Finding a Minimum Medial Axis of a Discrete Shape is NP-hard

David Coeurjolly^a, Jérôme Hulin^{b,1}, Isabelle Sivignon^a

^aLIRIS, UMR CNRS 5205, Université Claude Bernard Lyon 1, F-69622 Villeurbanne, France

^bLIF, UMR CNRS 6166, Université de la Méditerranée, 163, av. de Luminy F-13288 Marseille, France

Abstract

The medial axis is a classical representation of digital objects widely used in many applications. However, such a set of balls may not be optimal: subsets of the medial axis may exist without changing the reversivility of the input shape representation. In this article, we first prove that finding a minimum medial axis is a NP-hard problem for the Euclidean distance. Then, we compare two algorithms which compute an approximation of the minimum medial axis.

Key words: Minimum Medial Axis, NP-completeness, bounded approximation algorithm.

1 1 Introduction

In binary images, the *Medial Axis* (MA) of a shape S is a classic tool for shape analysis. It was first proposed by Blum [2] in the continuous plane; then it was defined by Pfaltz and Rosenfeld in [14] to be the set of centers of all maximal disks in S, a disk being maximal in S if it is not included in any other disk in S. This definition allows the medial axis to be computed in a discrete framework, i.e., if the working space is the rectilinear grid \mathbb{Z}^n . The medial axis has the property of being a *reversible* coding: the union of the disks of MA(S) is exactly S.

¹⁰ In order to compute the medial axis of a given discrete shape S, we first pro-¹¹ ceed by computing the *Distance Transform* (DT) of S. The distance trans-

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form is a bitmap image in which each point is labelled with the distance to the closest background point. For either d_4, d_8 , any given chamfer distance or the Euclidean distance d_E , the distance transform can be computed in linear time with respect to the number of grid points [18,4,7,11]. For the simple distances d_4 and d_8 , MA is extracted from DT by picking the local maxima in DT [18,4,16].

¹⁸ Polynomial time algorithms exist to extract MA from DT in the case of the ¹⁹ chamfer norms or the Euclidean distance [16,17]. A Reduced Medial Axis ²⁰ (RMA) is presented in [8]: it is a reversible subset of the medial axis, that ²¹ can be computed in linear time. Despite the fact that the medial axis exactly ²² describes the shape S, it may not be a set with minimum cardinality of balls ²³ covering S: indeed, a maximal disk of the medial axis covered by a union of ²⁴ maximals disks is not necessary for the reconstruction of S.

In this article, we investigate the minimum medial axis problem that aims at defining a set of maximal balls with minimum cardinality which cover S. This problem has already been addressed with algorithms that experimentally filter the medial axis [5,6,15,6,13].

In section 2 we first detail some preliminaries and the fundamental definitions
used if the rest of the paper. Section 3 presents the proof that the minimum

³¹ medial axis problem is NP-hard. Finally, we compare the results given a greedy

³² algorithm with the approximation algorithm proposed in [15] (Section 4).

³³ 2 Preliminaries and Related Results

First of all, we remind definitions related to the discrete medial axis. Given a metric d, a (open) ball B of radius r and center p is the set of grid points q such that d(p,q) < r. In the following, we consider the Euclidean metric and extension of the results to other metric (such as Chamfer masks for example) will be discussed in section 5.

³⁹ **Definition 1 (Maximal ball)** A ball B is maximal in a discrete shape $S \subseteq$ ⁴⁰ \mathbb{Z}^n if $B \subseteq S$ and if B is not entirely covered by another ball contained in S.

⁴¹ Based on this definition, the medial axis is given by:

⁴² Definition 2 (Medial axis) The medial axis of a shape $S \subseteq \mathbb{Z}^n$ is the set ⁴³ of all maximal balls in S.

For the rest of the paper, we focus on the dimension 2. By definition, the medial axis of a shape S is a reversible encoding of S. Indeed given the centers and the radii associated to the medial axis balls, one can reconstruct entirely the

⁴⁷ input shape \mathcal{S} (this process is called the Reverse Distance Transformation ⁴⁸ [18,3,4,19,8]).

⁴⁹ However, this representation is not minimum in the number of balls as illus-⁵⁰ trated in Figure 1: the set of balls with highlighted centers in the left shape ⁵¹ corresponds to the medial axis given by Definition 2. However, if we consider ⁵² the subset of the medial axis depicted in the right figure, we still have a re-⁵³ versible description of the shape with less balls.

1	1	1	1	1	1	1
1	4	4	4	4	4	1
1	1	1	1	1	1	1

1	1	1	1	1	1	1
1	4	4	4	4	4	1
1	1	1	1	1	1	1

Fig. 1. (*Left*) highlighted points correspond to the centers of the medial axis balls for the Euclidean metric (squared values), (right) a subset of the medial axis whose balls still cover the entire shape.

In this paper, we address the problem of finding a subset of the medial axis that still covers all points of S.

In computational geometry, covering a polygon with a minimum number of a 56 specific shape (e.q. convex polygons, squares, rectangles,...) usually leads to 57 NP-complete or NP-hard problems [10]. From the literature, a related result 58 proposed in [1] concerns the minimum decomposition of an orthogonal poly-59 gon into squares. At first sight, this result seems to be closely related to the 60 minimum medial axis computation based on the d_8 metric. However, in the 61 discrete case, d_8 balls are centered on grid points and thus have odd widths. 62 Due to this specificity, results of [1] cannot be used neither for the d_8 nor 63 the Euclidean metrics. However, the proof given in the following sections is 64 inspired by this related work. 65

⁶⁶ 3 NP-hardness of the Minimum Medial Axis Problem

⁶⁷ Definition 3 (k-Medial Axis Problem (k-MA)) Given a discrete shape ⁶⁸ $S \subseteq \mathbb{Z}^2$ of finite cardinality and a positive integer k, is it possible to cover ⁶⁹ exactly S with at most k (possibly overlapping) discrete maximal balls ?

In order to prove the NP-hardness of k-MA, we use a polynomial reduction of the Planar-4 3-SAT problem. Let $\phi(V, C)$ be the boolean formula in Conjunctive Normal Form (CNF) consisting of a list C of clauses over a set V of variables. The *formula-graph* $G(\phi(V, C))$ of a CNF formula $\phi(V, C)$ is the bipartite graph in which each vertex is either a variable $v \in V$ or a clause $c \in C$, and there is an edge between a variable $v \in V$ and a clause $c \in C$ if v occurs in ⁷⁶ c. A Planar 3-SAT formula ϕ is a CNF formula for which the formula-graph ⁷⁷ $G(\phi)$ is planar and each clause is a 3-clause (i.e., a clause having exactly 3 ⁷⁸ literals).

In the following, we prefer a reduction based on the Planar-4 3-SAT problem:
an instance of this problem is an instance of Planar 3-SAT such that the degree
of each vertex, in the formula-graph, associated to a variable is bounded by
4. In other words, a variable may appear at most four times in the boolean
formula.

Definition 4 (Planar-4 3-SAT Problem) Given a Planar-4 3-SAT formula $\phi(V, C)$, does there exist a truth assignment of the variables in V which satisfies all the clauses in C?

⁸⁷ Planar-4 3-SAT was shown to be NP-complete in [12].

⁸⁸ The reduction from any given Planar-4 3-SAT formula ϕ to an instance of ⁸⁹ k-MA consists in constructing a discrete shape $\mathcal{S}(\phi)$ and finding an integer ⁹⁰ $k(\phi)$ in polynomial time such that ϕ is satisfiable if and only if $\mathcal{S}(\phi)$ can be ⁹¹ covered by $k(\phi)$ balls.

92 3.1 Variables

⁹³ Let us first consider the geometrical interpretation of variables. Figure 2 ⁹⁴ presents a 4-connected discrete object, so called *variable gadget* in the fol-⁹⁵ lowing, defined by the set of grid points below the horizontal dashed line. We ⁹⁶ call the *extremities* of the variable gadget, the eight vertical parts of the gad-⁹⁷ get of width 3, numbered on Figure 2. These extremities are used to plug the ⁹⁸ "wires" that represent the edges of a formula-graph.

Any minimum covering of this object has 72 balls. None of these minimum 99 coverings allow protrusions from both one odd extremity and one even extrem-100 ity. However, one minimum covering allows balls to protrude out at all odd 101 extremities by one row of grid points (Figure 2 top); while another minimum 102 covering allows balls to protrude out at all even extremities also by one row 103 of grid points (Figure 2 bottom). These two coverings mimic the two possible 104 truth assignments of a variable. Without loss of generality, the first covering 105 will correspond to a True assignment, and the other one to a False assignment 106 of the variable. 107

If the gadget represents the variable x, then each odd extremity carries the literal x, while each even extremity carries the literal \bar{x} . A protrusion from a variable extremity can be viewed as a signal 'True' sent from the variable to the clauses. Thus, wires which are used to connect variables and clauses are

plugged on odd extremities for positive literals and on even extremities for negative literals.



Fig. 2. Two minimum coverings of a variable gadget (from left to right) x, \bar{x}, \bar{x} ; a True assignment of the variable x (top), and False assignment (bottom).

Note that this object and its decomposition area invariant under rotation of angle $\frac{\pi}{2}$. Furthermore, the extremities are centered on abscissas with constant values modulo 6 (represented by vertical lines of Figure 2). This modulo operation on the coordinates will be used to align the objects and to connect them to each other.

119 3.2 Wires

In order to connect variables to clauses, we need wires that correspond to edges 120 in the embedding of the formula-graph. A wire must be designed such that 121 it carries the 'True' signals (protrusions) and 'False' signals (no protrusion) 122 from variable extremities to clauses without altering the signal (see Fig. 3). 123 In this case, we can define straight wires made of $3 \times N$ sets of grid points. If 124 $N \equiv 0 \mod 3$, then the signal sent at the left extremity of the wire will be 125 propagated to the right extremity. Furthermore a wire can be bent at angle 126 $\frac{\pi}{2}$ (see Fig. 3). In this case, two minimum decompositions still exist such that 127

¹²⁸ if a ball protrudes from one extremity of the wire, then another ball also ¹²⁹ protrudes out at the other extremity. Furthermore, straight wires and bends ¹³⁰ can be designed such that the alignment of the abscissa and ordinates of the ¹³¹ shape is preserved (*i.e.* is constant modulo 3).

Now, if we consider a complex wire with straight parts and bends, the signals
are propagated during the construction of the minimum covering from on
extremity to the other one (proof by induction on the number of bends and
straight parts).



Fig. 3. Wires carrying 'True' or 'False' signals - from left to right: a straight wire, a simple bend, a shift.

136 3.3 Clauses

Finally, we introduce the *clause gadget*, a component that geometrically simulates a clause. This gadget is the set of grid points at the right of the vertical dashed line in Fig.4. Note that this gadget is not symmetrical because we shall not allow an open ball of radius $\sqrt{8}$ to be placed in its center.

Independently covering this gadget requires at least 10 balls (see Fig.4, left). 141 However, if one open ball of radius 2 is protruding from some wire by one 142 column, carrying a 'True' signal (e.g. the upper one in Fig.4, middle), then 143 minimaly covering the remainder of the gadget can be done with only 9 balls. 144 Similarly, if two or three wires are carrying a protrusion, a minimum covering 145 of the remainder of the clause gadget has also cardinality 9. The case of three 146 protrusions appears on the right in Fig.4, showing that even here 9 balls are 147 still necessary to finish covering the gadget. Note that in general there may be 148 several possible minimum coverings of the gadget, although only one is drawn 149 here in each case. 150

According to these observations, it follows that the clause gadget can be minimaly covered by 10 balls if and only if no input protrusion is observed, in other words if and only if the corresponding clause is not satisfied. Otherwise, if at least one literal of the clause is set to 'True' (protrusion), meaning that the clause is satisfied, then only 9 balls are necessary to cover the remainder of the gadget.



Fig. 4. Three minimum coverings of a clause gadget, depending on the following input signals (from left to right): False-False, True-False, True-True.

157 3.4 Overall Construction and Proof

Given a Planar-4 3-SAT formula $\phi(V, C)$, we are now ready to construct $\mathcal{S}(\phi)$ by drawing a variable gadget for each variable vertex in $G(\phi)$, a clause gadget for each clause vertex in $G(\phi)$, and drawing wires corresponding to the edges in $G(\phi)$, thus linking each literal (the extremity of a variable gadget) to every clause in which it occurs.



Fig. 5. Illustration of the transformation of a vertex of the planar orthogonal embeding into a variable gadget. In this case, the associated variable appears four times in ϕ , three times as a positive literal, and once as a negative literal.

Lemma 1 The shape $S(\phi)$ can be computed in polynomial time in the size of ϕ .

¹⁶⁵ **PROOF.** We know from [20] that every planar graph with n vertices (with ¹⁶⁶ degree ≤ 4) can be embedded in a rectilinear grid in polynomial time and ¹⁶⁷ space. This algorithm produces an orthogonal drawing such that edges are ¹⁶⁸ intersection free 4-connected discrete curves. Since our variable gadgets and ¹⁶⁹ clause gadgets have a constant size and our wires have constant width, and ¹⁷⁰ since ϕ is an instance of Planar-4 3-SAT, it is clear that the construction of ¹⁷¹ $S(\phi)$ can also be done in polynomial time and space. For example, Figure 5 ¹⁷² illustrates how to bend the orthogonal drawing edges in order to connect them ¹⁷³ to our variable gadget extremities. \Box

In the following, let $w(\phi)$ denote the minimum number of balls necessary to cover the wires of $S(\phi)$, and let $k(\phi(V,C)) = 72.|V| + w(\phi) + 9.|C|$.

Lemma 2 If the formula ϕ is satisfiable, then there exists a covering of $S(\phi)$ with $k(\phi)$ maximal balls.

PROOF. Given a truth assignment T of the variables V of ϕ such that ϕ is satisfiable, the following algorithm builds a covering of $S(\phi)$ with $k(\phi)$ maximal balls:

• cover the variable gadgets according to the truth assignment T ('True' or 'False' value for each variable): each one requires 72 balls allowing protrusions in each extremity carrying a 'True' assignment (Section 3.1);

• cover the wires: since the grid embedding of $G(\phi)$ is computed in polynomial time, so is $w(\phi)$; the protrusions from the extremities of the variables are transmitted to the clause gadgets;

• cover the clause gadgets: since ϕ is satisfiable, at least one input wire of each clause gadget carries a protrusion which implies that 9 maximal balls are enough to cover each clause gadgets (Section 3.3).

Altogether, 72. $|V| + w(\phi) + 9$. $|C| = k(\phi)$ maximal balls are used in this covering. \Box

¹⁹² Lemma 3 If there exist a covering of $S(\phi)$ with $k(\phi)$ maximal balls, then the ¹⁹³ formula ϕ is satisfiable.

PROOF. Suppose that there exists covering of $\mathcal{S}(\phi)$ with $k(\phi)$ maximal balls. 194 By construction, 72. |V| plus $w(\phi)$ maximal balls are required to cover the |V|195 variable gadgets and the wires of $\mathcal{S}(\phi)$. This leaves us with $k(\phi) - 72 |V| - 1000$ 196 $w(\phi) = 9.|C|$ maximal balls to cover the clause gadgets. Since there are |C|197 clause gadgets, each one is totally covered with 9 maximal balls in the covering, 198 which is possible only if at least one input wire of each clause gadget carries a 199 protrusion (Section 3.3). By construction, this means that the clauses are all 200 satisfied, and in turn that ϕ is satisfiable. \Box 201

According to lemmas 2 and 3, there exists a truth assignment of the variables 202 in V which satisfies all the clauses in ϕ if and only if there exists a covering of 203 $\mathcal{S}(\phi)$ of cardinality $k(\phi) = 72.|V| + w(\phi) + 9.|C|$. Thus, if any instance of the k-204 Medial Axis Problem could be solved in polynomial time, then we would have 205 a polynomial time algorithm to solve the Planar-4 3-SAT Problem. Therefore, 206 the k-MA Problem is NP-hard. It is also clear that k-MA problem is in NP, 207 since we can easily verify in polynomial time that a set of k balls covers a 208 discrete shape \mathcal{S} . Consequently, we have the following theorem: 209

²¹⁰ Theorem 4 *k-MA* is an NP-complete problem.

As a consequence, finding a minimum subset of the medial axis of a shape S, which still covers S is NP-hard.

²¹³ 4 Approximation Algorithms and Heuristics

Even if the theoretical problem is NP-hard, approximation and heuristics can be designed to reduce the cardinality of the discrete medial axis while keeping the reversibility. In the literature, several authors have discussed about simplification techniques to reduce the cardinality of the medial axis [5,15,6,13]. Dealing with NP-hard problems, we usually want to have bounded heuristics in the sense that the results given by the approximation algorithm will always be at a given distance from the optimal solution.

In the following, we first detail the simplification algorithm proposed by Ragnemalm and Borgefors [15]. Then, we compare their result with a simple but bounded heuristic derived from the MINSETCOVER problem.

224 4.1 Ragnemalm and Borgefors Simplification Algorithm

The algorithm is quite simple but provide interesting results: we first construct 225 a covering map in which we count for each discrete point p, the number of 226 discrete balls containing p. Basically, if a ball B contains a grid point p with a 227 value of 1, then B is necessary to maintain the reconstruction and B belongs 228 to any minimum medial axis. Based on this idea, the approximation algorithm 229 can be sketched as follows: let $\mathcal{F} = MA(\mathcal{S})$, for each ball B in \mathcal{F} ordered by 230 increasing radii, if all grid points of B have a value greater than or equal to 2, 231 we remove B from \mathcal{F} and decrease by one each grid point of B in the covering 232 map. 233

The resulting set $\hat{\mathcal{F}}$ may be such that $|\hat{\mathcal{F}}| < |\mathcal{F}|$. In [15], the author illustrates the reduction rates with several shapes in dimension 2 but no formal

simplification rate is given in the general case. In our experiments, instead of 236 considering the medial axis of \mathcal{S} , we set $\mathcal{F} = \text{RMA}(\mathcal{S})$ [8]. 237

If $\mathcal{F} = \{B_i, i = 1 \dots k\}$, the overall computational cost of this algorithm is 238 $O(\sum_{i=1}^{k} |B_i| + k \log k).$ 239

Greedy Algorithm: a Bounded Heuristic 4.2240

To have a bounded heuristic, let us consider another problem called the MIN-241 SETCOVER problem [9]: an instance $(\mathcal{S}, \mathcal{F})$ of the MINSETCOVER consists 242 of a finite set \mathcal{S} and a family \mathcal{F} of subsets of \mathcal{S} , such that every element of 243 \mathcal{S} belongs to at least one subset of \mathcal{F} . The problem is to find the family of 244 subsets \mathcal{F}^* with minimum cardinality such that \mathcal{F}^* still covers \mathcal{S} . From the 245 optimization MINSETCOVER problem, we can define the following decision 246 problem: can we cover \mathcal{S} with a family \mathcal{F}^* such that $|\mathcal{F}^*| < k$ for a given k 247 ? This decision problem is known to be NP-complete [9]. Replacing \mathcal{S} by a 248 discrete object and \mathcal{F} by the medial axis, we have a specific instance of the 249 MINSETCOVER problem. 250

The greedy approximation algorithm is presented in 1. Even if this algorithm is simple, it provides a bounded approximation: if we denote $H(d) = \sum_{i=1}^{d} \frac{1}{i}$, $H_{\mathcal{F}} = H(\max |S|, S \in \mathcal{F})$ and \mathcal{F}^* the minimum medial axis, the greedy algorithm produces a set $\hat{\mathcal{F}}$ such that:

$$|\hat{\mathcal{F}}| \le H_{\mathcal{F}} \cdot |\mathcal{F}^*|$$

Algorithm 1: Greedy algorithm for MINSETCOVER. **Data**: \mathcal{S} and \mathcal{F} **Result**: the approximated solution $\hat{\mathcal{F}}$ $U = \mathcal{S};$ $\hat{\mathcal{F}} = \emptyset;$ while $U \neq \emptyset$ do Select $S \in \mathcal{F}$ that maximizes $|S \cap U|$; U = U - S; $\hat{\mathcal{F}} = \hat{\mathcal{F}} \cup \{S\};$ return $\hat{\mathcal{F}}$

251 252

If we consider \mathcal{S} as a discrete object and \mathcal{F} given by the medial axis extraction, 253 the medial axis simplification problem is a sub-problem of MINSETCOVER. 254 Hence, Algorithm 1 provides a bounded heuristic for the medial axis reduction. 255 Even if the bound is large according to experiments (see Section 4.3), this is at 256 the time of writing the only known approximation algorithm for the minimum 257

medial axis, for which we have an approximation factor. Despite the fact that Algorithm 1 has a computational cost in $O(|\mathcal{S}||\mathcal{F}|\min(|\mathcal{S}|, |\mathcal{F}|))$, a linear in time algorithm can be designed, *i.e.* in $O(\sum_{i=1}^{k} |B_i|)$. Yet, the implementation requires a bit more complicated data structure because instead of a covering map with numbers, we need to store a list of MA balls for each grid point.

263 4.3 Experiments

In Figure 6, we present some experiments of both approximation algorithms. Two observations can be addressed: first, the reduction rate is very interesting since almost half of the medial axis balls can be removed. Secondly, the computational time of both algorithms are almost similar.

Despite the fact that Ragnemalm and Borgefors's algorithm gives slightly
better results, the theoretical bound provided by the greedy algorithm makes
this approach a bit more satisfactory.

271 5 Discussion and Conclusion

In this paper, we prove that finding the medial axis of minimum cardinality of a discrete shape is a NP-hard problem. To do so, we provide a polynomial reduction from the Planar-4 3-SAT problem to the minimum medial axis problem. We also experimentally compare the output given by the greedy approximation algorithm with existing simplification algorithms.

In the proof, we have considered the Euclidean distance based medial axis. To derive a proof for the other metrics, new gadgets must be defined. Some cases are trivial, such as the d_8 case for which only the variable gadget must be redefined (see Figure 7), others may be difficult but in our point, the result may be the same.

Future works concern both the complexity of specific restrictions of the mini-282 mum medial axis problem, and the approximation algorithms. Concerning the 283 theoretical part, the result we give induces the construction of very specific 284 discrete shapes, whose genus depends on the number of cycles in the Planar-4 285 3-SAT instance. Thus, an important question is whether k-MA is still NP-286 complete in the case of connected discrete shapes without holes. As regards 287 approximation algorithms, experiments show that the results of the greedy ap-288 proximation algorithm are slightly worse than other existing algorithms. An 289 important future work is to merge the two approaches to improve the results 290 while keeping the bounded approximation. 291



Fig. 6. Experimental analysis of simplification algorithms: (from left to right) Discrete 3-D objects, the discrete medial axis, simplification obtained by [15], simplification obtained by the proposed greedy algorithm. The cardinality of the sets are given below the figure with the reduction ratio (in percent) and the computational time.



Fig. 7. Outline of a variable gadget for d_8

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