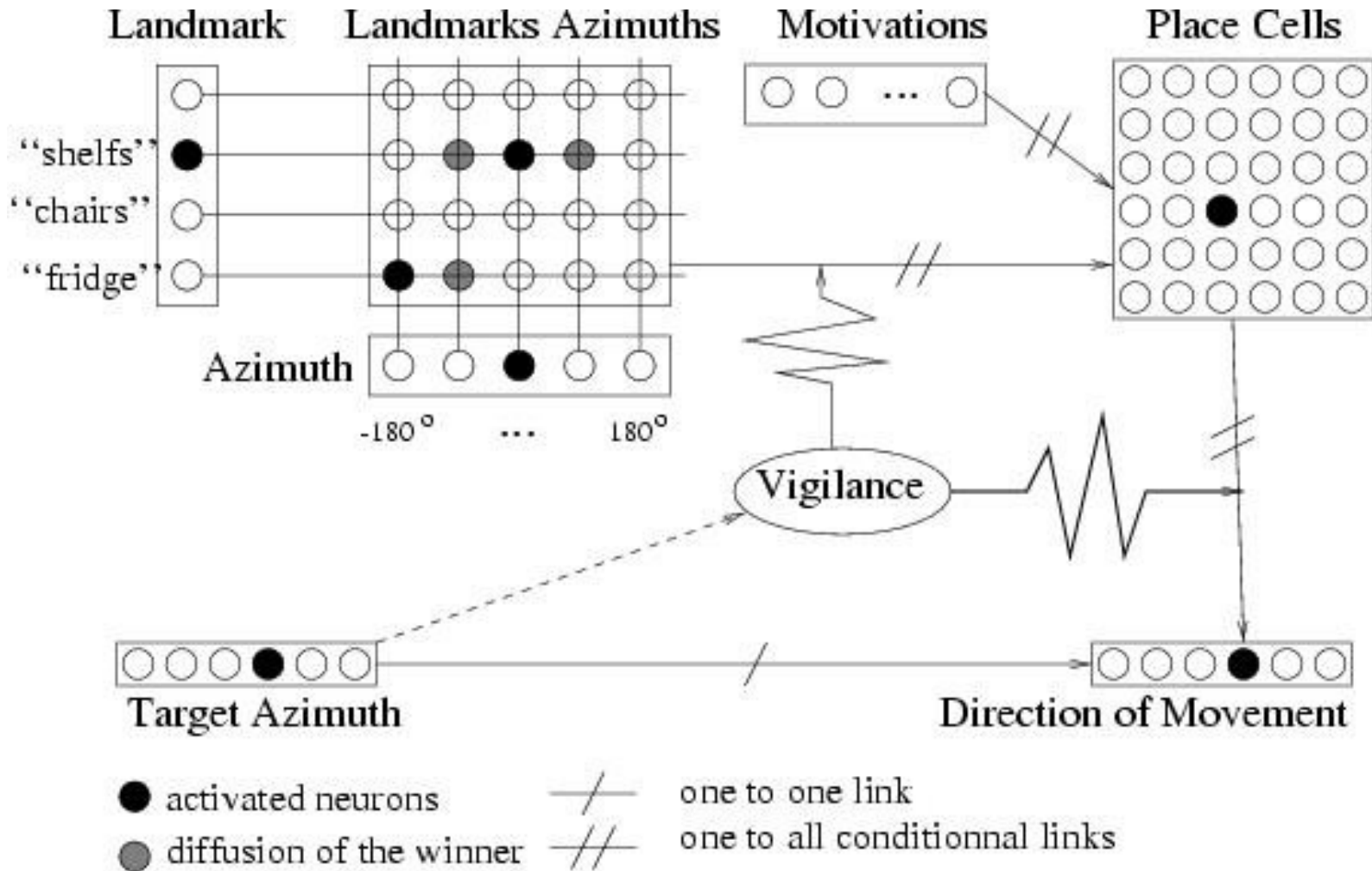


$\theta = 37^\circ$	$\theta = 49^\circ$	$\theta = 87^\circ$	$\theta = 106^\circ$	$\theta = 109^\circ$	$\theta = 113^\circ$	$\theta = 121^\circ$	$\theta = 128^\circ$
1 : 0.9615	3 : 0.9589	24 : 0.9096	4 : 0.9130	9 : 0.9358	10 : 0.9151	15 : 0.9033	0 : 0.9382
10 : 0.9246	5 : 0.9249	17 : 0.9089	21 : 0.9114	16 : 0.9304	19 : 0.9146	0 : 0.8934	2 : 0.9166
25 : 0.9221	6 : 0.9190	7 : 0.9073	32 : 0.9059	29 : 0.9301	25 : 0.9040	26 : 0.8934	22 : 0.9116
11 : 0.9056	2 : 0.8937	37 : 0.8830	13 : 0.9022	14 : 0.8980	2 : 0.9029	12 : 0.8912	12 : 0.9096



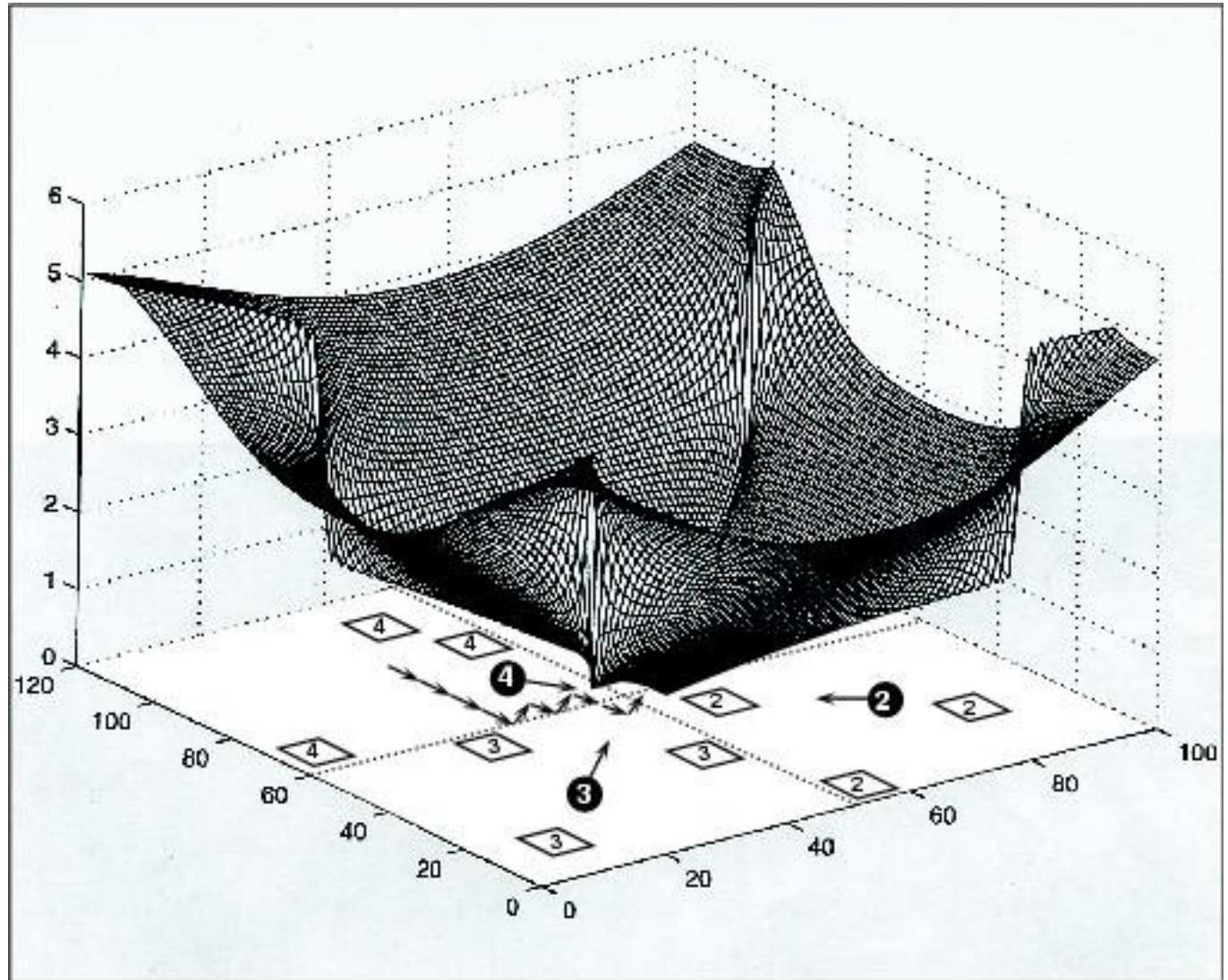


# Comment atteindre un lieu?





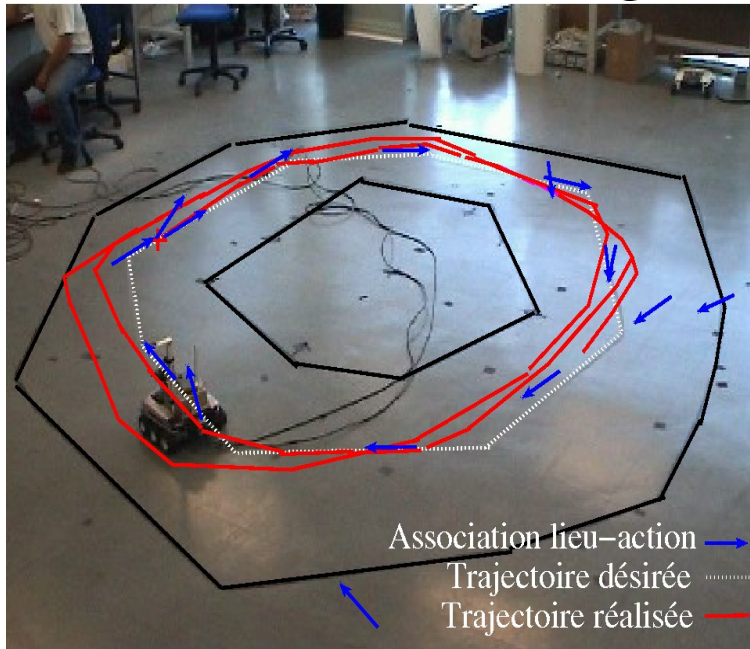
# Effet de l'apprent. sensori-moteur





# Apprendre une trajectoire

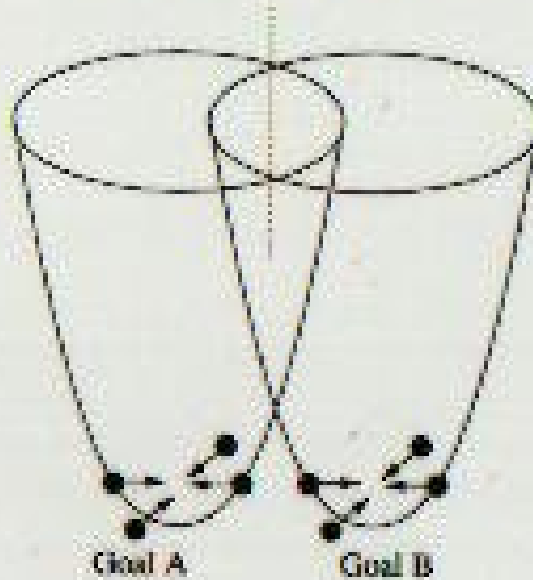
[Giovannangeli05,06]



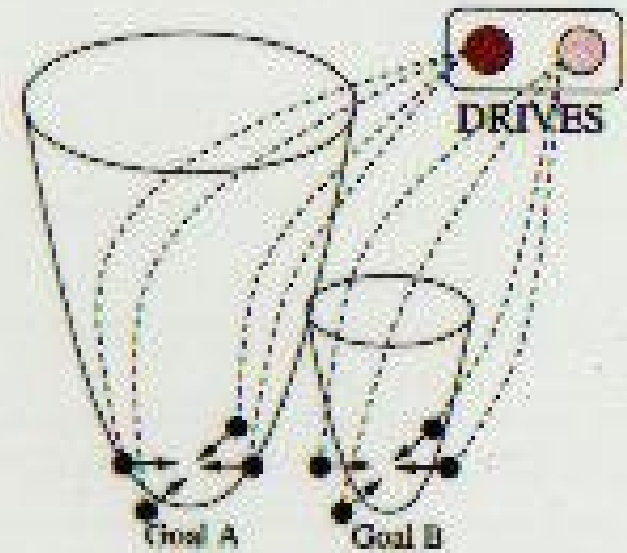
# Biais motivationel

competition without bias

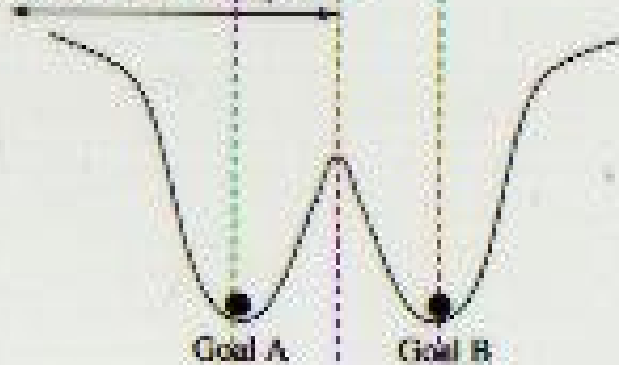
A attraction area    B attraction area



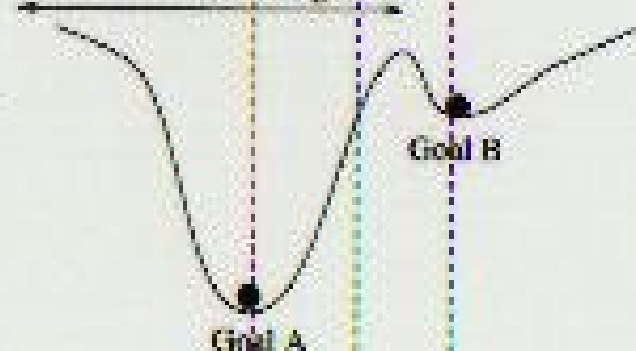
competition with motivation bias  
(activity of neurons linked with A are favored)



area associated with goal A



area associated with goal A



# C. Giovannangeli (IROS 06, EPIROB 06)



# Définition de la Perception

La perception doit être considérée comme un attracteur dynamique permettant un ensemble cohérent d'actions en fonction des sensations (attracteur sensori-moteurtractor)

Nous définissons la Perception  $Per$  comme une fonction scalaire telle que :

$$A_c = -m \overrightarrow{\text{grad}} Per(p)$$

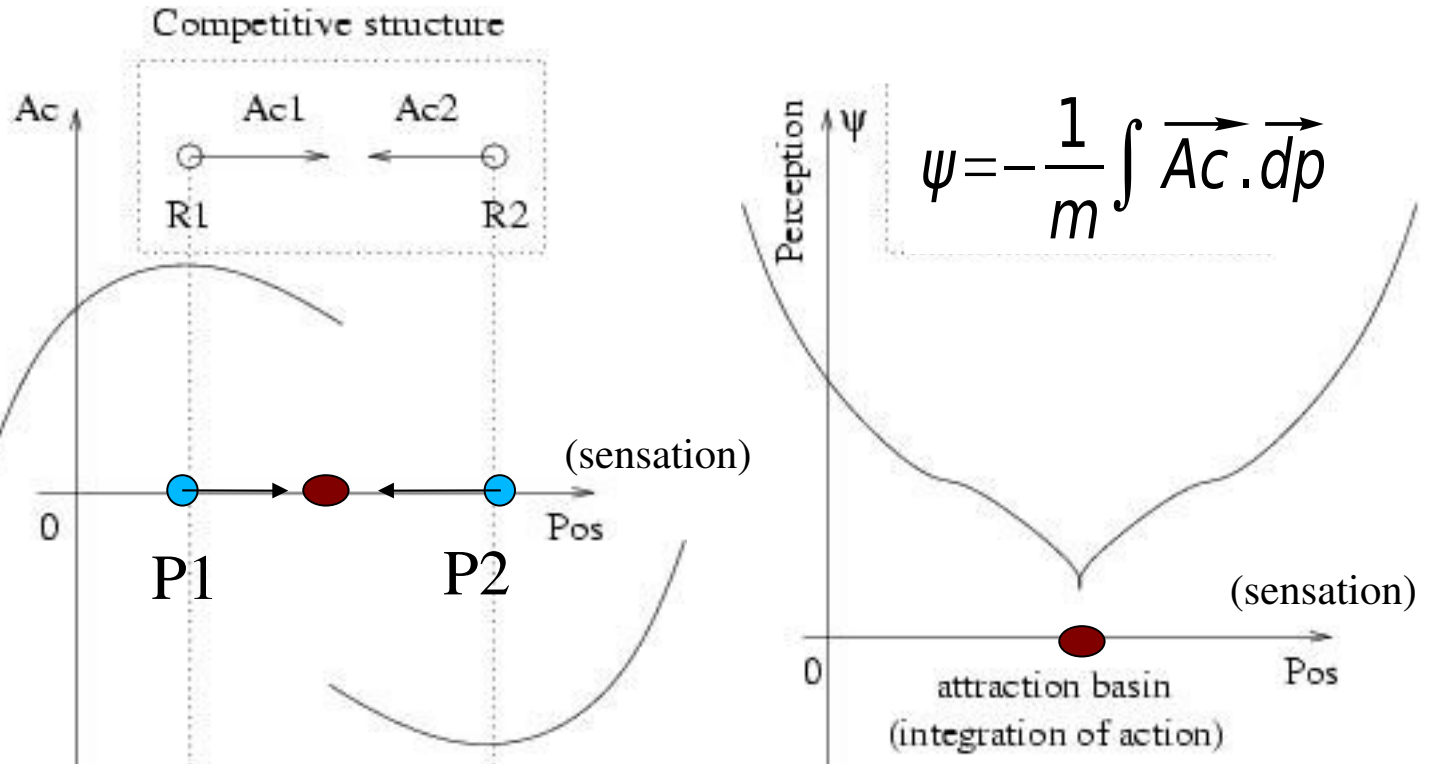
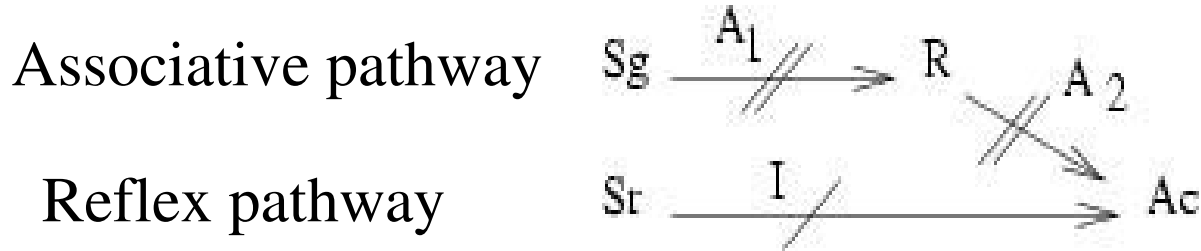


# Definition de la Perception

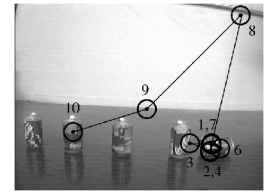
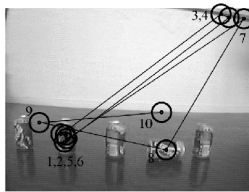
$$A_c = -m \overrightarrow{\text{grad}} \text{Per}(\mathbf{p})$$

- $\mathbf{p}$  : vecteur caractérisant l'état de l'agent  
s(variables internes et/ou externes)
- $m$  : un facteur caractérisant « l'embodiment »  
(une masse virtuelle)
- $A_c$  : vecteur action (interne et/ou externe...)

# The PerAc architecture

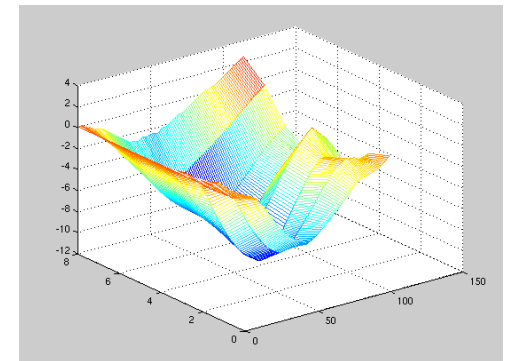
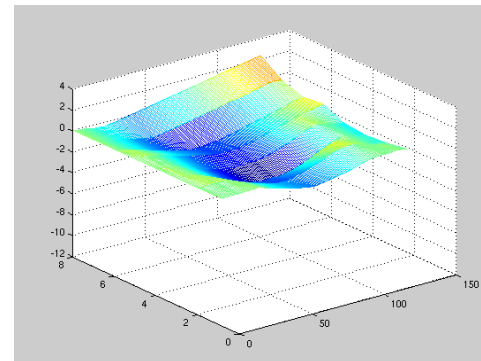
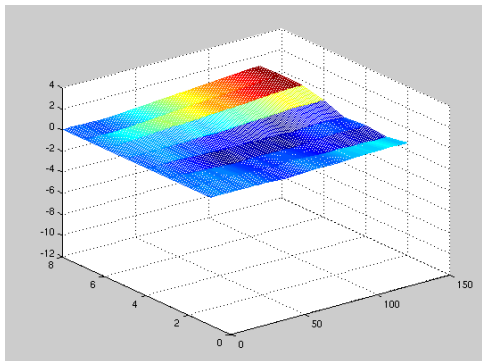


# Perception



Perception : behavioral attraction basin  
Learning sensori-motor invariants  
(affordances/recognition)

$$\mathbf{Ac} = -\mathbf{m} \cdot \text{grad}(\text{Per})$$



[Maillard05]

24/03/08

Considered as recognition for the observer ? <sup>41</sup>

# Comment généraliser notre approche bottom up ?

Problèmes liés aux très “gros” systèmes:

- L'apprentissage d'un ensemble d'associations sensori-motrices est en général NP complexe
- Apprendre seul n'est plus possible!



➔ Nécessité d'introduire des capacités d'imitation

Qu'elles peuvent être les bases neurobiologiques de l'imitation?  
Comment amorcer l'imitation? (bootstrap)



# PARTIE 2

L'imitation...

# Définitions de l'imitation

``**True**'' imitation: [Whiten&Byrne82, Mitchell87]

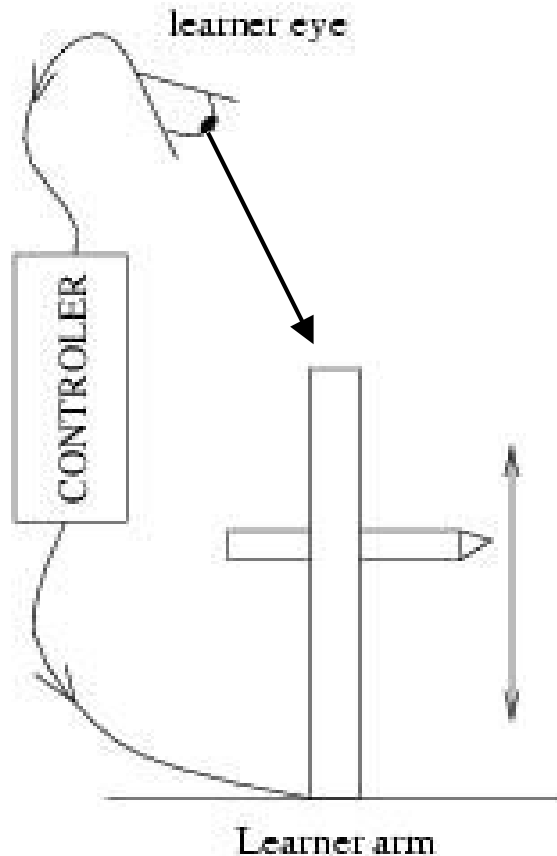
Apprendre un nouveau comportement et reproduire les actions permettant d'atteindre le **but** de ce comportement:

- Imitation immédiate vs différée
- imitation au niveau de l'action vs au niveau du programme

D'autres types d'interactions:

- stimulus enhancement [Spense37]
- emulation (obtenir la même récompense) [Tomassello87]
- Facilitation de la réponse [Byrne94]

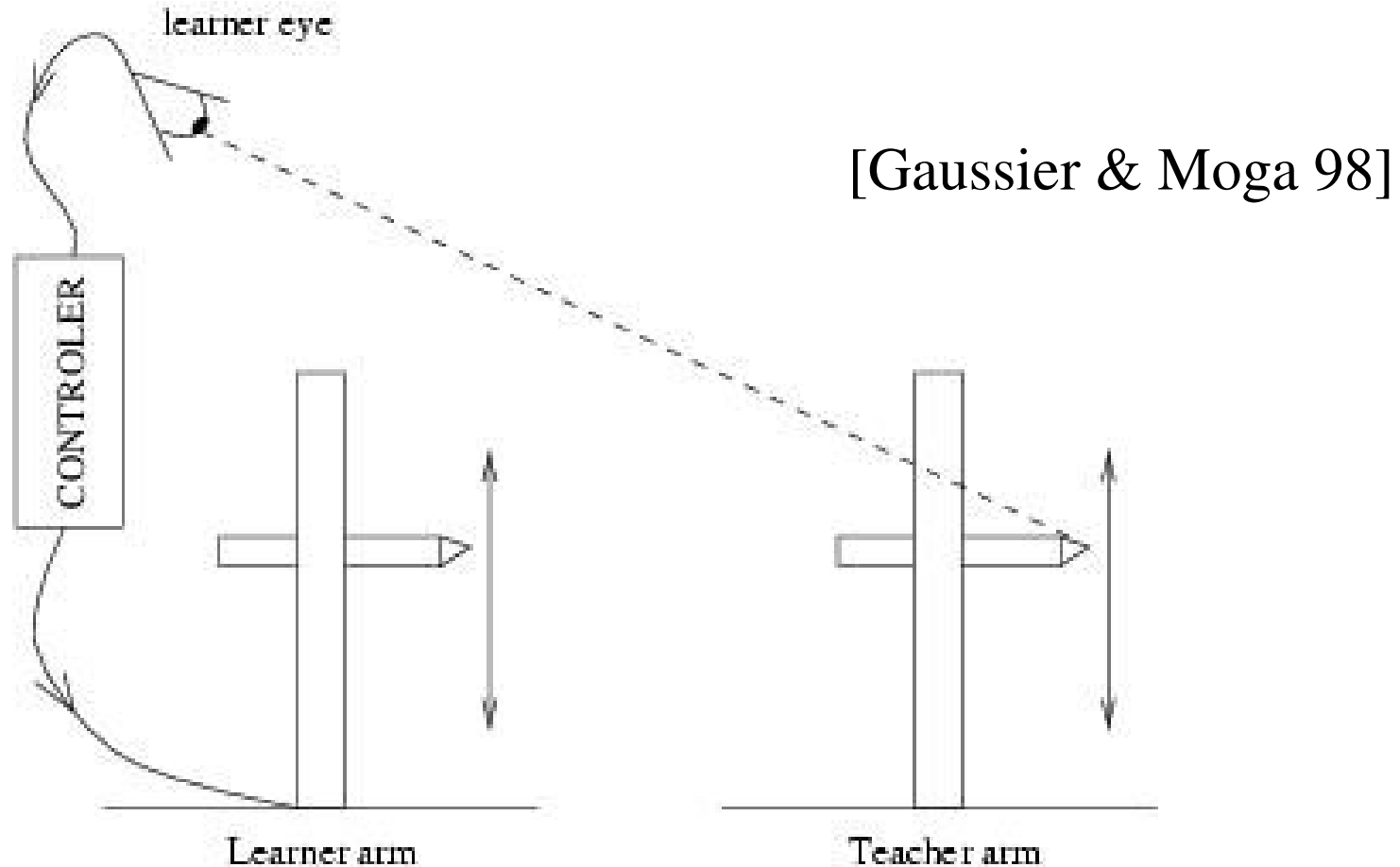
# Bootstrap pour l'imitation



[Gaussier & Moga 98]

## Apprendre un comportement homéostatique

# Bootstrap pour l'imitation



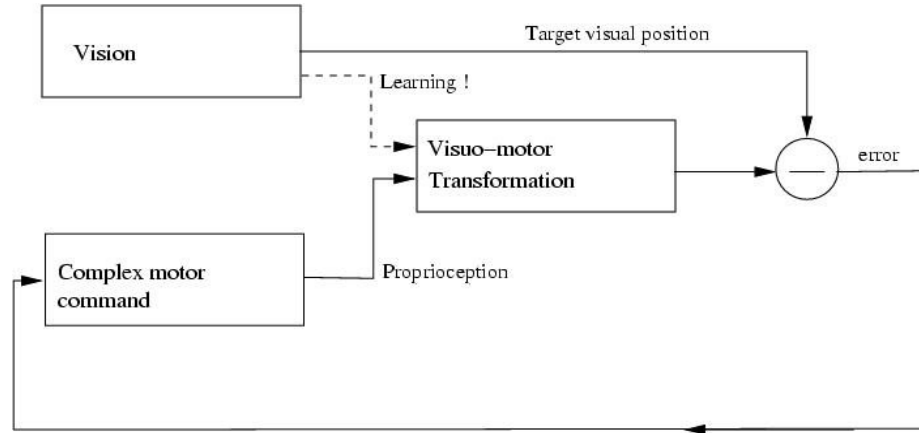
Proto-imitation peut être un effet de bord

d'un homéostat (ambiguïté de la perception)<sub>46</sub>

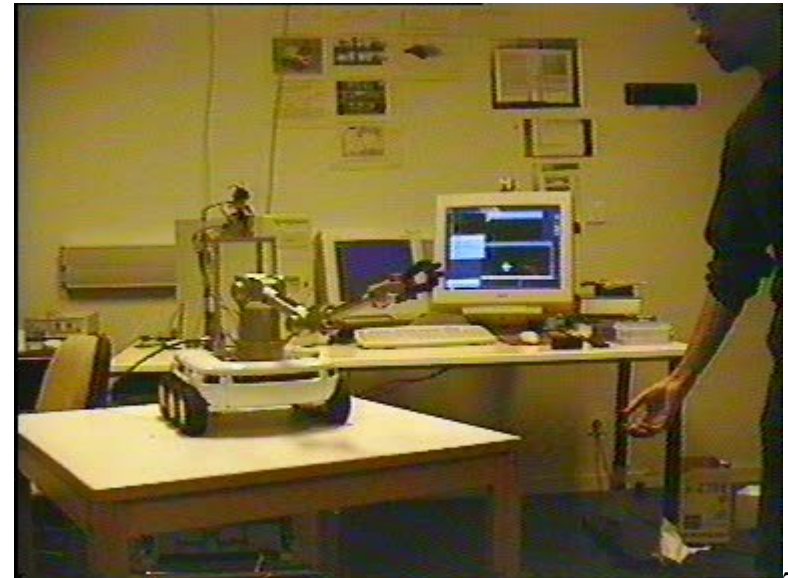
Equipe neurocybernétique, Université de Cergy Pontoise

# Setup experimental

Simple système homéostatique + ambiguïté de la perception

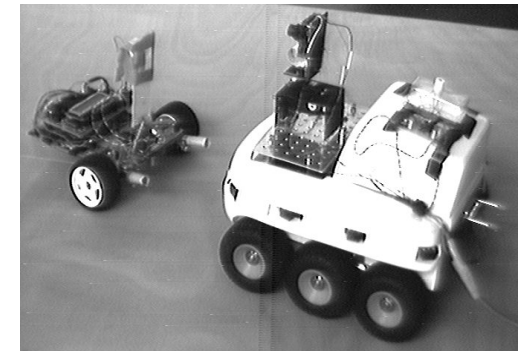
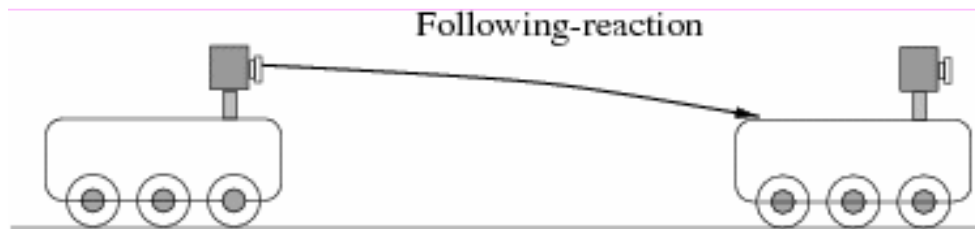


[Andry et al 2002]

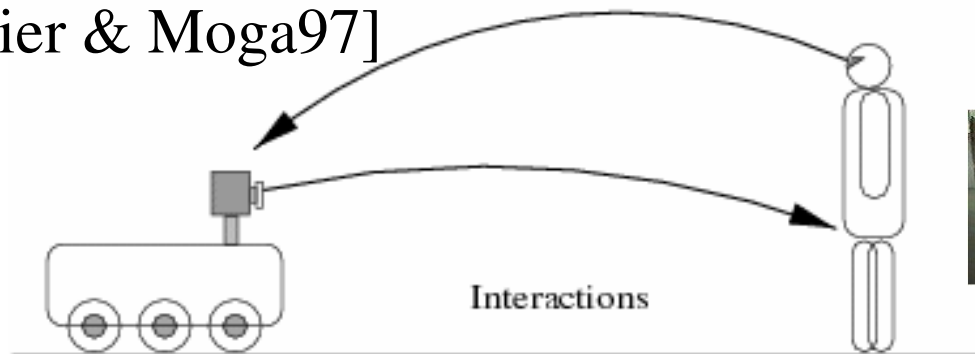


# System limitations:

- No synchronisation capabilities between agents
- Need of an explicit reward or adhoc mechanism to decide if given sequence must be learned
- Need of an explicit signal to specify the beginning and the end of the experiment



[Gaussier & Moga97]



## 3rd part

- Issues in human-robot interactions

- *Learning via imitation*

- *Avoid combinatorial explosion of learning by an isolated individual*

- *Interaction as a reinforcement signal*

- *Exploit dynamics of interaction (communication)*

# Imitation et interactions sociales





# Emotional value of interactions

Inspiration from mother-baby face to face interactions:

« still-face » [Tronick 78]:

- Moving to still face
- Negative effect on the children

double video [Threvarthen 85, Nadel 99]:

- Mother and child communicate via a double video system
- Introduction of a temporal delay
- Contingency is essential to maintain the interaction

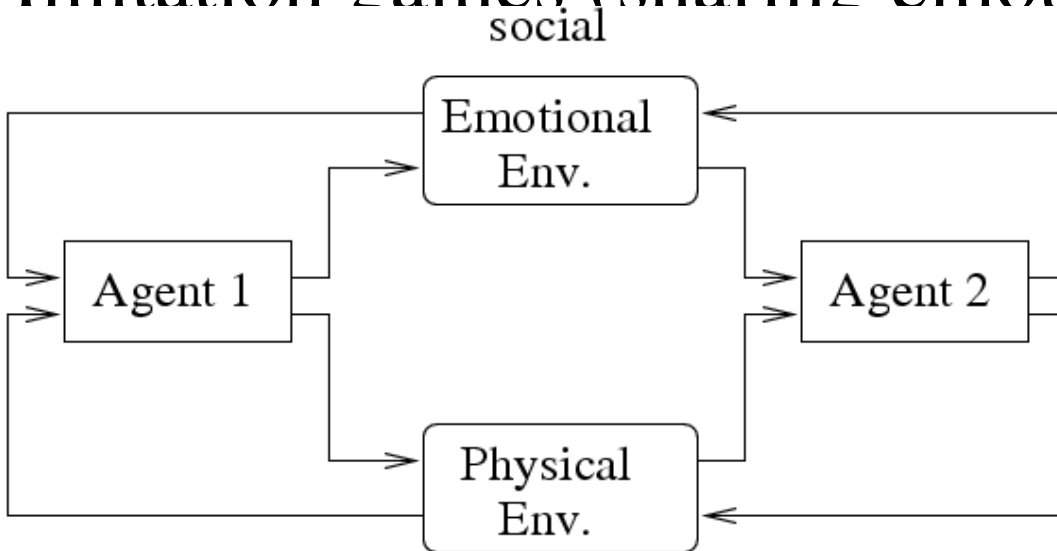
→ Rhythm and synchrony are important elements for the interaction [Andry, Gaussier and Nadel 01]

# Emotional value of interactions

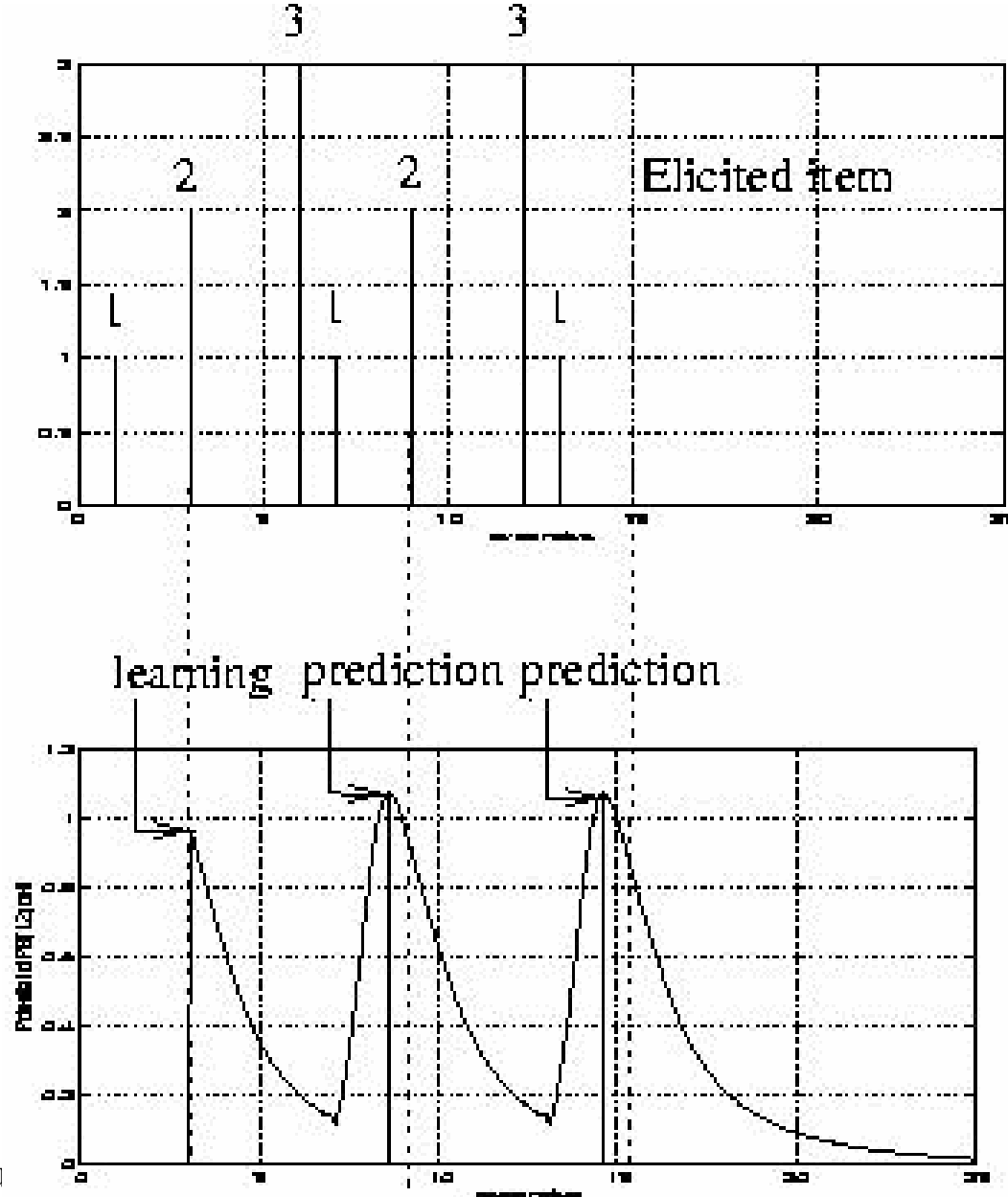
**Emotional resonance** or contagion (bootstrap empathy)

Capability to predict the rhythm of the interaction  
(associated to a positive reward for ex.)

Imitation games (sharing emotions?)



# Prédiction du rythme (Hipp)



# Imitation and social interactions

Double function of imitation:

- Learning
- Communication

Capability to predict the rhythm of the exchange can be used as an internal reward

Interest of displaying the internal state induced by reward (second order interaction)

How to express it quickly?

# 4th part

- Artefacts et emotions

# Modeling emotions for social interactions

## Conveying intentionality

- Coherent explanation for observed behavior
- Emotions and personalities as sources of intentions

## Eliciting/controlling emotions

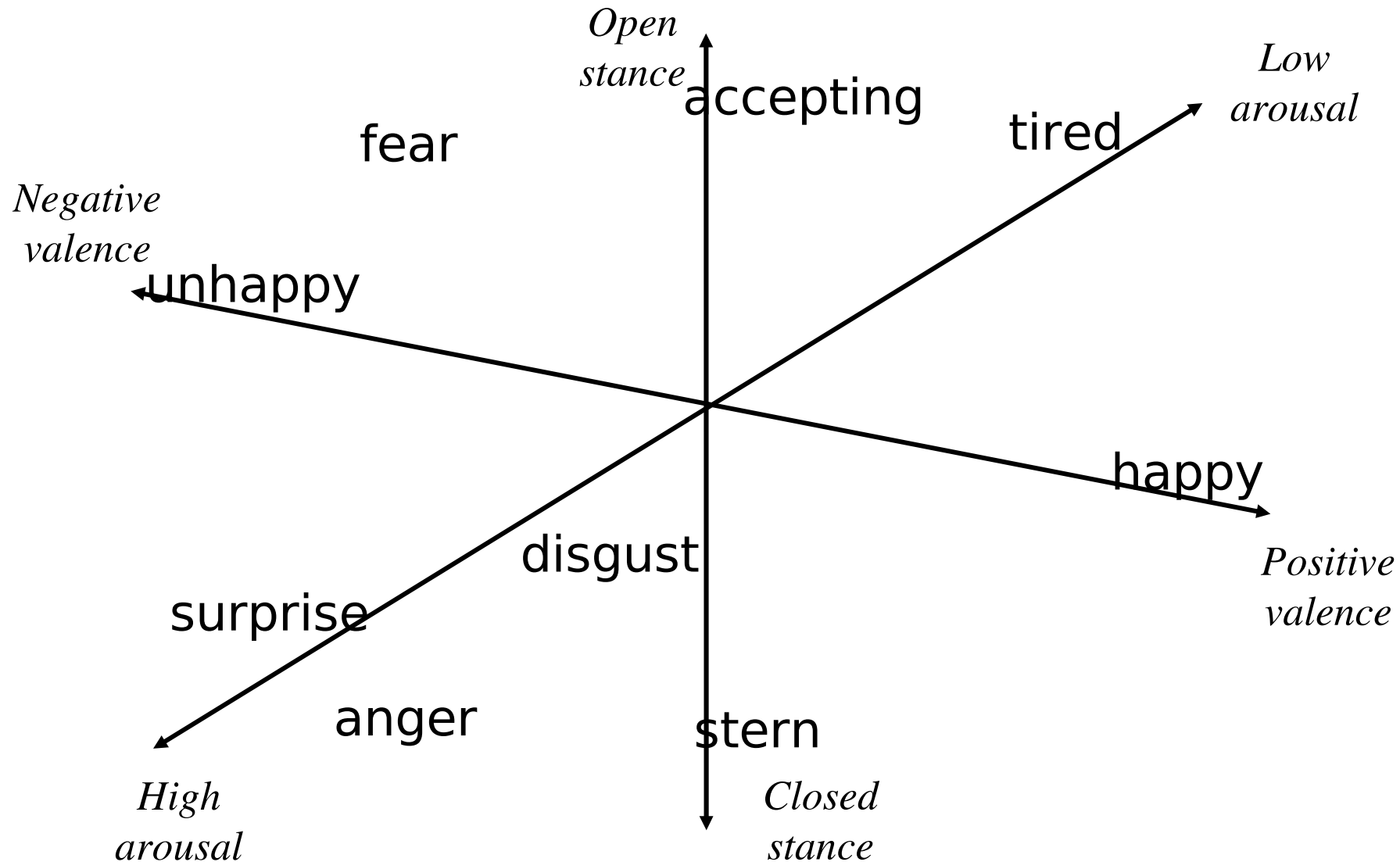
Making humans **feel comfortable** about the interaction

- Tailor interactions to meet human needs
- Artifact more believable, “closer” to human (in autism?)

## Enhanced communication

- Non-verbal communication
- “deeper” understanding (what we *mean*, not what we say)

# Choice of model: dimensions?

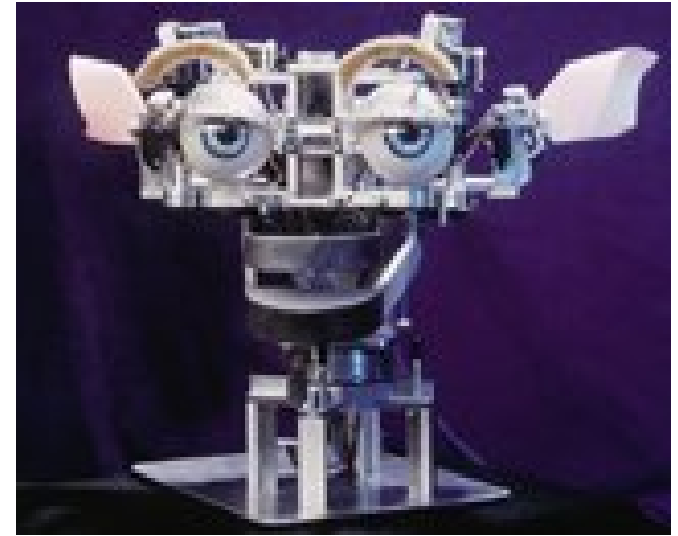




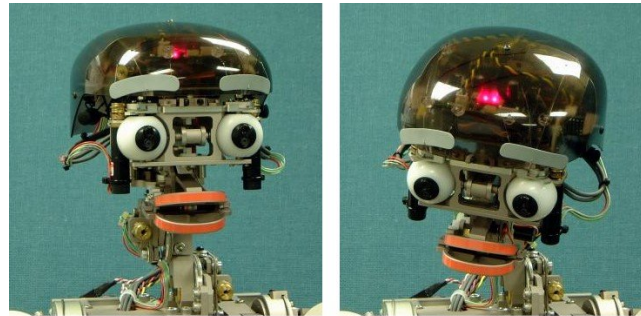
# Sophisticated communicative/emotional robots



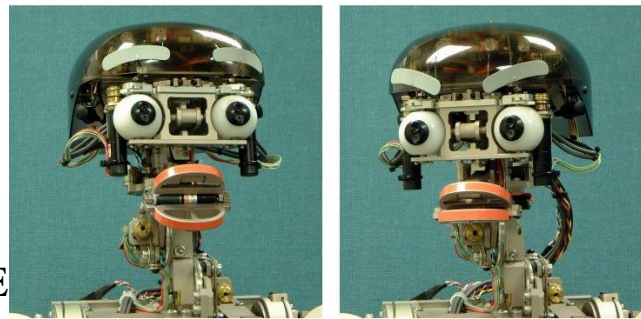
robots



**Repliee  
(Ishiguro)**

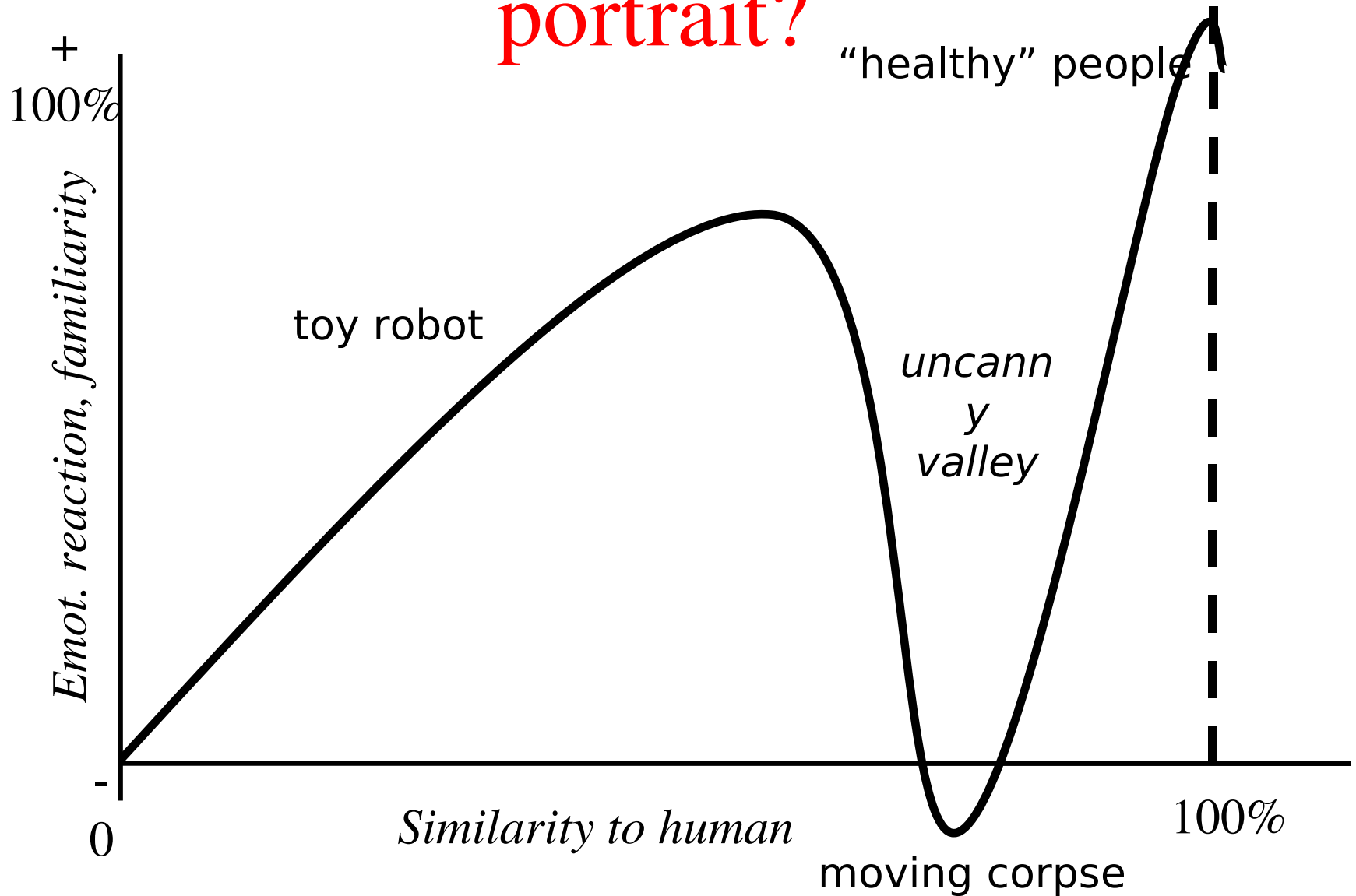


**Kismet (S. Breazel)**

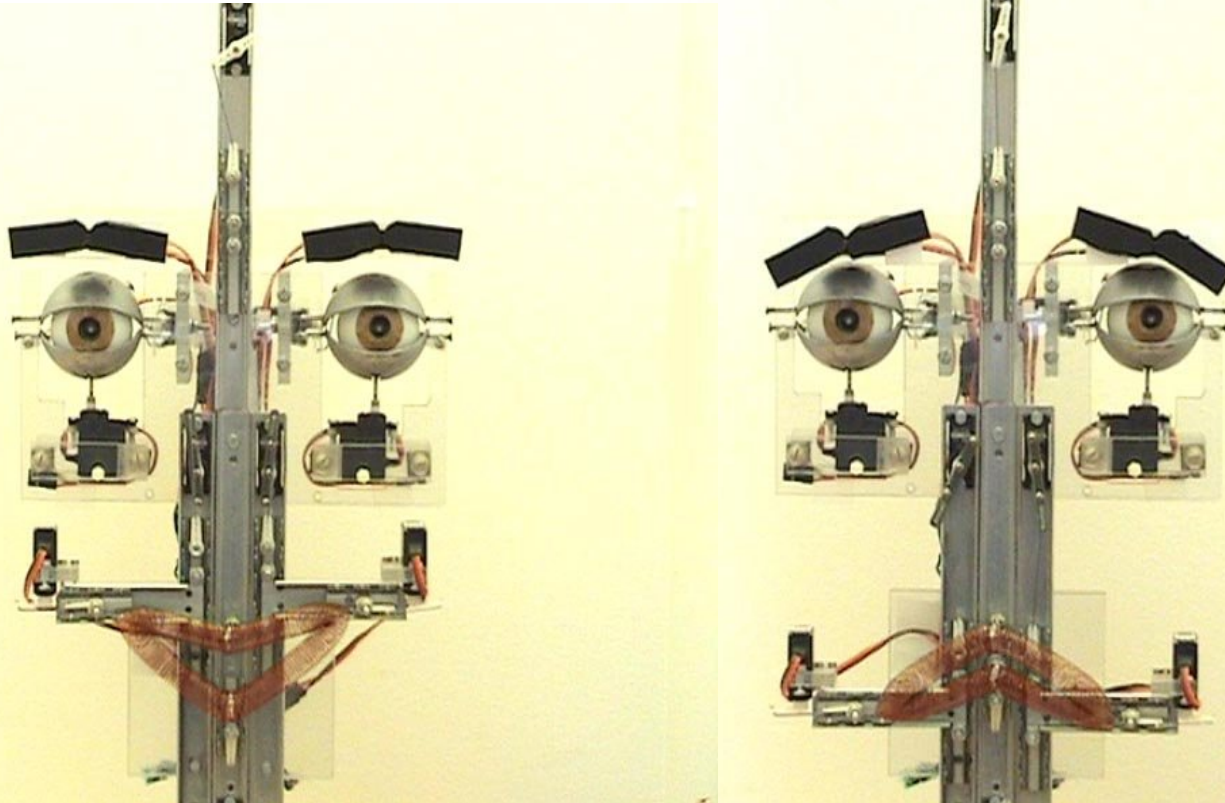


**Infanoid  
(I. Kozima)**

# Complexity: caricature or portrait?

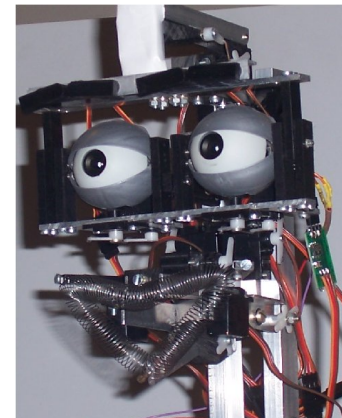
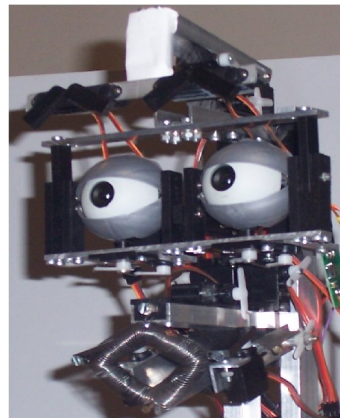
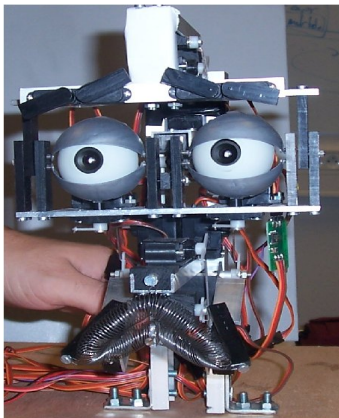
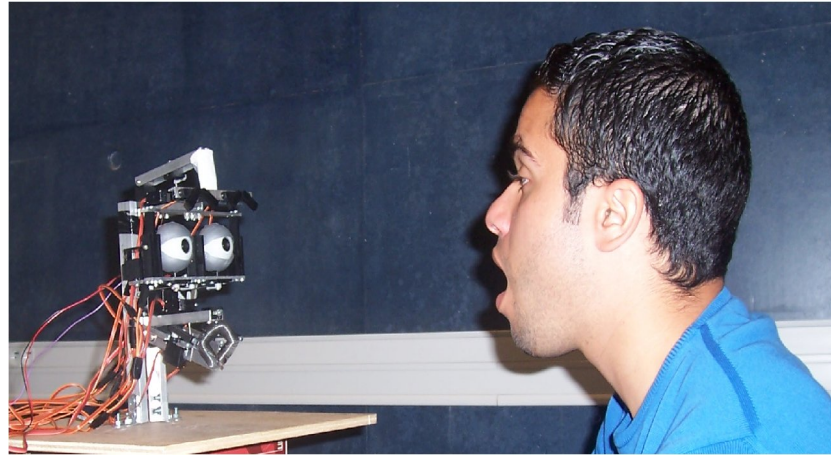


# Emotions and communication



See M. Simon & J. Nadel works

# Développement de la capacité à reconnaître des expressions faciales





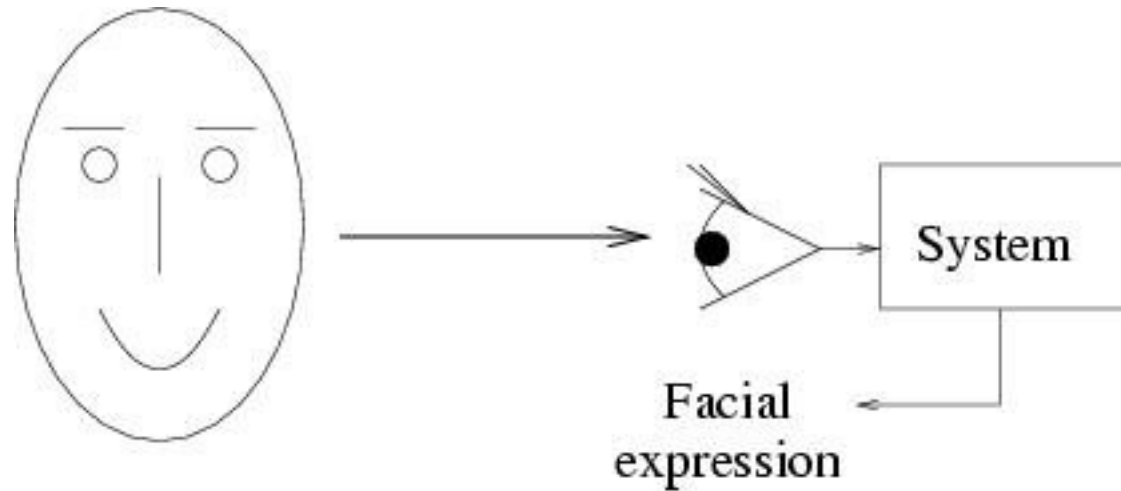
# Theoretical studies of interacting systems

How to compare different models?  
Do they belong to the same family?

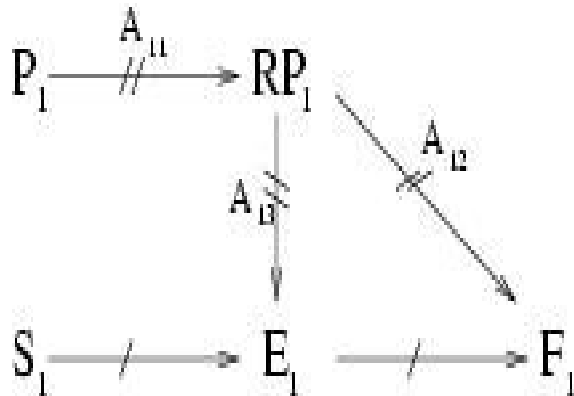
I will try to show you on an example that:

It is possible to create a mathematical model to  
analyze a computation architecture and to  
predict its behavior  
(learning in an interaction game)

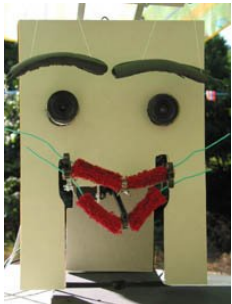
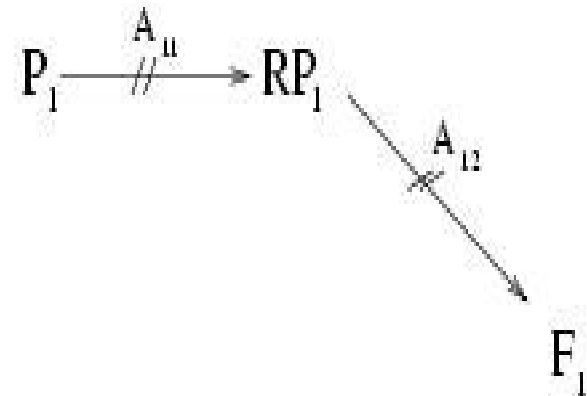
# How to model baby learning?



One possible architecture:

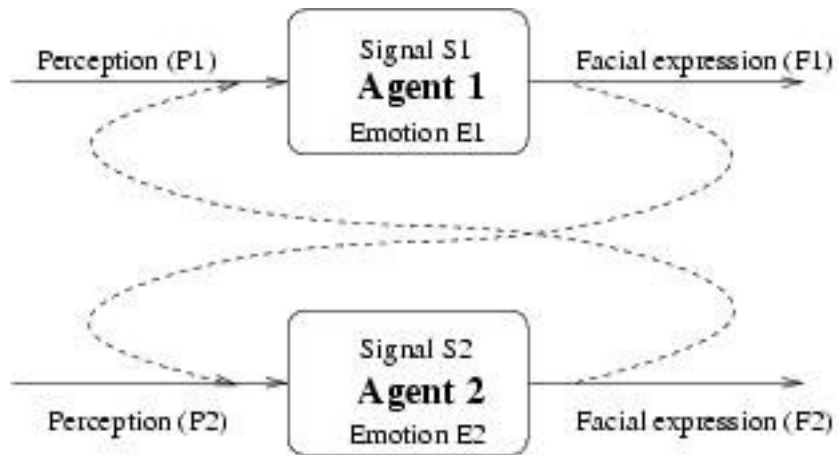


Desired solution:

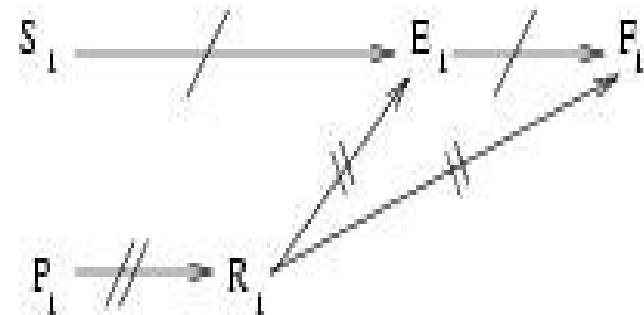


(based only on sensori-motor loops)

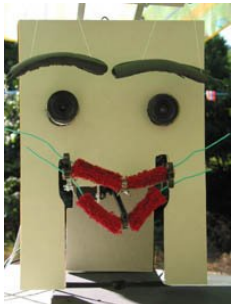
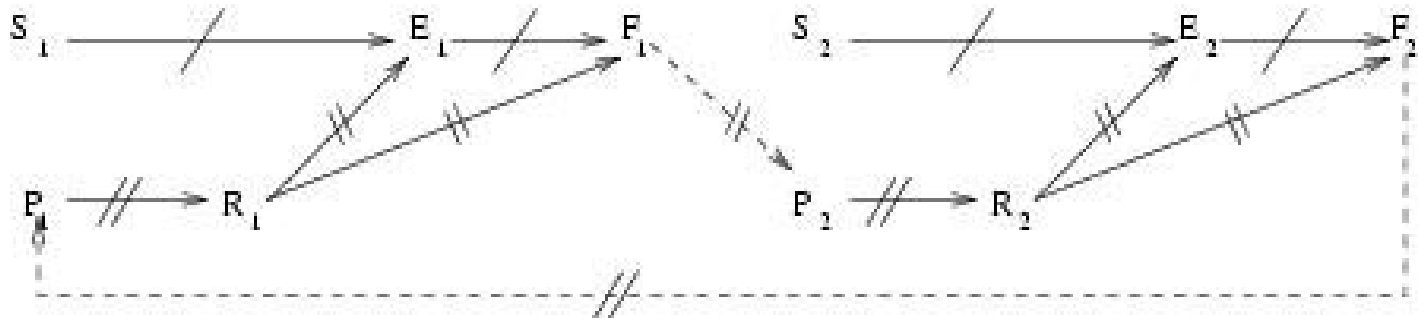
# Study of a dynamical interaction



## Agent architecture

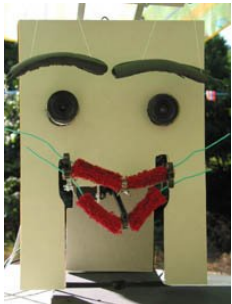
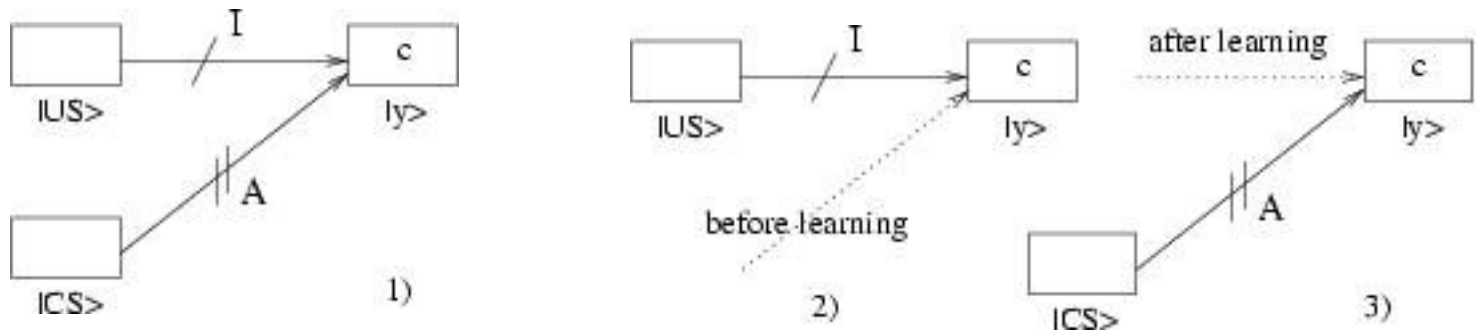
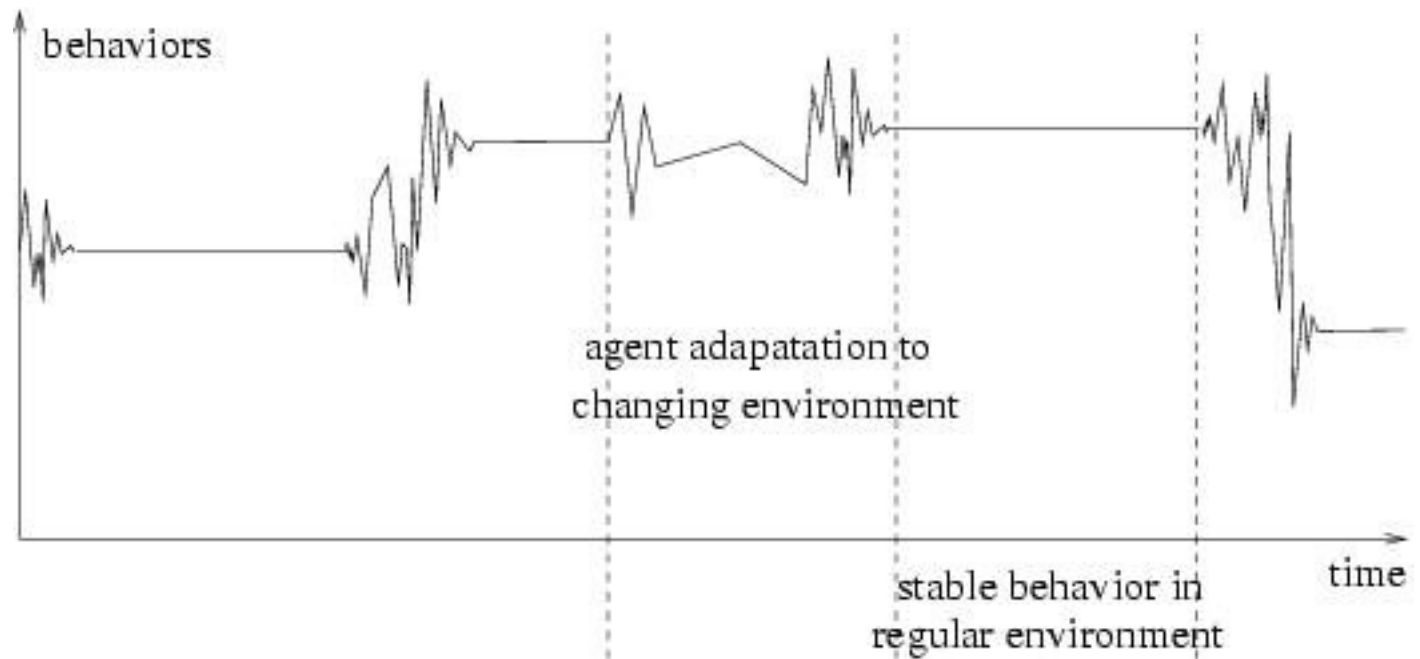


## Global system (both agents) :

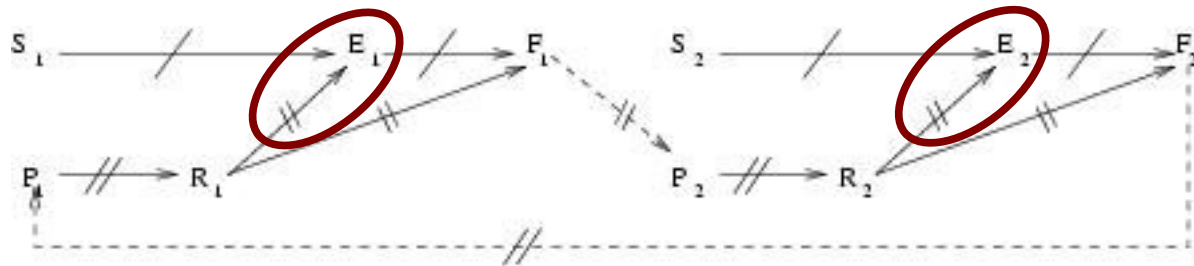




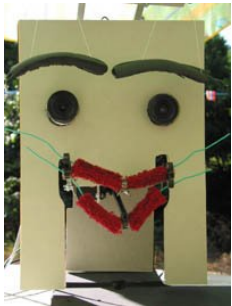
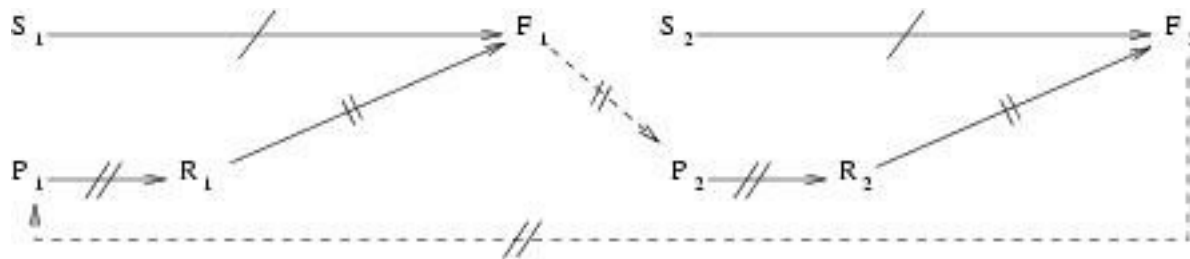
# Stable state of “perception”



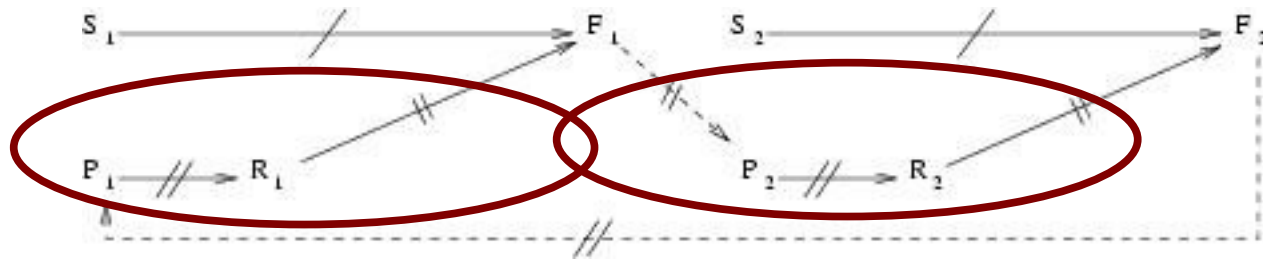
# System simplification



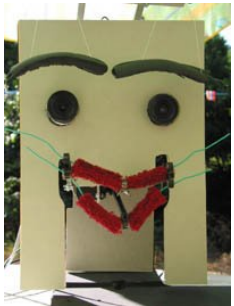
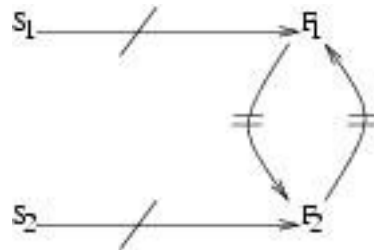
First simplification if learning is possible:



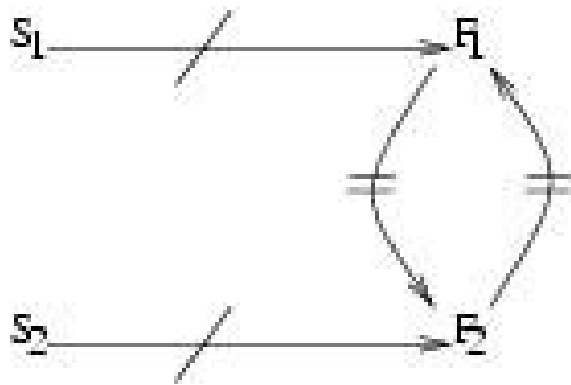
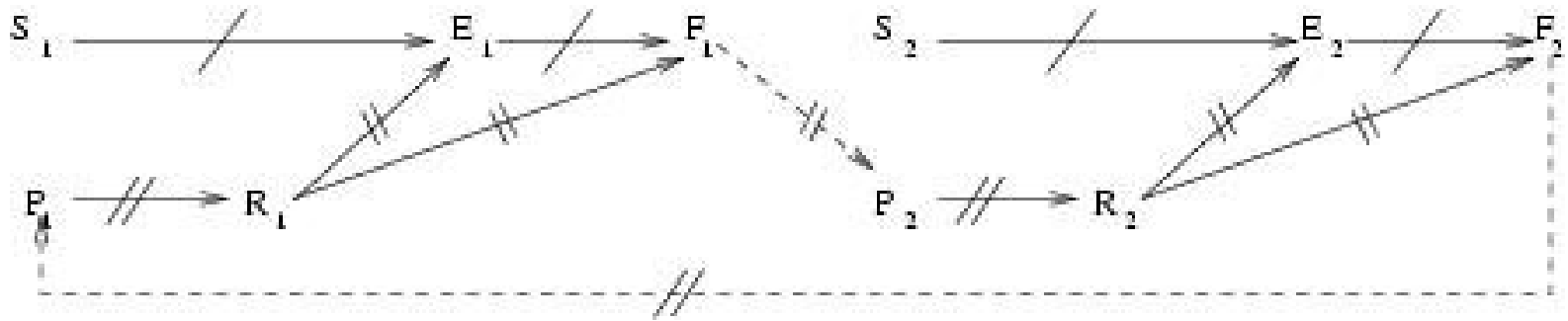
# System simplification



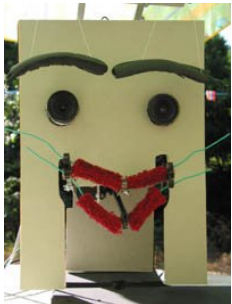
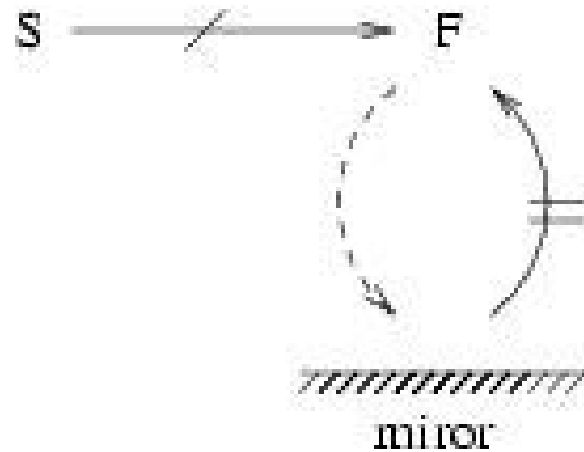
Second simplification:



# Stability condition



Condition for a stable association?



# Development of facial expression recognition



[Boucenna08]

# First computational models

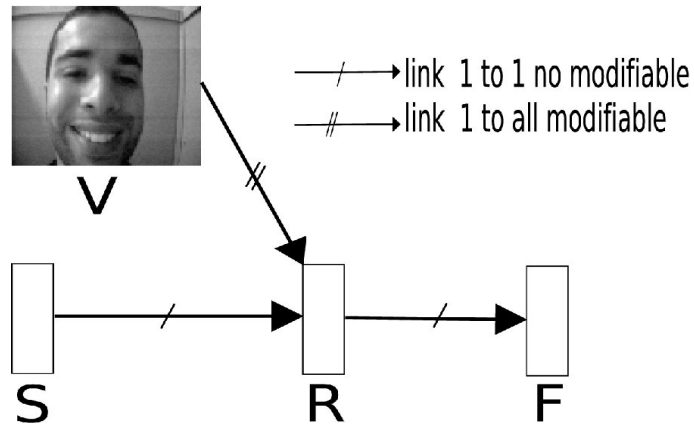
Principle of the classical algorithms for facial expression recognition:

Find the face in the image...

Build a **frame** around the face

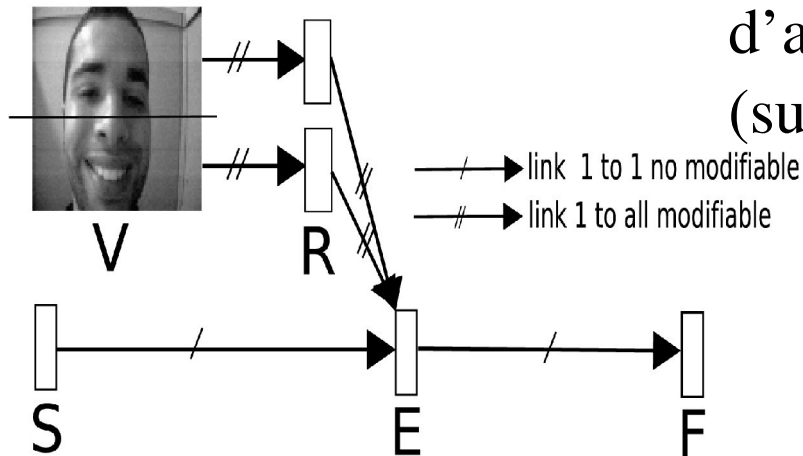
Recognition of the normalized image  
(eigenvalues, supervised NN...)

# Stratégies neuronales “Classiques”



## Limitation:

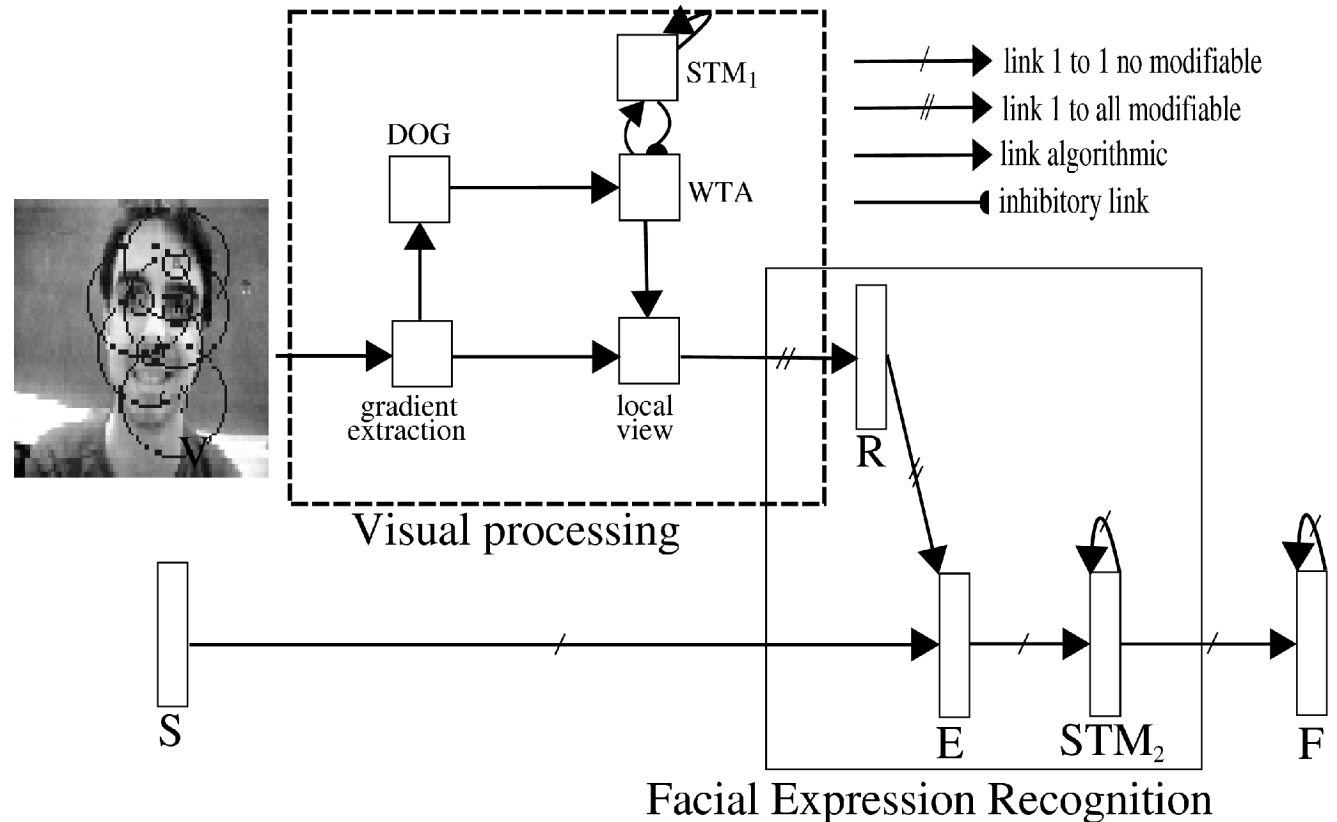
Pas d'apprentissage réellement autonome car la reconnaissance visage/non visage nécessite un signal d'apprentissage externe (supervision)!





# Facial expression recognition without face detection

Idea: math. model does not suppose a first step of face detection.



Success rate: happiness: 86 %, anger: 66 %, surprised: 51 %, sadness: 48 %, neutral: 36 %

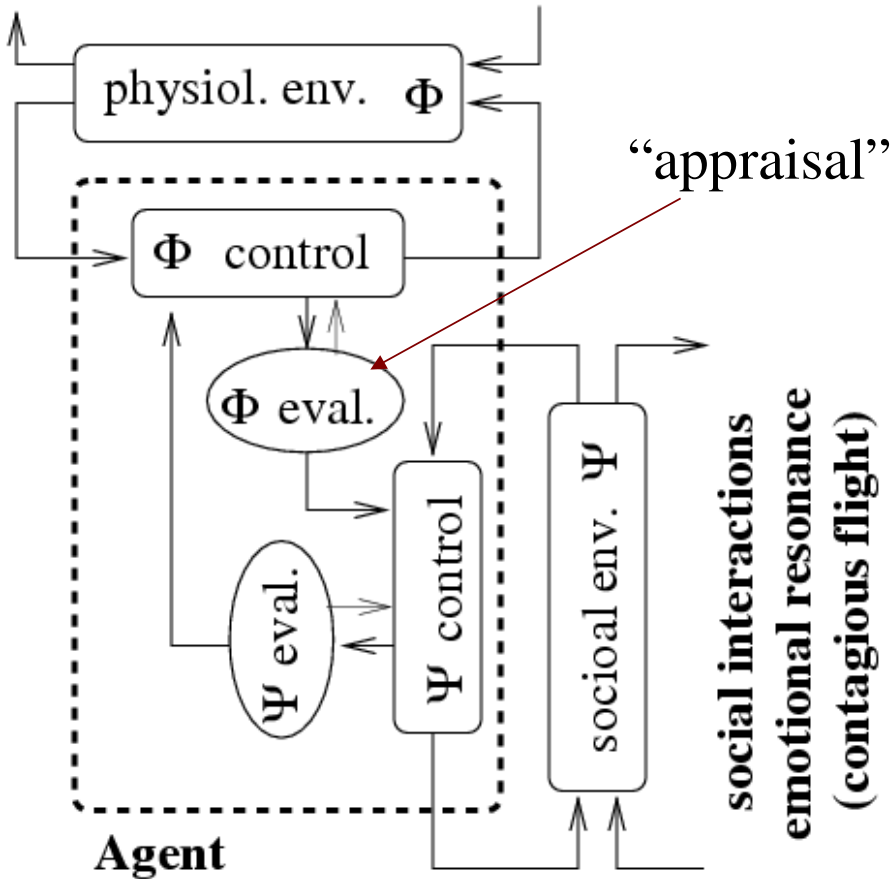
# Les émotions comme moyen de structurer l'apprentissage

- La reconnaissance d'une expression peut précéder la reconnaissance visage/non visage !
- Prendre en compte le développement émotionnel ouvre de nouvelles voies pour l'apprentissage autonome
- Les émotions peuvent être utilisées comme moyen de structurer et déclencher des apprentissages de plus en plus complexes quand aucun renforcement explicite ne peut être utilisé.

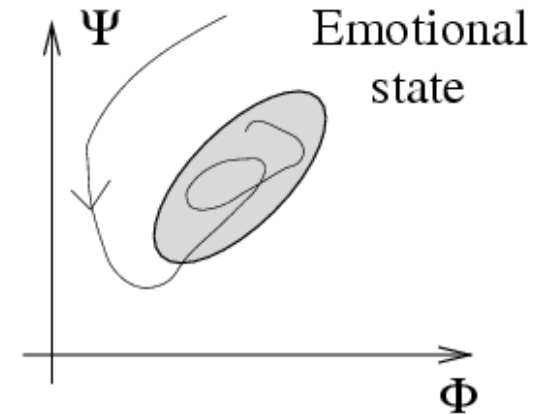
# Les émotions, un système dynamique ?

(STREP FEELIX Growing)

physical and physiological interactions



Pas de centre  
Pour les "états émotionnels"?

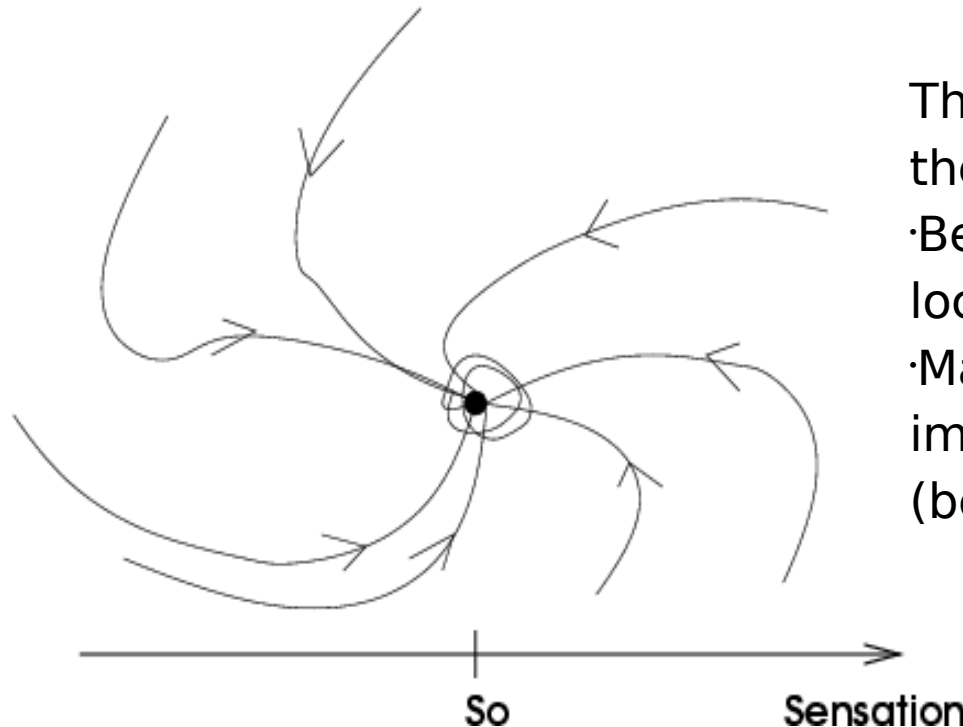


Sous structures partagées  
entre les 2 contrôleurs

→ Emotions complexes construites  
aux travers des interactions

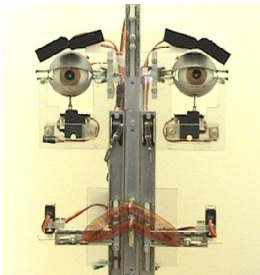
# Limitations of simple conditioning

Dynamics of the interaction (and its perception)  
collapses to a fixed point attractor



The demonstrator controls the interaction :

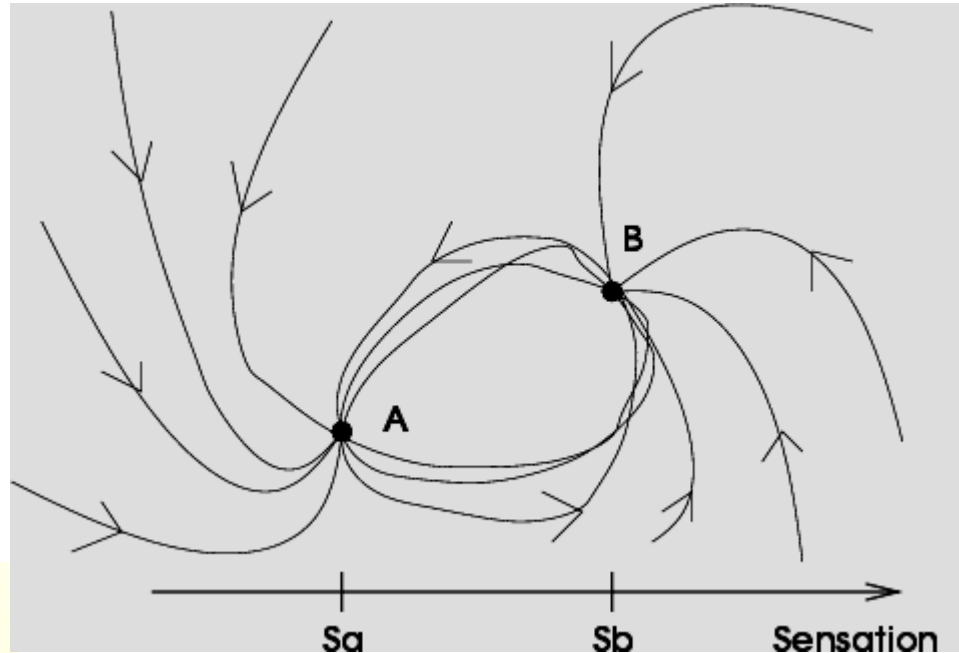
- Be sure of the visual locking ,
- Manage some explicit / implicit signals (begin/end of the exp.)



**The innovation only comes from the other!**

# More complex Resonances

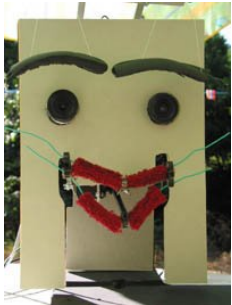
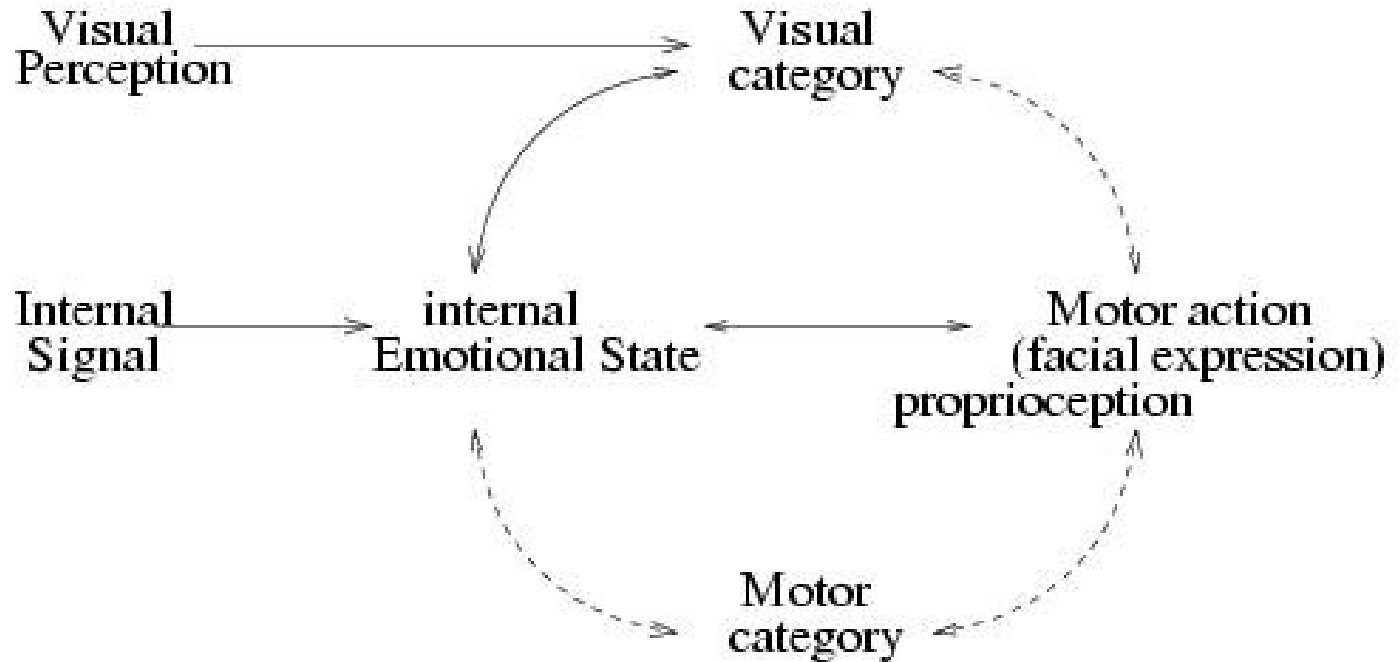
Need to introduce at least cyclic attractors:



Different levels:  
- turn taking  
- role switching

“Will” of interaction:  
a simple internal oscillator circuit?

# More realistic architecture



# Conclusion

Possible mathematical analysis of embodied brain models  
(development, interactions...)

→ New cybernetics

Need of an holistic approach to cognition :

Go from simple to more and more complex tasks to take into account the  
NL effects of the dynamics of the interactions with env.

Impossibility to decouple perception and action

Perception can be seen as a dyn. attractor



# Conclusion

Le robot un artefact et un modèle

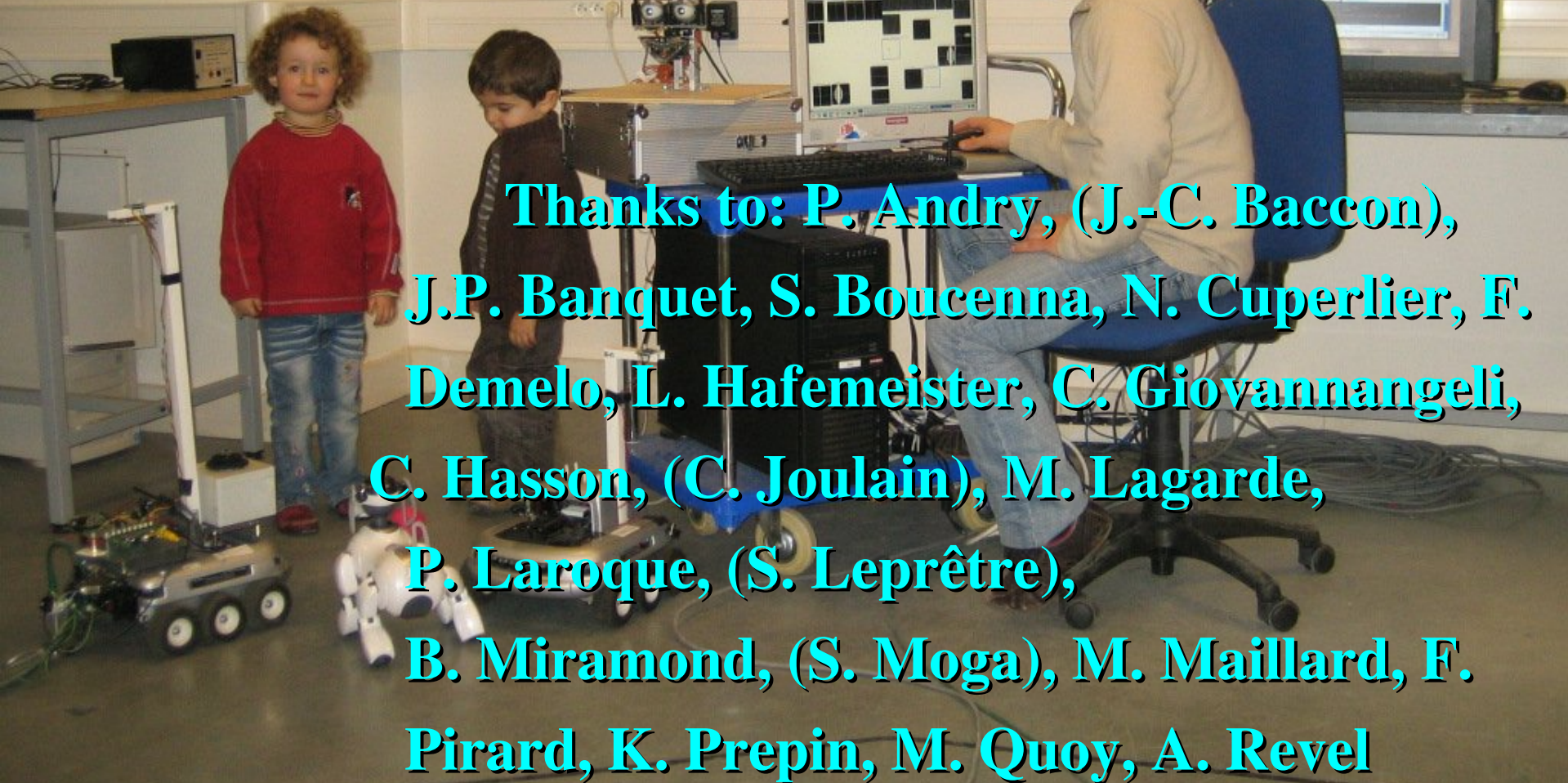
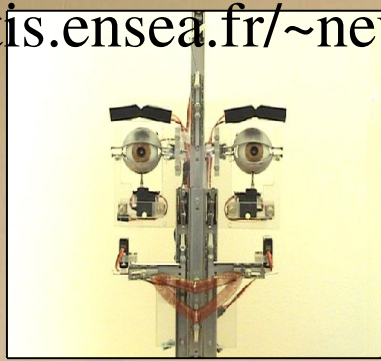
Le robot: une IHM et un moyen de comprendre les IHMs!

Les robots en tant qu'artefacts doivent être capable de créer des couplages pour leur propre bon fonctionnement!

Interet d'artefacts pouvant interagir sur le registre émotionnel (résonance avec l'humain)

➔ Prénance du couplage

web site: [www.etis.ensea.fr/~neurocyber/Videos/](http://www.etis.ensea.fr/~neurocyber/Videos/)



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P. Laroque, (S. Leprêtre),  
B. Miramond, (S. Moga), M. Maillard, F.  
Pirard, K. Prepin, M. Quoy, A. Revel**