

# On the complexity of Submap Isomorphism and Maximum Common Submap Problems

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## Abstract

Generalized maps describe the subdivision of objects in cells and are widely used to model 2D and 3D images. In this context, several pattern recognition tasks involve solving submap isomorphism problems (to decide if a map is included in another map) or, more generally, computing maximum common submaps (to measure the distance between two maps). Recently, we have described a polynomial-time algorithm for solving the submap isomorphism problem when the pattern map is connected. In this paper, we show that submap isomorphism is  $\mathcal{NP}$ -complete when the pattern map is not connected. Then, we characterize the inherent difficulty of submap isomorphism with respect to the number of connected components. We show that it is Fixed-Parameter Tractable (FPT) and we give an FPT algorithm for submap isomorphism. We experimentally compare this algorithm with a state-of-the-art subgraph isomorphism algorithm for searching for patterns in an image and we show that it is both more accurate and more efficient. Finally, we study the complexity of the maximum common submap problem, and we show that it is  $\mathcal{NP}$ -hard even though we restrict the problem to the search of common connected submaps.

*Keywords:*

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## 1. Motivations

To model the topology of the segmentation of an image in regions, one may use Region Adjacency Graphs (RAGs) [1, 2]: An RAG associates a node with every region and an edge with every pair of adjacent regions. However an RAG does not fully describe the topology of a partition in regions because it does not represent multi-adjacency relations nor the order of adjacent regions when turning around a given region. Thus two partitions having different topologies may be described by isomorphic RAGs, as illustrated in Fig. 1.

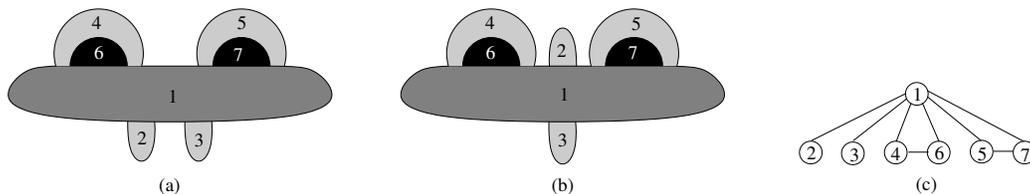


Figure 1: Segmented images (a) and (b) have different topologies but their RAGs are isomorphic to (c). (We don't represent the infinite region in the RAG.)

Dual graphs [3] extend RAGs and fully describe the topology of a segmented image by pairs  $(G, \overline{G})$  of dual graphs such that each face of  $G$  corresponds to a vertex of  $\overline{G}$ , and vice-versa. This representation is able to encode any subdivision of the 2D topological space [4]. However, encoding 3D topological spaces with dual graphs is a difficult problem. Combinatorial maps and generalized maps [5] are more general data structures which fully model the topology of  $nD$  objects subdivided in cells (*e.g.*, 0D vertices, 1D edges, 2D faces, 3D volumes) by means of incidence and adjacency relationships between these cells. In particular, these models are very well suited for scene modeling [6], and for 2D and 3D image segmentation [7].

In [8], we have defined a basic tool for comparing combinatorial maps, *i.e.*, submap isomorphism which involves deciding if a copy of a pattern map may be found in a target map. This problem is central to many pattern recognition tasks as it may be used to decide whether a part of a segmented image is homeomorphic to another segmented image. In [8], we have proposed an efficient polynomial-time algorithm for solving this problem provided that

the pattern map is connected. This algorithm allows us to very quickly find all occurrences of a pattern, and we have illustrated its interest and feasibility for searching patterns in 2D and 3D segmented images, in the same way as any child would aim to do when he searches Wally in Martin Handford’s books. However, a strong precondition of this algorithm is that the pattern map must be connected, and we have shown in [9] that submap isomorphism becomes  $\mathcal{NP}$ -complete when the pattern map is not connected. This is a strong impediment since combinatorial maps modeling image segmentations are not always connected: When some regions are completely enclosed in a region, the corresponding combinatorial map is not connected.

In this paper, we study further the tractability of submap isomorphism and we characterize the inherent difficulty of submap isomorphism with respect to the number of connected components. More precisely, we show that it is Fixed-Parameter Tractable (FPT) and we describe an FPT-algorithm which is exponential only in the number of connected components of the pattern map while being polynomial in the sizes of the two maps. We draw a series of experiments that aim at comparing this algorithm with a state-of-the-art subgraph isomorphism algorithm for searching for patterns in a segmented image. We show that our FPT-algorithm dramatically reduces the running time needed to find patterns and improves the relevance of retrieved patterns.

Submap isomorphism is a decision problem which cannot be used to measure the similarity of two maps as soon as there is no inclusion relation between them. In order to deal with this issue, we have introduced in [10] a distance measure which is based on the size of a largest common submap, in a similar way as a graph distance measure is defined by means of the size of a largest common subgraph in [11]. Many pattern recognition tasks such as, for example, mining, classification, or clustering, rely on the computation of distances so that it is important to have efficient algorithms for computing maximum common submaps. In order to better understand these questions, it is worth studying the theoretical complexity of this problem: If it is obviously  $\mathcal{NP}$ -hard in the general case<sup>1</sup>, its theoretical complexity be-

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<sup>1</sup>We may decide if a map  $M$  is isomorphic to a submap of another map  $M'$  by searching for the maximum common submap of  $M$  and  $M'$  and checking whether it is isomorphic to  $M$ . As submap isomorphism is  $\mathcal{NP}$ -complete when  $M$  is not connected, searching for a maximum common submap is  $\mathcal{NP}$ -hard if we do not restrict the search to connected submaps.

comes less obvious when we restrict the problem to the search of maximum common *connected* submaps. Indeed, the fact that there exists a polynomial-time algorithm for solving connected submap isomorphism lets us hope that the basic principle of this algorithm may be extended to efficiently solve the maximum common connected submap problem. In this paper, we prove that this problem is actually  $\mathcal{NP}$ -hard.

*Contributions and outline of the paper.* In Section 2 we recall definitions related to generalized maps, and in Section 3 we recall definitions and results related to computational complexity. In Section 4, we study the theoretical complexity of submap isomorphism, and we give an FPT algorithm for this problem. In Section 5, we experimentally compare this algorithm with a subgraph isomorphism algorithm for searching patterns in an image and we show that it is both more accurate and more efficient. In Section 6, we study the theoretical complexity of maximum common submap.

The new contributions of this paper with respect to [9] mainly are:

- A proof that submap isomorphism is Fixed-Parameter Tractable (FPT) and the description of an FPT-algorithm for this problem;
- An experimental evaluation of the FPT-algorithm for searching for patterns in a segmented image;
- A proof that the maximum common submap problem is  $\mathcal{NP}$ -hard, even when the common submap is required to be connected.

## 2. Recalls and basic definitions on generalized maps ( $n$ G-maps)

In this work we consider generalized maps, which are more general than combinatorial maps, and we refer the reader to [5] for more details on generalized maps.

Throughout this paper  $n$  is a positive integer corresponding to the dimension of the  $n$ G-maps, and  $N$  denotes the set of all integers ranging between 0 and  $n$ .

**Definition 1.** ( $n$ G-map) An  $n$ -dimensional generalized map (or  $n$ G-map) is defined by a tuple  $M = (D, \alpha_0, \dots, \alpha_n)$  such that (i)  $D$  is a finite set of

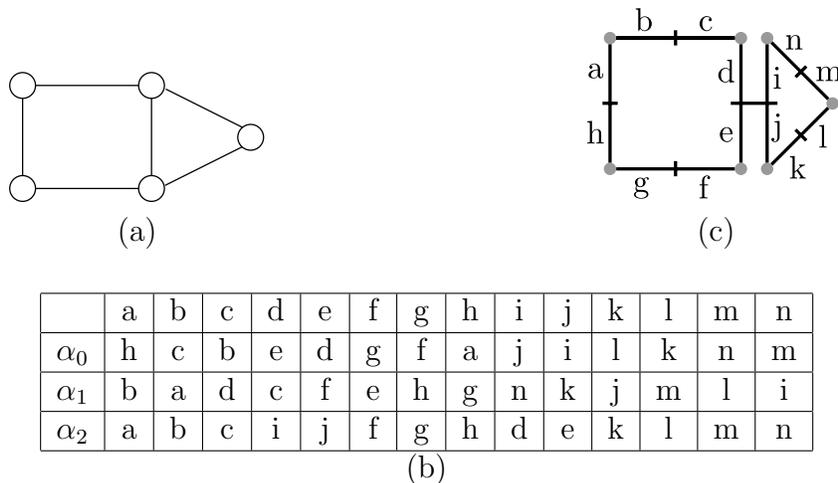


Figure 2: (a) A plane graph. (b) The corresponding 2G-map. (c) Its graphical representation: Darts are represented by segments labeled with letters, consecutive darts separated with a little segment are 0-sewn (e.g.,  $\alpha_0(b) = c$  and  $\alpha_0(c) = b$ ), consecutive darts separated with a dot are 1-sewn (e.g.,  $\alpha_1(a) = b$  and  $\alpha_1(b) = a$ ), parallel adjacent darts are 2-sewn (e.g.,  $\alpha_2(d) = i$  and  $\alpha_2(i) = d$ ).

components called darts; (ii)  $\forall i \in N$ ,  $\alpha_i$  is an involution<sup>2</sup> on  $D$ ; and (iii)  $\forall i, j \in N$  such that  $i + 2 \leq j$ ,  $\alpha_i \circ \alpha_j$  is an involution.

2G-maps may be used to model plane graphs, *i.e.*, embeddings of planar graphs into planes. For example, Fig. 2 displays a plane graph and the corresponding 2G-map. We say that a dart  $d$  is  $i$ -sewn with a dart  $d'$  whenever  $d = \alpha_i(d')$  and  $d \neq d'$ , whereas it is  $i$ -free whenever  $d = \alpha_i(d)$ . A seam is a tuple  $(d, i, d')$  such that  $d'$  is  $i$ -sewn to  $d$ . For example,  $(a, 0, h)$  is a seam of the 2G-map displayed in Fig. 2 because  $\alpha_0(a) = h$ .

**Definition 2.** (seams of a set of darts in an  $n$ G-map) Let  $M = (D, \alpha_0, \dots, \alpha_n)$  be an  $n$ G-map and  $E \subseteq D$  be a set of darts. The set of seams associated with  $E$  in  $M$  is:  $seams_M(E) = \{(d, i, \alpha_i(d)) \mid d \in E, i \in N, \alpha_i(d) \in E, \alpha_i(d) \neq d\}$ .

For example, in the 2G-map  $M$  displayed in Fig. 2, we have

$$seams_M(\{d, e, i\}) = \{(d, 0, e), (e, 0, d), (d, 2, i), (i, 2, d)\}$$

<sup>2</sup>An involution  $f$  on  $D$  is a bijective mapping from  $D$  to  $D$  such that  $f = f^{-1}$ .

Map isomorphism [5] allows us to decide the equivalence of two  $n$ G-maps.

**Definition 3.** (map isomorphism [5]) Two  $n$ G-maps  $M = (D, \alpha_0, \dots, \alpha_n)$  and  $M' = (D', \alpha'_0, \dots, \alpha'_n)$  are isomorphic if there exists a bijection  $f : D \rightarrow D'$ , such that  $\forall d \in D, \forall i \in N, f(\alpha_i(d)) = \alpha'_i(f(d))$ .

Induced submaps are defined in [12]:  $M$  is an induced submap of  $M'$  if  $M$  preserves all seams of  $M'$ , i.e, for every couple of darts  $(d_1, d_2)$  of  $M$ ,  $d_1$  is  $i$ -sewn to  $d_2$  in  $M'$  if and only if  $d_1$  is  $i$ -sewn to  $d_2$  in  $M$ .

**Definition 4.** (induced submap [12]) An  $n$ G-map  $M' = (D', \alpha'_0, \dots, \alpha'_n)$  is an induced submap of another  $n$ G-map  $M = (D, \alpha_0, \dots, \alpha_n)$  if  $D' \subseteq D$  and  $seams_{M'}(D') = seams_M(D')$ .

Another submap relation is introduced in [10] and is called partial submap by analogy with existing work on graphs. Indeed, induced subgraphs are obtained by removing some nodes (and all their incident edges) whereas partial subgraphs are obtained by removing not only some nodes (and all their incident edges) but also some edges. In our  $n$ G-map context, partial submaps are obtained by removing not only some darts (and all their seams) but also some other seams.

**Definition 5.** (partial submap [10]) An  $n$ G-map  $M' = (D', \alpha'_0, \dots, \alpha'_n)$  is a partial submap of another  $n$ G-map  $M = (D, \alpha_0, \dots, \alpha_n)$  if  $D' \subseteq D$  and  $seams_{M'}(D') \subseteq seams_M(D')$ .

An  $n$ G-map is connected if any pair of darts is connected with a path of sewn darts.

**Definition 6.** (connected  $n$ G-map) An  $n$ G-map  $M = (D, \alpha_0, \dots, \alpha_n)$  is *connected* if  $\forall d, d' \in D$ , there exists a sequence of darts  $(d_1, \dots, d_k)$  such that  $d_1 = d$ ,  $d_k = d'$  and  $\forall i \in \{1, \dots, k-1\}, \exists j_i \in N, d_{i+1} = \alpha_{j_i}(d_i)$ .

A connected component of an  $n$ G-map  $M = (D, \alpha_0, \dots, \alpha_n)$  is an induced submap  $M'$  of  $M$  which is connected and maximal, *i.e.*, such that there does not exist another induced submap  $M'' \neq M'$  of  $M$  which is connected and such that  $M'$  is an induced submap of  $M''$ .

2G-maps modeling segmented images are not necessarily connected, as illustrated in Fig. 3. Note that we may transform a non connected  $n$ G-map into a connected  $n$ G-map by splitting some darts belonging to different

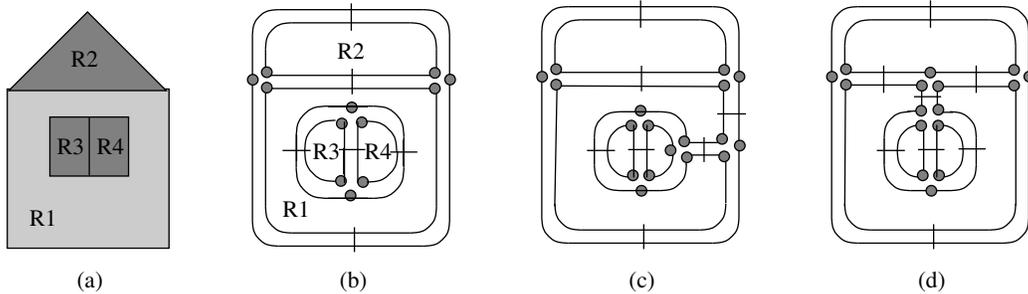


Figure 3: Example of segmented image (a) whose associated 2G-map (b) is not connected. Regions  $R_3$  and  $R_4$  are enclosed in region  $R_1$  so that the 2G-map (b) contains 2 different connected components. The first one corresponds to the external boundaries of  $R_3$  and  $R_4$  and the internal boundary of  $R_1$ . The second one corresponds to the external boundaries of  $R_2$  and  $R_1$  and the internal boundary of the infinite region. (c) and (d) are two connected 2G-maps which are obtained from (b) by splitting some darts and sewing them. However, these two 2G-maps are not isomorphic.

connected components and sewing them together. However, the choice of the darts to be splitted is arbitrary so that two homeomorphic segmented images may be modeled with two non isomorphic connected  $n$ G-maps, as illustrated in Fig. 3.

### 3. Recalls on computational complexity

#### 3.1. (Sub)Graph Isomorphism and Maximum Common Subgraph Problems

2G-maps may be used to model the topology of a plane graph. Hence, problems on  $n$ G-maps are at least as hard as corresponding problems on plane graphs in the sense that if we have an algorithm to solve a problem on  $n$ G-maps, then we may extend this algorithm to solve the corresponding problem on plane graphs in a straightforward way (and also on planar graphs which have unique planar embeddings such as, for example, 3-connected planar graphs).

In this section, we recall some complexity results for (sub)graph isomorphism and maximum common subgraph problems.

The complexity of graph isomorphism is still an open question. If it clearly belongs to  $\mathcal{NP}$ , no polynomial-time algorithm has been found for this problem, and it has not been shown to be  $\mathcal{NP}$ -complete. However, there exist special classes of graphs for which this problem is polynomial such as, for example, planar graphs [13], bounded valence graphs [14] and

ordered graphs [15]. For general graphs, many instances may be solved in polynomial time by exploiting local invariant properties such as node degrees [16, 17]. However, in some cases these local invariant properties are not strong enough to discriminate non isomorphic graphs.

Subgraph isomorphism is more general than graph isomorphism (in the sense that graph isomorphism may be reduced to subgraph isomorphism) and it has been shown to be  $\mathcal{NP}$ -complete, even in the case of planar graphs [18]. However, there exist subclasses of planar graphs for which this problem becomes polynomial such as, for example, trees [19], 2-connected outerplanar graphs [20] and outerplanar graphs under the block-and-bridge preserving constraint [21]. Moreover, several studies have aimed at circumventing the source of  $\mathcal{NP}$ -completeness by studying its parameterized complexity. In particular, Eppstein [22] has proposed a first FPT-algorithm for planar subgraph isomorphism with fixed size patterns. The time-complexity of this algorithm is linear in the number of vertices of the target graph, and exponential only in the number  $k$  of vertices of the pattern graph. Recently, Dorn [23] has improved the dependence on the size  $k$  of the pattern graph from  $k^{\mathcal{O}(k)}$  to  $2^{\mathcal{O}(k)}$ .

The maximum common subgraph problem is more general than subgraph isomorphism and it is  $\mathcal{NP}$ -hard in the general case. However, for all classes of graphs for which subgraph isomorphism is polynomial, it is worth studying the complexity of the maximum common subgraph on this particular class of graphs. In particular, this problem can be solved in polynomial time if input graphs are trees or almost trees of bounded degree [24]. Most recently, polynomial-time algorithms have been introduced for outerplanar graphs of bounded degrees [25], and for outerplanar graphs under the block-and-bridge-preserving constraint [26].

### 3.2. Boolean Satisfiability

The boolean satisfiability problem (SAT) involves deciding if a boolean formula  $F$  over a set  $X = \{x_1, \dots, x_v\}$  of variables may be satisfied. Without loss of generality, we assume that  $F$  is in Conjunctive Normal Form (CNF), *i.e.*,  $F = c_1 \wedge \dots \wedge c_m$  is a conjunction of  $m$  clauses such that each clause  $c_i = l_{i,1} \vee \dots \vee l_{i,j_i}$  is a disjunction of  $j_i$  literals, and each literal  $l_{i,k}$  is either a variable of  $X$  (*i.e.*,  $l_{i,k} = x_j$ ) or the negation of a variable of  $X$  (*i.e.*,  $l_{i,k} = \neg x_j$ ). We use the set membership notation to denote that a clause  $c_j$  occurs in  $F$  and that a variable  $x_i$  occurs in a clause  $c_j$  (either positively or

negatively). Let  $\#X$  be the number of variables in  $X$ ,  $\#F$  the number of clauses in  $F$ , and  $\#c_j$  the number of literals in a clause  $c_j$ .

SAT is an  $\mathcal{NP}$ -complete problem [27], and it remains  $\mathcal{NP}$ -complete even if every clause is composed of at most 3 literals, yielding the 3-SAT problem.

Some problems which are  $\mathcal{NP}$ -complete for general graphs may become polynomial when graphs are planar or plane so that it is worth studying their complexity in these special cases. To facilitate  $\mathcal{NP}$ -completeness proofs of problems dealing with planar graphs, Lichtenstein [28] has introduced Planar 3-SAT. Given a 3-SAT instance  $(X, F)$ , he defines the graph  $G_{(X,F)} = (V, E_1 \cup E_2)$  such that

- $V$  associates a vertex with every variable  $x_i \in X$  and every clause  $c_j \in F$ ;
- $E_1$  associates an edge with every couple  $(x_i, c_j)$  such that  $c_j$  is a clause and  $x_i$  is a variable which occurs in  $c_j$ , *i.e.*,  $E_1 = \{(x_i, c_j) \mid c_j \in F, x_i \in c_j\}$ ; we note  $pos(x_i)$  (resp.  $neg(x_i)$ ) the set of edges  $(x_i, c_j) \in E_1$  such that  $x_i$  occurs positively (resp. negatively) in  $c_j$ , and  $\#pos(x_i)$  (resp.  $\#neg(x_i)$ ) the cardinality of  $pos(x_i)$  (resp.  $neg(x_i)$ ).
- $E_2$  defines a cycle over the set of vertices associated with variables, *i.e.*,  $E_2 = \{(x_i, x_{i+1}) \mid i \in [1, \#X - 1]\} \cup \{(x_{\#X}, x_1)\}$ .

Lichtenstein has defined Planar 3-SAT as 3-SAT restricted to instances  $(X, F)$  such that the graph  $G_{(X,F)}$  is planar, and he has shown that Planar 3-SAT is  $\mathcal{NP}$ -complete.

In our generalized map context, we are not only interested in planar graphs, but also in plane graphs, *i.e.*, embeddings of planar graphs in planes. When the graph  $G_{(X,F)}$  is embedded in a plane, edges of  $E_2$  define a cycle which separates the plane into two parts: A first part inside the cycle, and a second part outside. We note  $in(x_i)$  (resp.  $out(x_i)$ ) the set of edges  $(x_i, c_j) \in E_1$  such that  $c_j$  is inside (resp. outside) the cycle defined by  $E_2$  edges. For example, Fig. 4 displays an instance  $(X, F)$  of Planar 3-SAT and an embedding of its associated graph  $G_{(X,F)}$  in a plane. On this example, edges of  $x_3$  which belong to the cycle are  $in(x_3) = \{(x_3, c_2), (x_3, c_4)\}$ .

A plane embedding of  $G_{(X,F)}$  is said to be *separable* if, for every variable  $x_i$ , we have  $\{pos(x_i), neg(x_i)\} = \{in(x_i), out(x_i)\}$ . In other words, all edges of  $pos(x_i)$  belong to the same part of the plane with respect to the cycle defined by  $E_2$ , and all edges of  $neg(x_i)$  belong to the other part. For example, in the

$$\begin{aligned}
X &= \{x_1, x_2, x_3, x_4, x_5\} \\
F &= (\neg x_1 \vee x_2 \vee \neg x_4) \\
&\wedge (x_1 \vee \neg x_2 \vee x_3) \\
&\wedge (x_2 \vee \neg x_3 \vee \neg x_4) \\
&\wedge (x_3 \vee x_4 \vee \neg x_5) \\
&\wedge (\neg x_1 \vee x_5)
\end{aligned}$$

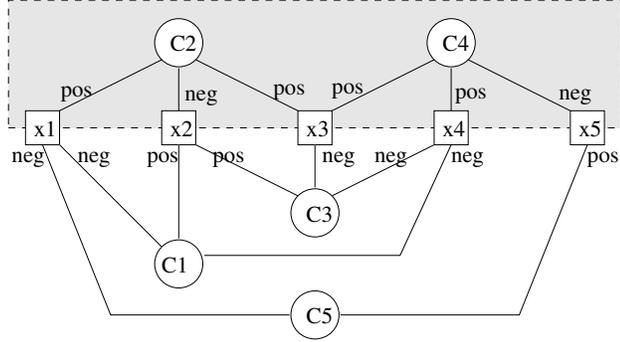


Figure 4: An instance  $(X, F)$  of Separable Planar 3-SAT and a separable embedding of its associated formula graph  $G_{(X,F)}$  in a plane. Clauses correspond to circles, and variables to squares. Edges of  $E_2$  are displayed in dotted lines and the part of the plane inside the cycle defined by  $E_2$  edges is displayed in gray. For each variable  $x_i$ , edges of  $pos(x_i)$  are labelled with  $pos$  and edges of  $neg(x_i)$  are labelled with  $neg$ .

embedding displayed in Fig. 4, we have  $in(x_3) = \{(x_3, c_2), (x_3, c_4)\} = pos(x_3)$  and  $out(x_3) = \{(x_3, c_3)\} = neg(x_3)$ , so that the separability constraint is satisfied for  $x_3$ . We can check that it is also satisfied for all other variables so that this plane embedding is separable.

Furthermore, Knuth and Raghuathan [29] have shown that any separable embedding may be drawn so that all variables are aligned from  $x_1$  to  $x_{\#X}$ , and all clauses which are inside (resp. outside) the  $E_2$  cycle are above (resp. below) the variable line, as displayed in Fig. 4.

Lemma 1 of [28] shows us that the Separable Planar 3-SAT problem defined below is  $\mathcal{NP}$ -complete.

**Problem:** Separable Planar 3-SAT

**Instance:** A triple  $(X, F, \mu)$  where  $X$  is a set of boolean variables,  $F$  is a CNF formula over  $X$  and  $\mu$  is a plane embedding of  $G_{(X,F)}$  such that (i) the plane embedding  $\mu$  is separable; (ii) every variable occurs in 2 or 3 clauses, and at least once positively and once negatively; and (iii) every clause contains 2 or 3 literals.

**Question:** Does there exist a truth assignment for  $X$  which satisfies  $F$ ?

Note that the embedding  $\mu$  is given as an input of the instance: Indeed, Lichtenstein [28] has proven that, given any planar 3-SAT instance  $(X, F)$ , we can build in polynomial-time an equivalent SAT instance  $(X', F')$  and a separable plane embedding of  $G_{(X',F')}$ . Furthermore, the instance  $(X', F')$  satisfies constraints (ii) and (iii) of the definition of the problem.

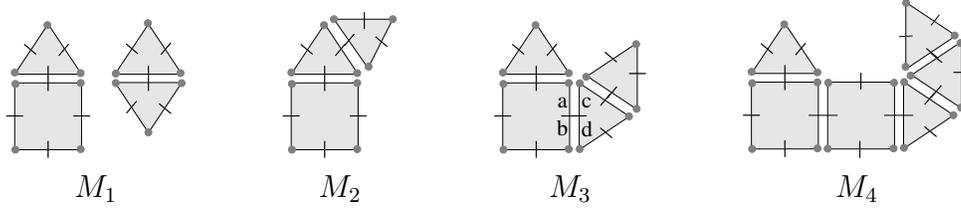


Figure 5: Submap isomorphism examples.  $M_1$  is not isomorphic to a submap of  $M_2$  (i.e.,  $M_1 \not\sqsubseteq^p M_2$  and  $M_1 \not\sqsubseteq^i M_2$ ), though each connected component of  $M_1$  is a submap of  $M_2$ .  $M_1$  is isomorphic to a partial submap of  $M_3$ , but not to an induced one (i.e.,  $M_1 \sqsubseteq^p M_3$  and  $M_1 \not\sqsubseteq^i M_3$ ), because the seams  $(a, 2, c)$  and  $(b, 2, d)$  of  $M_3$  are not preserved in  $M_1$ .  $M_1$  is isomorphic to an induced submap of  $M_4$  and, therefore, it is also isomorphic to a partial submap of  $M_4$  (i.e.,  $M_1 \sqsubseteq^p M_4$  and  $M_1 \sqsubseteq^i M_4$ ).

#### 4. Submap isomorphism

Submap isomorphism involves deciding if a pattern  $n$ G-map  $M$  is isomorphic to a submap of a target  $n$ G-map  $M'$ , and it is formally defined below.

<p><b>Problem:</b> Partial (resp. induced) submap isomorphism</p> <p><b>Instance:</b> A couple <math>(M, M')</math> such that <math>M</math> and <math>M'</math> are <math>n</math>G-maps.</p> <p><b>Question:</b> Does there exist a partial (resp. induced) submap of <math>M'</math> which is isomorphic to <math>M</math>?</p>
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We note  $M \sqsubseteq^p M'$  (resp.  $M \sqsubseteq^i M'$ ) when the answer is yes. Note that  $M \sqsubseteq^i M' \Rightarrow M \sqsubseteq^p M'$ . Fig. 5 displays examples of submap isomorphisms.

The complexity of submap isomorphism depends on the connectedness of the pattern  $n$ G-map. For example, the  $n$ G-map  $M_1$  of Fig. 5 is not connected, and is composed of two connected components, whereas the  $n$ G-maps  $M_2$ ,  $M_3$  and  $M_4$  are connected. When the pattern  $n$ G-map  $M$  is connected, we can decide of submap isomorphism in polynomial time by using the algorithm described in [8]. When the pattern  $n$ G-map  $M$  is not connected, we may use this algorithm to search for all occurrences of each connected component of  $M$  in the target  $n$ G-map  $M'$ . Let us consider, for example, the  $n$ G-map  $M_1$  of Fig. 5. Its left hand side component occurs once in  $M_2$  and twice in  $M_3$  and  $M_4$ , and its right hand side component occurs once in  $M_2$  and  $M_3$  and twice in  $M_4$ . To solve the submap isomorphism problem from these occurrence lists, we have to solve the following combinatorial problem: Can we select one occurrence in  $M'$  of each connected component of  $M$  so that the selected occurrences do not overlap in  $M'$ ?

In Section 4.1, we prove that submap isomorphism becomes  $\mathcal{NP}$ -complete

when the pattern  $n$ G-map  $M$  is not required to be connected. In Section 4.2, we give an FPT-algorithm which proves that the tractability of this problem actually depends on the number of connected components in  $M$ .

#### 4.1. Submap Isomorphism is $\mathcal{NP}$ -complete

Theorem 1 claims that submap isomorphism is  $\mathcal{NP}$ -complete in the general case, when the pattern  $n$ G-map  $M$  is not required to be connected.

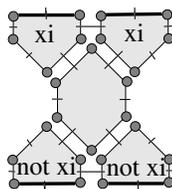
**Theorem 1.** *Partial (resp. induced) submap isomorphism is  $\mathcal{NP}$ -complete.*

The problem trivially belongs to  $\mathcal{NP}$  since one can check that a given partial (resp. induced) submap of the target  $n$ G-map  $M'$  is isomorphic to the pattern  $n$ G-map  $M$  in polynomial time. We may use for example the polynomial time algorithm for map isomorphism of [8], which has been defined for non connected  $n$ G-maps.

To prove that the problem is  $\mathcal{NP}$ -complete, we show that Separable Planar 3-SAT may be reduced to it in polynomial time<sup>3</sup>. We first consider the induced case; the extension of the proof to the partial case is discussed at the end of this section. We consider an instance  $(X, F, \mu)$  of Separable Planar 3-SAT and we show how to build an instance  $(M, M')$  of submap isomorphism such that  $M \sqsubseteq^i M'$  iff the answer to  $(X, F, \mu)$  is yes. We consider 2G-maps, so that  $n = 2$ .

*Definition of the target 2G-map  $M'$ .* Let us first describe the building blocks which compose  $M'$ :

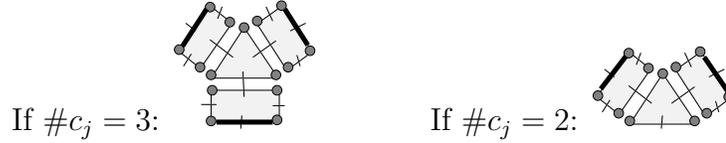
- For each variable  $x_i$ ,  $M'$  contains a gadget which is composed of a central 12-dart face surrounded with four 10-dart faces such that two of these 10-dart faces are labelled with  $x_i$  and are adjacent, and the two other ones are labelled with  $\neg x_i$  and are adjacent, as displayed below:



<sup>3</sup>We have given a different proof in [9], based on a reduction of Planar-4 3-SAT. The new proof is a bit simpler.

Darts displayed in bold are connecting darts which will be 2-sewn to define a connected 2G-map.

- For each clause  $c_j$ ,  $M'$  contains a gadget which is composed of a central 6-dart face surrounded with  $\#c_j$  8-dart faces as displayed below:

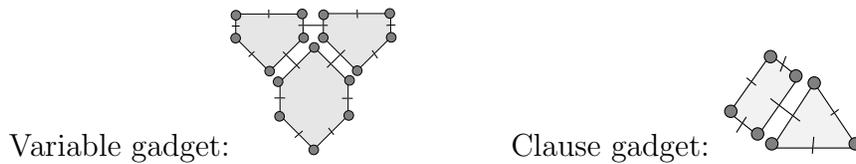


Each 8-dart face is labelled with a literal of  $c_j$ , and the order of these labels when turning around the central 6-dart face is the same as the one in the embedding  $\mu$ . Again, darts displayed in bold are connecting darts which will be 2-sewn to define a connected 2G-map.

These building blocks are positioned in the plane like in the separable embedding  $\mu$  of  $G_{(X,F)}$  in such a way that, for each variable  $x_i$ , the 10-dart faces labelled with  $x_i$  (resp.  $\neg x_i$ ) are oriented towards the part of the cycle defined by  $E_2$  edges which contains  $pos(x_i)$  (resp.  $neg(x_i)$ ) edges.

Then, these building blocks are 2-sewn in order to define a connected 2G-map: For each clause  $c_j$ , each connecting dart of the associated clause gadget is 2-sewn with a connecting dart of a 10-dart face which is labelled with a literal of  $c_j$ , according to the separable embedding  $\mu$  of  $G_{(X,F)}$ . Note that in separable instances every variable occurs in 2 or 3 clauses, and at least once positively and once negatively, so no variable occurs more than twice positively or more than twice negatively. This ensures us that variable gadgets have enough 10-dart faces to connect clause gadgets with variable gadgets. Fig. 6 displays the 2G-map associated with the formula displayed in Fig. 4. This 2G-map contains only one connected component as the different gadgets which compose it have been 2-sewn.

*Definition of the pattern 2G-map  $M$ .*  $M$  is composed of  $\#X$  occurrences of the variable gadget and  $\#F$  occurrences of the clause gadget, where variable and clause gadgets are displayed below:



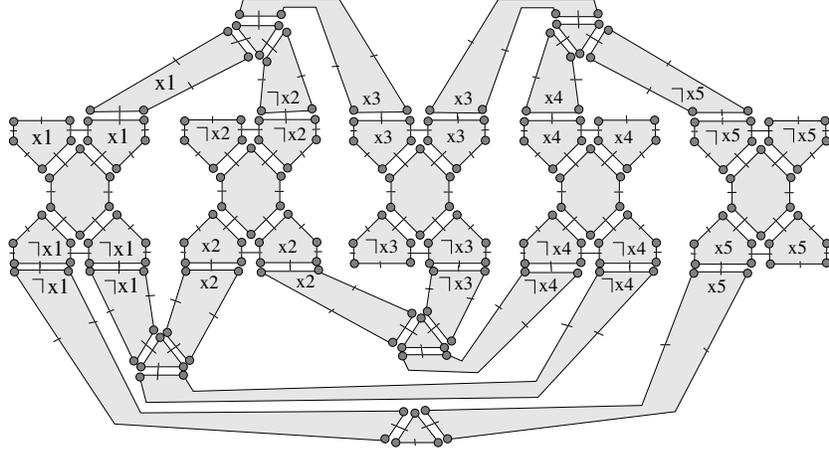


Figure 6: 2G-map  $M'$  associated with the SAT instance displayed in Fig. 4. Note that this 2G-map contains holes (corresponding to white parts in the figure): each dart  $d$  adjacent to these holes is 2-free so that  $\alpha_2(d) = d$ .

For example, the 2G-map  $M$  associated with the formula displayed in Fig. 4 contains 10 connected components: 5 occurrences of the variable gadget and 5 occurrences of the clause gadget.

*Proof of  $(M \sqsubseteq^i M') \Rightarrow (\exists \text{ truth assignment of } X \text{ which satisfies } F)$ .* Let us first assume that there exists an induced submap  $M''$  of  $M'$  which is isomorphic to  $M$ , and let us show that there exists a truth assignment of  $X$  which satisfies  $F$ .

If  $M''$  is isomorphic to  $M$  then, according to Def. 3, there exists a bijection  $f$  which matches darts of  $M''$  with darts of  $M$  and which preserves all seams. By extension, we say that  $f$  matches faces of  $M''$  with faces of  $M$ . As we consider induced submap isomorphism, two faces of  $M$  which belong to two different connected components cannot be matched by  $f$  with faces which are 2-sewn in  $M''$  (according to Def. 3). Fig. 7 displays an example of such a solution for the instance  $(M, M')$  of the induced submap isomorphism problem associated with the instance  $(X, F)$  displayed in Fig. 4.

$M$  contains  $\#F$  clause gadgets, and each of these clause gadgets has a 6-dart face adjacent to a 8-dart face. These faces can only be matched with faces which belong to clause gadgets in  $M'$  as 6-dart faces in  $M'$  only come from clause gadgets. As there are  $\#F$  clause gadgets in  $M$ , each clause gadget in  $M'$  is matched with a different clause gadget in  $M$ . For the same reasons, each variable gadget in  $M$  is matched with a different variable gadget

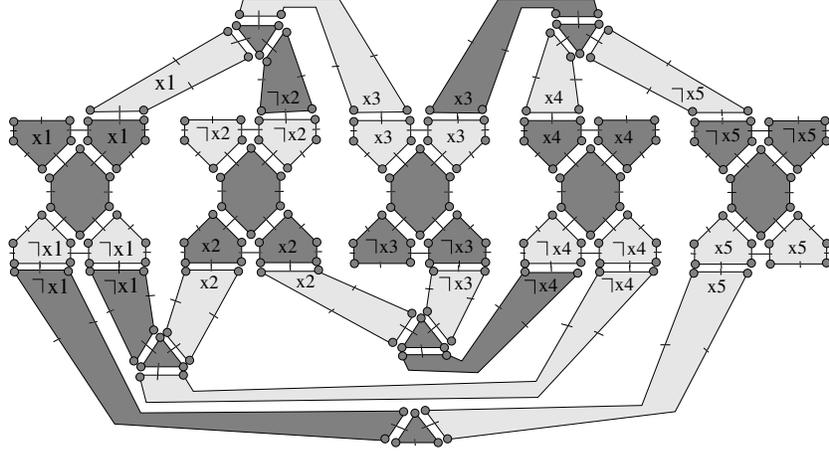


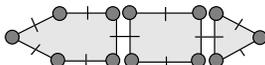
Figure 7: Solution of the induced submap isomorphism instance  $(M, M')$  associated with the Separable Planar 3-SAT instance displayed in Fig. 4. The induced submap of  $M'$  which is isomorphic to  $M$  is displayed in dark gray. Note that two different components of this submap cannot be 2-sewn in  $M'$  as we consider induced submap isomorphism.

in  $M'$ . For each variable gadget, the label of 10-dart faces in  $M'$  which are not matched with 10-dart faces of  $M$  gives the truth assignment for the corresponding variable. For each clause gadget, the label of the 8-dart face of  $M'$  which is matched with a 8-dart face of  $M$  corresponds to a literal which satisfies the clause. As two faces of  $M$  which belong to two different connected components cannot be matched by  $f$  with faces which are 2-sewn in  $M''$ , we ensure that when a 8-dart face of a clause gadget is matched, then its 2-sewn 10-dart face is not matched, *i.e.*, when a clause is satisfied by a literal, then no other clause can be satisfied by the negation of this literal so that the truth assignment deduced from the variable gadget matching actually satisfies all clauses of  $F$ . For example, the truth assignment corresponding to the solution displayed in Fig. 7 is  $\{\neg x_1, \neg x_2, x_3, \neg x_4, x_5\}$ .

*Proof of  $(\exists \text{ truth assignment of } X \text{ which satisfies } F) \Rightarrow (M \sqsubseteq^i M')$ .* Let us assume that there exists a truth assignment of  $X$  which satisfies  $F$  and let us show that there exists an induced submap  $M''$  of  $M'$  which is isomorphic to  $M$ , *i.e.*, that there exists a dart matching which preserves all seams of  $M$ . For each variable gadget in  $M$  associated with a variable  $x_i$ , we match the darts of the 12-dart face with the darts of the 12-dart face of the variable gadget associated with  $x_i$  in  $M'$  and we match the darts of the two 10-dart faces with the darts of the two 10-dart faces which are labeled with the negation of the

truth value of  $x_i$ . For each clause gadget in  $M$  associated with a clause  $c_j$ , we match the darts of the 6-dart face with the darts of the 6-dart face of the clause gadget associated with  $c_j$  in  $M'$  and we match the darts of the 8-dart face with the darts of one of the three 8-dart faces: We choose an 8-dart face which is labeled with a literal which is satisfied by the truth assignment (this 8-dart face cannot be 2-sewn with a matched 10-dart face).

*Proof for the partial case.* Let us now consider the partial case: We consider an instance  $(X, F, \mu)$  of Separable Planar 3-SAT and we show how to build an instance  $(M, M')$  such that  $M \sqsubseteq^p M'$  iff the answer to  $(X, F, \mu)$  is yes. The proof is similar to the induced case. The difference between the induced and the partial cases is that, when considering induced submap isomorphism, two faces which belong to two different components in  $M$  cannot be matched with faces of  $M'$  which are 2-sewn whereas, when considering partial submap isomorphism, two faces which belong to two different components in  $M$  may be matched with faces of  $M'$  which are 2-sewn. Therefore, we modify the clause gadget  $C$  so that the 8-dart face is adjacent to a 6-dart face, on one side, and to a 10-dart face on the opposite side, as displayed below:



These 10-dart faces can only be matched with 10-dart faces of  $M'$ . The label of the matched 10-dart face corresponds to the literal which satisfies the clause. Fig. 8 displays an example of solution for partial submap isomorphism.

#### 4.2. FPT-algorithm for Submap Isomorphism

The fact that submap isomorphism is  $\mathcal{NP}$ -complete implies that there does not exist an algorithm which can solve all instances of this problem in polynomial time, unless  $\mathcal{P} = \mathcal{NP}$ . However, we show in this section that the practical tractability of instances of this problem actually depends on the number of different connected components of the pattern  $n$ G-map  $M$ .

Let us first define the parameterized submap isomorphism problem:

<p><b>Problem:</b> Parameterized partial (resp. induced) submap isomorphism</p> <p><b>Instance:</b> A couple <math>(M, M')</math> such that <math>M</math> and <math>M'</math> are <math>n</math>G-maps.</p> <p><b>Question:</b> Does there exist a partial (resp. induced) submap of <math>M'</math> which is isomorphic to <math>M</math>?</p> <p><b>Parameter:</b> The number <math>k</math> of connected components in <math>M</math></p>
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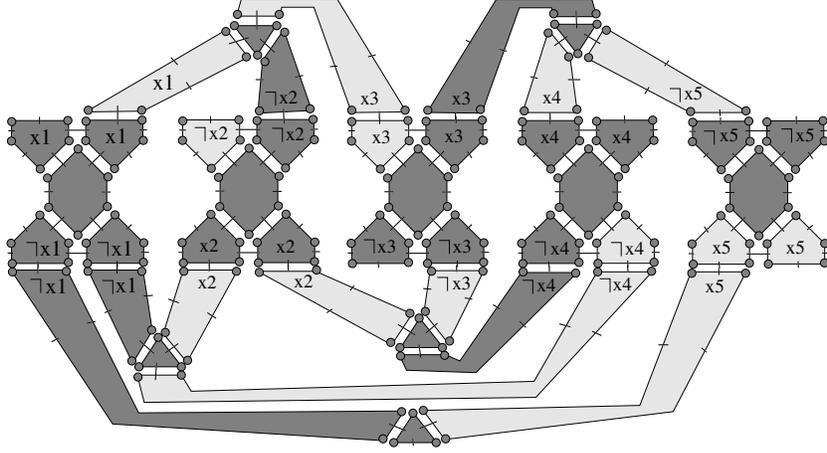


Figure 8: Solution of the partial submap isomorphism instance  $(M, M')$  associated with the Separable Planar 3-SAT instance displayed in Fig. 4. The partial submap of  $M'$  which is isomorphic to  $M$  is displayed in dark gray.

Theorem 2 shows us that this problem may be solved in polynomial time when the number  $k$  of connected components in the pattern  $nG$ -map is bounded by a fixed value.

**Theorem 2.** *Parameterized partial (resp. induced) submap isomorphism is fixed-parameter tractable.*

To prove this theorem, we describe in algorithm 1 a procedure for deciding if an  $nG$ -map  $M$  composed of  $k$  connected components is isomorphic to a submap of  $M'$ , and we show that the time complexity of this procedure is exponential only in  $k$  while it is polynomial in  $n$  and in the number of darts of  $M$  and  $M'$ . We begin to consider the partial case; the proof is extended to the induced case at the end of this section.

*Correctness of algorithm 1.* Let us first show that Algorithm 1 returns true iff  $M$  is isomorphic to a partial submap of  $M'$ . It returns true iff the graph  $G$  has a clique of size  $k$ , *i.e.*, a subset  $S \subseteq V$  such that  $\forall ((M'_x, i), (M'_y, j)) \in S \times S, (M'_x, i) \neq (M'_y, j) \Rightarrow ((M'_x, i), (M'_y, j)) \in E$ . All vertices in  $V$  correspond to submaps of  $M'$  which are isomorphic to connected components of  $M$ , and two different vertices  $(M'_x, i)$  and  $(M'_y, j)$  are adjacent in  $G$  iff the submaps  $M'_x$  and  $M'_y$  of  $M'$  do not share darts and are isomorphic to two different connected components of  $M$ . Therefore, a clique of size  $k$  corresponds to  $k$

---

**Algorithm 1:** SOLVEPARTIALSUBISOMORPHISM( $M, M'$ )

---

**Input:** two  $n$ G-maps  $M$  and  $M'$  such that  $M$  is composed of  $k$  connected components

**Output:** returns true iff  $M$  is isomorphic to a partial submap of  $M'$

- 1 Decompose  $M$  into its  $k$  connected components denoted  $M_1, \dots, M_k$
- 2 Let  $V$  and  $E$  be two empty sets
- 3 **for** each connected component  $M_i$  of  $M$  **do**
- 4     **for** each partial submap  $M'_x$  of  $M'$  which is isomorphic to  $M_i$  **do**
- 5         | add  $(M'_x, i)$  to  $V$
- 6 **for** each  $(M'_x, i) \in V$  **do**
- 7     **for** each  $(M'_y, j) \in V$  such that  $i \neq j$  **do**
- 8         | Let  $D'_x$  and  $D'_y$  be the darts of  $M'_x$  and  $M'_y$ , respectively
- 9         | **if**  $D'_x \cap D'_y = \emptyset$  **then** add  $((M'_x, i), (M'_y, j))$  to  $E$ ;
- 10 **if** the graph  $G = (V, E)$  has a clique of size  $k$  **then**
- 11     | **return** true
- 12 **else**
- 13     | **return** false

---

vertices  $(M'_1, i_1), \dots, (M'_k, i_k)$  such that each  $M'_i$  is isomorphic to a different connected component  $M_i$  and all  $M'_i$  have different darts.

*Complexity of algorithm 1.* Let us note  $d, d'$ , and  $d_i$  the number of darts of  $M, M'$ , and  $M_i$ , respectively. As  $k$  is a fixed parameter, we consider here that it is a constant independent from the size of the instance which only depends on  $n, d$  and  $d'$ .

Line 1 may be done by a simple traversal of  $M$  in  $\mathcal{O}(nd)$ .

Lines 3 to 5 may be done in  $\mathcal{O}(n d d')$  as  $d_1 + \dots + d_k = d$ , and the complexity of searching for all partial submaps of  $M'$  which are isomorphic to  $M_i$  is  $\mathcal{O}(n d_i d')$  when using algorithm 3 of [8]: We modify line 5 of algorithm 3 of [8] by storing the initial dart of  $M'$  (instead of returning *true*) each time we find a subisomorphism function. Note that there are at most  $d'$  partial submaps of  $M'$  which are isomorphic to each connected component  $M_i$  (one for each initial dart of  $M'$ ; see [8] for more details).

Lines 6 to 9 may be done in  $\mathcal{O}(d d'^2)$ . Indeed, the set  $V$  has  $\mathcal{O}(d')$  elements, and submaps  $M'_x$  and  $M'_y$  have at most  $d$  darts. It is possible to check whether

$D'_x \cap D'_y$  is empty in  $\mathcal{O}(d)$  by marking all the darts of  $D'_x$  after line 6, and verifying whether some dart of  $D'_y$  is marked.

Lines 10 to 13 may be done in  $\mathcal{O}(d'^k)$  as the graph  $G$  has  $\mathcal{O}(d')$  vertices and there are  $\mathcal{O}(d'^k)$  subgraphs of  $G$  to check, and each subgraph has  $\mathcal{O}(k^2)$  edges whose presence in  $G$  needs to be checked.

Thus, the overall time complexity of algorithm 1 is  $\mathcal{O}(d'^k + n d d' + d d'^2)$ .

*Algorithm for the induced case.* The algorithm for the induced case may be derived from algorithm 1 by searching for induced submaps instead of partial submaps (line 4), and by modifying the way the set of edges  $E$  is built (lines 6 to 9). Indeed, when considering induced submap isomorphism, two occurrences of two different connected components must not share darts and must not have darts which are sewn in  $M'$  but not in  $M$ . To ensure this, we simply have to mark all darts of  $D'_x$  as well as all darts which are sewn with  $D'_x$  (between lines 6 and 7).

## 5. Experimental evaluation for searching for patterns in images

In [8], we have introduced a polynomial-time algorithm for solving submap isomorphism when the pattern  $n$ G-map is connected, and we have shown how to use this algorithm for searching for a pattern into a database of images. However, in these experiments, we have restricted our attention to patterns which are modelled by connected  $n$ G-maps as the algorithm of [8] is defined for connected submaps only. In this section, we report a similar experiment and show how to use the FPT algorithm introduced in Section 4.2 to search for subimages which are modelled by non connected 2G-maps.

We have considered the segmented image displayed in Fig. 9, and we have used the algorithm of [30] to build a 2G-map from the segmented image. The basic idea of this algorithm is to build the 2G-map in an incremental way: Each pixel is considered iteratively and, for each pixel, a square face is created and sewn to the 2G-map. Then this square face is merged with adjacent faces that belong to the same region. Finally, consecutive edges separating the same two regions are merged so that two adjacent regions are separated by exactly one edge (see [30] for more details).

We have extracted 10 subimages from the segmented image. Each subimage is a connected rectangular subset of pixels. For each subimage, we have used the algorithm of [30] to build a 2G-map from the subimage. Then we have removed from this 2G-map every face corresponding to a region of the



Figure 9: Segmented image

subimage which is adjacent to the exterior of the subimage (to remove faces corresponding to regions which are not completely enclosed in the subimage). Table 1 describes these 2G-maps. These 2G-maps are not connected because some regions of the segmentation are completely enclosed in other regions, as illustrated in Fig. 3 (page 7).

We compare our FPT-algorithm with LAD, a state-of-the-art algorithm for solving subgraph isomorphism problems [31]. Given a 2G-map  $M$ , we build a multigraph  $G = (V, E)$  such that  $V$  associates a vertex with every 0-cell of  $M$  and  $E$  associates an edge with every 1-cell of  $M$ .  $G$  is a multigraph because when a face has only two vertices  $i$  and  $j$  in its boundary, the edge  $(i, j)$  occurs more than once. For example, let us consider the 2G-map displayed in Fig. 10(b). Face 1 has only two vertices in its boundary so that the multiplicity of edge  $(a, g)$  in the graph displayed in Fig. 10(c) is equal to two.

To search for patterns in graphs, we consider the partial subgraph isomorphism problem. Indeed, the graph associated with a pattern 2G-map is not necessarily an induced subgraph of the graph associated with a target 2G-map, as illustrated in Fig. 10. Looking for partial subgraph isomor-

	t	p1	p2	p3	p4	p5	p6	p7	p8	p9	p10
cc	79	2	2	3	3	4	4	5	5	6	6
D	30184	2240	3100	868	712	196	1858	1804	1856	758	3644
V	4978	229	298	108	82	31	366	199	191	170	720
E	7546	337	437	150	113	39	541	284	280	242	1060
F	2726	110	142	45	34	12	179	90	95	79	346

Table 1: Description of 2G-maps extracted from the image displayed in Fig. 9 (column t) and from 10 subimages of this image (columns p1 to p10). Each column successively displays the number of connected components (cc), darts (D), vertices (V), edges (E) and faces (F).

phisms allows us to find all submap isomorphisms. However, some subgraph isomorphisms may not correspond to submap isomorphisms as topological relationships are ignored. For example, the two graphs displayed in Fig. 10 (e) and (g) are isomorphic whereas the two 2G-maps from which they have been built (displayed in Fig. 10 (d) and (f)) are not isomorphic.

We may search for partial subgraph isomorphisms without decomposing the pattern graph into connected components. However, when a same connected component occurs several times in the target graph, it may be more efficient to proceed in a similar way as what is done in Algo. 1, i.e., (i) decompose the pattern graph into its  $k$  connected components, (ii) search for all occurrences of each connected component in the target graph, (iii) build a graph which associates a vertex with every component occurrence and an edge with every pair of component occurrences which do not share edges, and (iv) search for all cliques of  $k$  vertices in this graph. Note that this algorithm is not FPT with respect to the number of connected components as searching for all occurrences of a connected component is an  $\mathcal{NP}$ -complete problem in the case of graphs, whereas it is polynomial in the case of  $n$ G-maps.

Table 2 compares our FPT submap algorithm with these two different subgraph isomorphism approaches. All algorithms have been implemented in C or C++. All our experiments were made on Intel(R) Core(TM) i7 CPU 930 2.80GHz. For each subimage, we have searched for all occurrences of this subimage in the target image.

*Comparison of CPU times.* We report the time performance (in seconds) in Table 2. Our FPT submap algorithm is very effective: It is able to find all occurrences of a pattern in .27 second on average for the 10 patterns. For all patterns but one (p7), most of the time is spent to find all occurrences of all

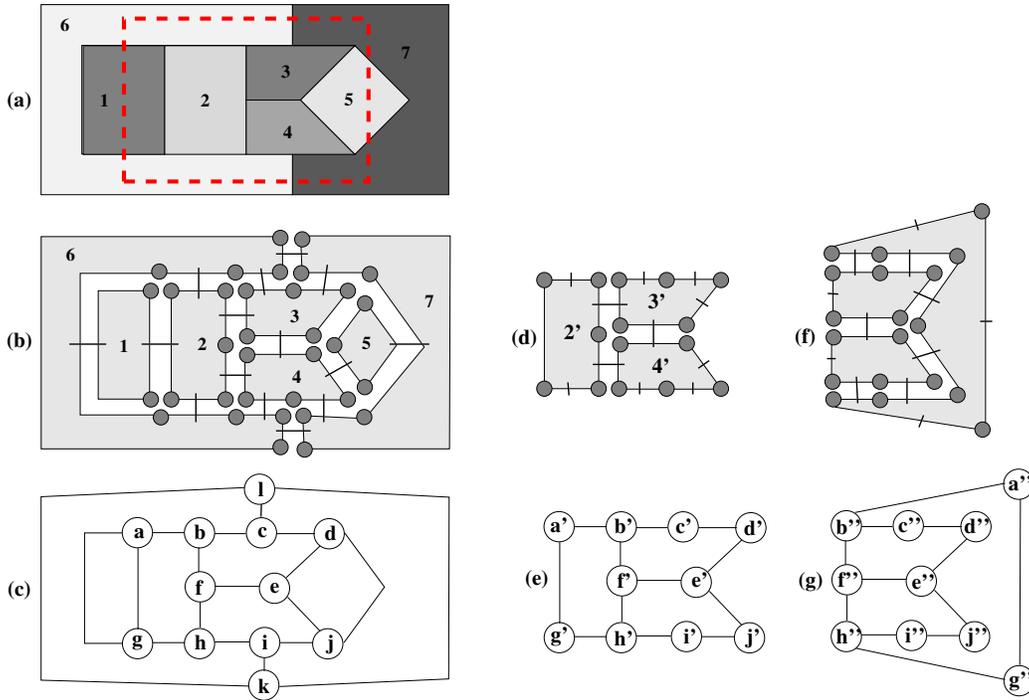


Figure 10: (a) Example of image segmented in 7 regions, with a subimage delimited by a red rectangular dotted line. (b) 2G-map  $M_t$  built from (a). (c) Multigraph  $G_t$  built from  $M_t$ . (d) 2G-map  $M_p$  built from the subimage of (a). (e) Multigraph  $G_p$  built from  $M_p$ . (f) A 2G-map  $M'$  which is not isomorphic to  $M_p$ . (g) Multigraph  $G'$  built from  $M'$ .  $G_p$  is a partial subgraph of  $G_t$ ; it is not an induced subgraph as edge  $(d', j')$  does not belong to  $G_p$  and edge  $(a', g')$  occurs only once in  $G_p$ .  $G_p$  and  $G'$  are isomorphic whereas the 2G-maps from which they have been built ( $M_p$  and  $M'$ ) are not isomorphic.

connected components of the pattern in the target, whereas the cliques are very quickly found (in .01 second or less). Actually, the number of vertices in the graph in which we search for the cliques is usually far below the theoretical bound so that cliques are very quickly found and our algorithm scales well.

Subgraph isomorphism is much slower. When searching for each connected component separately, subgraph isomorphism is nearly 150 times as slow as submap isomorphism, on average. When searching all connected components together it is even slower and only five instances can be solved in less than one hour of CPU time. Of course, our submap algorithm does

	SMI				SGI1				SGI2		
	$t1$	$t2$	$v$	$s$	$t1$	$t2$	$v$	$s$	$t$		
p1	.14	+	.00	253	248	16.70	+	.00	536	2064	57.00
p2	.15	+	.00	2	1	1.74	+	.00	3	2	6.08
p3	.11	+	.00	3	1	1.48	+	.00	3	1	2.45
p4	.11	+	.01	280	18297	168.97	+	.23	1066	385616	>3600.00
p5	.17	+	.00	250	5376	32.21	+	.05	752	266016	>3600.00
p6	.25	+	.00	33	54	41.83	+	.00	57	716	478.34
p7	.22	+	.78	294	526864	59.97	+	19.53	657	10096928	>3600.00
p8	.22	+	.01	152	28248	6.49	+	.12	327	950272	>3600.00
p9	.16	+	.00	46	264	4.61	+	.00	92	3968	5.11
p10	.32	+	.01	150	53568	53.37	+	.38	313	3999488	>3600.00
avg	.19	+	.08	146	63292	38.74	+	2.03	381	1570507	>1854.90

Table 2: Experimental comparison of submap isomorphism (SMI), subgraph isomorphism when searching each connected component separately (SGI1), and subgraph isomorphism when searching all connected components together (SGI2). For SMI and SGI1,  $t1$  = time for finding all occurrences of all connected components,  $t2$  = time for searching for all cliques (corresponding to solutions),  $v$  = number of vertices of the graph in which cliques are searched, and  $s$  = number of solutions found. For SGI2,  $t$  = time for finding all solutions (>3600 for runs not completed within 1 hour). All times are in seconds.

not solve the same problem: It exploits the topology to search for each connected pattern in polynomial time. When ignoring this topology, the problem of searching for a connected pattern becomes NP-complete and is much more difficult to solve.

*Comparison of the number of solutions.* For two patterns (p2 and p3), submap isomorphism only has one solution, corresponding to the subimage that has been originally extracted in the target image. This comes from the fact that all connected components of these two patterns are rather large ones (the smallest connected component has 10 vertices). The eight other patterns (p1 and p4 to p10) contain at least one smaller connected component which occurs several times in the target image. For example, pattern p7 is composed of five connected components which have 4, 5, 6, 63, and 121 vertices, respectively. If the last two components only occur once in the target, the first three ones occur 34, 108 and 150 times in the target, respectively. In this case, the graph in which cliques are searched has  $34 + 108 + 150 + 1 + 1 = 294$  vertices and this graph contains 526864 cliques of five vertices (among the  $34 * 108 * 150 = 550800$  possible combinations of occurrences of the five con-

nected components). The time needed to enumerate these 526864 cliques is higher than the time needed to search occurrences of connected components (.78 compared to .22), but it is still reasonable.

For all patterns but one (p3), subgraph isomorphism finds more solutions than submap isomorphism. For example, let us consider pattern p7: the connected component with 4 (resp. 5, 6, 63, and 121) vertices occurs 70 (resp. 236, 348, 1 and 2) times in the target. In this case, the graph in which cliques are searched has  $70 + 236 + 348 + 1 + 2 = 657$  vertices and this graph contains more than ten million cliques of five vertices.

## 6. Maximum Common Submap

The maximum common submap problem has been defined in [32]. Given two  $n$ G-maps  $M$  and  $M'$ , the goal is to find the largest  $n$ G-map which is isomorphic to submaps of  $M$  and  $M'$ . The size of an  $n$ G-map depends on the number of its darts and seams. In order to have a more generic definition, it is parameterized by two weights as follows.

**Definition 7.** (size of an  $n$ G-map [32]) Let  $M = (D, \alpha_0, \dots, \alpha_n)$  be an  $n$ G-map, and  $(\omega_1, \omega_2) \in \mathbb{R}^+$  be positive weights. The size of  $M$  is:  
 $size_{(\omega_1, \omega_2)}(M) = \omega_1 \cdot |D| + \omega_2 \cdot |seams_M(D)|$

Maximum common submap is more general than submap isomorphism as we may solve submap isomorphism by searching for a maximum common submap. As submap isomorphism is  $\mathcal{NP}$ -complete when  $M$  is not connected, maximum common submap is  $\mathcal{NP}$ -hard when the common submap is not required to be connected.

Let us now consider the maximum common connected submap problem, where the common submap is required to be connected. As there exists a polynomial-time algorithm for solving submap isomorphism for connected  $n$ G-maps, we could try to extend the key ideas of this algorithm to search for maximum connected common submaps (we have actually been trying for a while... ). In this section, we show that this is not possible, unless  $\mathcal{P} = \mathcal{NP}$ .

The maximum common connected submap problem is an optimization problem. As class  $\mathcal{NP}$  is defined for decision problems only, we consider the decision problem which involves deciding if there exists a common connected submap whose size is greater than a given bound. Obviously, the maximum

common connected submap problem is at least as hard as this decision problem. The decision problem is formally defined below.

**Problem:** Partial (resp. induced) common connected submap  
**Instance:** A tuple  $(s, \omega_1, \omega_2, M, M')$  such that  $M$  and  $M'$  are  $n$ G-maps,  $(\omega_1, \omega_2) \in \mathbb{R}^+$  are positive weights, and  $s \in \mathbb{R}^+$  is a bound on the size of the common submap  
**Question:** Does there exist a connected  $n$ G-map  $M''$  such that  $size_{(\omega_1, \omega_2)}(M'') \geq s$ ,  $M'' \sqsubseteq^p M$  and  $M'' \sqsubseteq^p M'$  (resp.  $M'' \sqsubseteq^i M$  and  $M'' \sqsubseteq^i M'$ )?

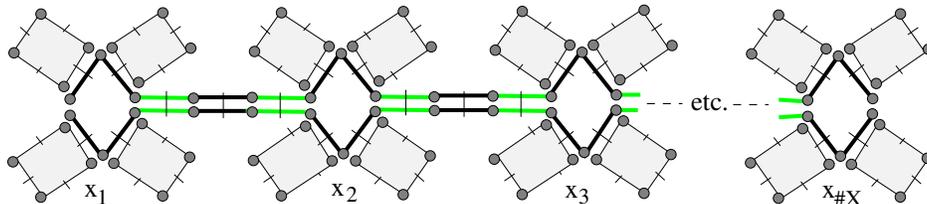
Theorem 3 claims that this problem is  $\mathcal{NP}$ -complete.

**Theorem 3.** *Partial (resp. induced) common connected submap is  $\mathcal{NP}$ -complete.*

The problem trivially belongs to  $\mathcal{NP}$  since one can check that a given connected  $n$ G-map  $M''$  has a size greater than  $s$  and is isomorphic to partial (resp. induced) submaps of  $M$  and  $M'$  in polynomial time.

To prove  $\mathcal{NP}$ -completeness, we exhibit a polynomial-time reduction of Separable Planar 3-SAT to the problem. We first consider the induced case; the extension of the proof to the partial case is discussed at the end of this section. We consider an instance  $(X, F, \mu)$  of Separable Planar 3-SAT and we show how to build an instance  $(s, \omega_1, \omega_2, M, M')$  of induced common connected submap such that the two instances have the same answers. We consider 2G-maps, so that  $n = 2$ . We set  $\omega_1$  to 1 and  $\omega_2$  to 0 so that the size of an  $n$ G-map is equal to its number of darts.

*Definition of the 2G-map  $M$ .* Let us first describe building blocks associated with variables. For each variable  $x_i \in X$ ,  $M$  contains four 8-dart faces connected by two chains of darts, called connecting chains, as displayed below.



The two connecting chains are displayed in bold, and they connect all variables, starting from  $x_1$ , and ending on  $x_{\#X}$ . Some darts in the connecting chains are displayed in green. We call them choice-point darts.

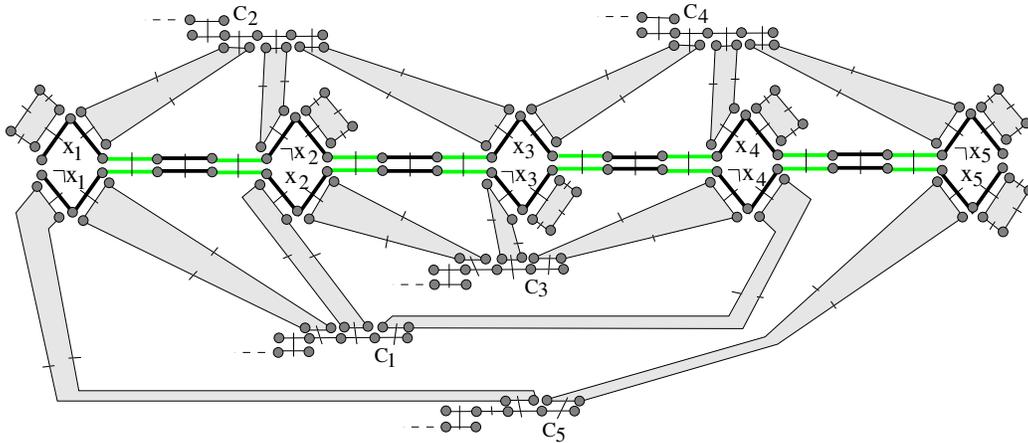
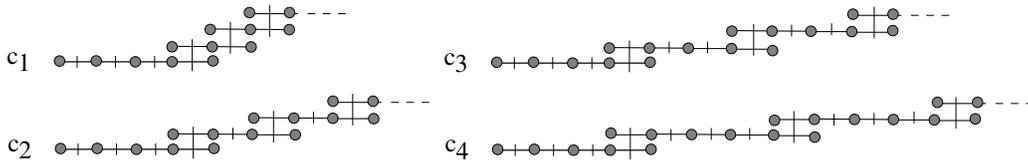


Figure 11: Map  $M$  associated with the Seperable Planar 3-SAT instance displayed in Fig. 4. Clause gadgets contain  $D + 1$  darts and are all different but we have only displayed the first 10 darts.

Let us recall that any separable embedding may be drawn so that all variables are aligned from  $x_1$  to  $x_{\#X}$ , and all clauses which are inside (resp. outside) the  $E_2$  cycle are above (resp. below) the variable line [29]. Without loss of generality, we assume in this reduction that the embedding  $\mu$  is such that all variables are aligned. The upper part of the connecting chain corresponding to a variable  $x_i$  is labelled with  $x_i$  (resp.  $\neg x_i$ ) if  $pos(x_i) = in(x_i)$  (resp.  $neg(x_i) = in(x_i)$ ) whereas the lower part is labelled with  $\neg x_i$  (resp.  $x_i$ ), as displayed in Fig. 11.

The whole variable gadget is composed of four 8-dart faces for each variable and two connecting chains of  $(\#X - 1) * 10$  darts each. Therefore, it has  $52 * \#X - 20$  darts. Let us define  $D = 52 * \#X - 20$ .

We now introduce the building blocks associated with clauses. For each clause  $c_j$ ,  $M$  contains a gadget composed of  $D + 1$  darts: The first eight darts are aligned in a chain; the remaining darts are composed of 2-sewn chains which have  $2j + 2$  darts as illustrated below for  $c_1$ ,  $c_2$ ,  $c_3$  and  $c_4$ .

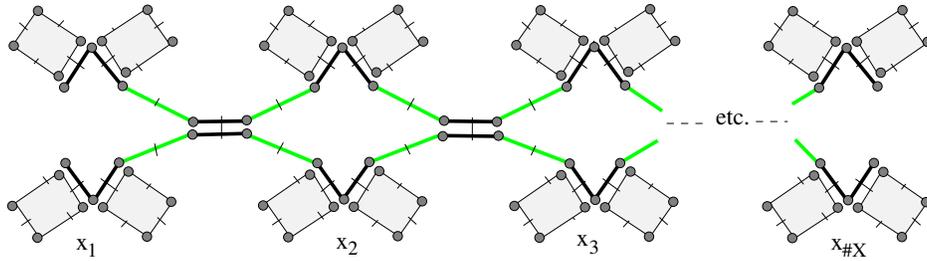


Hence, gadgets associated with clauses are all different and the gadget associated with a clause  $c_j$  cannot be matched with the gadget associated with

another clause  $c_k$ .

These clause gadgets are positioned in the plane like in the separable embedding  $\mu$  of  $G_{(X,F)}$ . The first  $2 \cdot \#c_j$  darts of the gadget associated with  $c_j$  are 2-sewn with the 8-dart faces corresponding to the literals of  $c_j$ , as illustrated in Fig. 11. Note that in Separable Planar 3-SAT instances every variable occurs in 2 or 3 clauses, and at least once positively and once negatively, so that no variable occurs more than twice positively or more than twice negatively. This ensures us that variable gadgets have enough 8-dart faces to connect clause gadgets with variable gadgets.

*Definition of the 2G-map  $M'$ .* The second  $n$ G-map  $M'$  is obtained from the first  $n$ G-map  $M$  by 2-unsewing choice-point darts of the two connecting chains as displayed below:



Also, for every clause  $c_j$  such that  $c_j$  contains  $\#c_j$  literals,  $M'$  contains  $\#c_j$  occurrences of the clause gadget associated with  $c_j$ . The first (resp. second and third, if any) two darts of the first (resp. second and third, if any) occurrence of this clause gadget are 2-sewn with the 8-dart face associated with the corresponding literal, as illustrated in Fig. 12.

*Definition of the bound on the size  $s$ .* We can now define the bound on the size of the common  $n$ G-map as  $s = \#F \cdot (D + 1)$  where  $D = 52 * \#X - 20$ .

*Proof of  $(\exists M'', M'' \sqsubseteq^i M, M'' \sqsubseteq^i M', size_{(1,0)}(M'') \geq s) \Rightarrow (F \text{ is satisfiable})$ .* Let us first assume that  $M''$  exists, and let us show that there exists a truth assignment  $A$  of  $X$  which satisfies  $F$ .

Let us first note that if we remove the choice-point darts (displayed in green), the  $n$ G-map  $M'$  is composed of  $2 \cdot \#X$  different connected components (one for every truth value of every variable) and each connected component cannot contain more than two clause gadgets. Therefore, the only way to connect more than two clause gadgets is to keep in the submap  $M''$  some

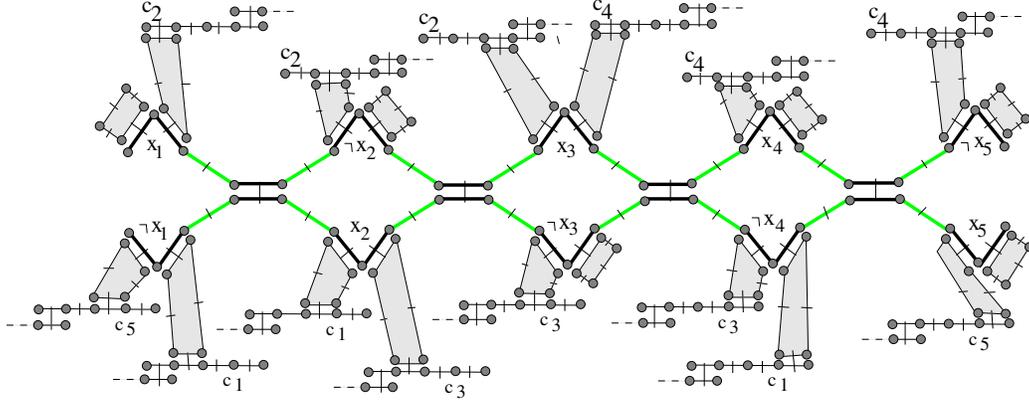
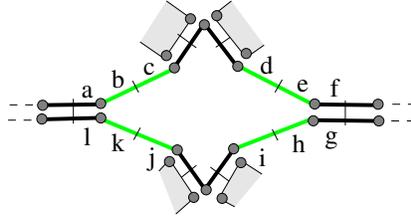


Figure 12: Map  $M'$  associated with the Separable Planar 3-SAT instance displayed in Fig. 4. Clause gadgets contain  $D + 1$  darts but we have only displayed the first 10 darts.

choice-point darts. However, given a variable  $x_i$ ,  $M''$  cannot contain both upper choice-point darts and lower choice-point darts. More precisely, let us consider the pattern associated with a variable  $x_i$  in  $M'$ ,



and show that if  $M''$  contains one or more darts of  $\{b, c, d, e\}$  then it cannot contain any dart of  $\{k, j, i, h\}$  (and *vice versa*). Indeed, if  $M''$  contains  $b$ , then it cannot contain  $k$  as these darts are 2-sewn in  $M$  and 2-free in  $M'$ , and it cannot contain  $h, i$  or  $j$  as  $M''$  must be connected and all the paths of sewn darts which connect  $b$  to a dart of  $\{h, i, j\}$  either go through  $k$  (via  $a$  and  $l$ ), which is not possible as  $M''$  cannot contain  $k$ , or go through  $e$  and  $h$  (via  $f$  and  $g$ ), which is not possible as  $e$  and  $h$  are 2-sewn in  $M$  and 2-free in  $M'$ . Therefore, for each variable  $x_i$ ,  $M''$  cannot contain both the upper and the lower part of the connecting chain, *i.e.*, we cannot recover both label  $x_i$  and label  $\neg x_i$  in the truth assignment.

Now, let us show how to build the truth assignment  $A$  from  $M''$ . Each variable  $x_i \in X$  is assigned in  $A$  to the truth value associated with the part of the connecting chains which belongs to  $M''$ . It may happen that  $M''$  does

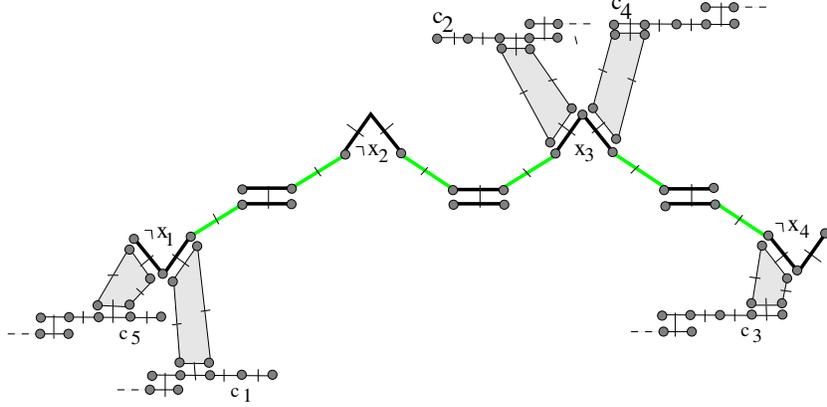


Figure 13: Common connected submap  $M''$  of the  $nG$ -maps of figures 11 and 12.  $M''$  has a size greater than  $s = 5 \cdot (D + 1)$  as it contains one gadget for each clause  $c_1, \dots, c_5$ . The truth assignment built from  $M''$  is  $A = \{\neg x_1, \neg x_2, x_3, \neg x_4, x_5\}$ . Note that  $M''$  does not contain any part of the connecting chains associated with  $x_5$  as all clauses are satisfied whatever the truth value of  $x_5$  is. Therefore,  $x_5$  is arbitrarily set to true in  $A$ .

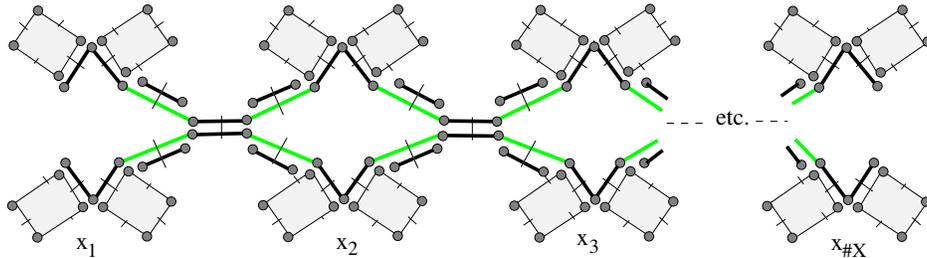
not contain any part of the connecting chains associated with  $x_i$ . This occurs when all the clauses of formula  $F$  can be satisfied whatever the truth value of the first variable  $x_1$  or the last variable  $x_{\#X}$ , as illustrated in Fig. 13. In this case,  $A$  arbitrarily assigns the corresponding variable to true.

Let us show that  $A$  satisfies all clauses of  $F$ . This is a direct consequence of the fact that  $size_{(1,0)}(M'') \geq s$  with  $s = \#F \cdot (D + 1)$ . Indeed,  $size_{(1,0)}(M'') \geq s$  iff  $M''$  contains  $\#F$  clause gadgets as each clause gadget contains  $D + 1$  darts whereas the whole variable gadget has  $D$  darts. As  $M$  contains  $\#F$  clause gadgets which are all different,  $M''$  must contain exactly one occurrence of each clause gadget, *i.e.*, one for each different clause of  $F$ . Each clause  $c_j$  is satisfied by at least one literal, *i.e.*, the literal which connects the gadget associated with  $c_j$  to the connecting chains in  $M''$ . For example, in Fig. 13,  $c_1$  is satisfied by  $\neg x_1$ ,  $c_2$  by  $x_3$ ,  $c_3$  by  $\neg x_4$ ,  $c_4$  by  $x_3$ , and  $c_5$  by  $\neg x_1$ .

*Proof of  $(F \text{ is satisfiable}) \Rightarrow (\exists M'', M'' \sqsubseteq^i M, M'' \sqsubseteq^i M', size_{(1,0)}(M'') \geq s)$ .*  
Let us assume that there exists a truth assignment  $A$  of  $X$  which satisfies  $F$  and let us show how to derive from  $A$  a  $nG$ -map  $M''$  which is isomorphic to induced submaps of  $M$  and  $M'$  and whose size is greater than  $s$ . We build  $M''$  from  $M'$  by removing some darts from it. For each variable  $x_i$ , we remove from  $M'$  the part of the connecting chain which corresponds to the negation of the truth value of  $x_i$  in  $A$  (together with its two adjacent 8-edge faces).

For each clause  $c_j$ , we choose one literal of  $c_j$  which is satisfied by  $A$  and we remove from  $M$  the clause gadgets corresponding to the other literals of  $c_j$ . We obtain a connected  $nG$ -map which contains  $\#F$  clause patterns so that its size is greater than  $\#F \cdot (D + 1)$ . One can easily check that this  $nG$ -map is also an induced submap of  $M$ .

*Proof for the partial case.* Let us now consider the partial case. To extend the previous proof to the partial case, we have to modify the connecting chains in the  $nG$ -map  $M'$ . The goal is to forbid one to keep in a common submap the two choice-point darts associated with the two different truth values of a same variable. To this aim, we 2-sew each choice-point dart with new darts which are 1-free as displayed below:



Also, we modify the weights used to define the size of an  $nG$ -map. Indeed, in the partial case, if  $\omega_2$  is set to 0, a maximum common submap may be obtained by removing all seams of the smallest  $nG$ -map while keeping all its darts (as the size of an  $nG$ -map only depends on its number of darts). Therefore, we set both  $\omega_1$  and  $\omega_2$  to 1 so that the size of an  $nG$ -map is equal to its number of darts and seams. Finally, we modify clause gadgets so that the size of each clause gadget is greater than the size of the whole variable gadget (all 8-dart faces and the two connecting chains), and define the bound on the size  $s$  as  $\#F$  times the size of a clause gadget.

## 7. Conclusion

In this paper, we have proved that the search of a non connected pattern submap in a target  $nG$ -map is an  $\mathcal{NP}$ -complete problem, by reduction of Separable Planar 3-SAT. Nevertheless, we have shown that it is Fixed-Parameter Tractable, by describing an FPT-algorithm whose time complexity is exponential only in the number of connected components in the pattern  $nG$ -map. Our algorithm may be extended to plane graphs in a rather straightforward

way. In this case, the dimension  $n$  of the  $n$ G-maps is equal to 2 and the time complexity of the algorithm becomes  $\mathcal{O}(v'^k + vv'^2)$  where  $v$  and  $v'$  are the number of vertices of the pattern and the target graphs. This complexity may be compared to the complexity of the FPT-algorithm proposed by Dorn [23] for planar subgraph isomorphism, where the fixed parameter is the number of vertices  $v$  of the pattern graph. In this case, Dorn gives an FPT-algorithm which is linear in  $v'$  and exponential in  $v$  (instead of the number of connected components  $k$  in our case). Of course, we do not solve the same problem: We consider plane graphs whereas Dorn considers planar graphs.

Also, we have proved that the computation of the maximum common connected submap of two  $n$ G-maps is  $\mathcal{NP}$ -hard, by reduction of Separable Planar 3-SAT. A first consequence of this result is that the computation of the map edit distance of [10] is also  $\mathcal{NP}$ -hard as we have shown in [10] that there exist edit costs for which the map edit distance may be derived in polynomial time from the maximum common submap. Another consequence is that the computation of a maximum connected common plane subgraph is  $\mathcal{NP}$ -hard (even though plane subgraph isomorphism is polynomial when the pattern graph is connected). Indeed, the reduction described in Section 6 may be extended to plane graphs in a rather straightforward way.

Determining whether the maximum common submap is approximable or not is an interesting perspective. Indeed, on the one hand, the problem of computing the maximum common connected subgraph of two graphs is deeply related to the computation of a maximum clique in the product of the graphs [33], and Max Clique is known to be hard to approximate [34]. But on the other hand, the maximum common connected subgraph was recently shown to be solvable in polynomial time for outerplanar graphs of bounded degree [25], which leaves room for further investigations in the framework of  $n$ G-maps.

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