# Direct Spherical Harmonics Transform of a Triangulated Mesh

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#### Abstract

Spherical harmonics transform plays an important role in research in shape description. Current methods to compute the spherical harmonics decomposition of the characteristic function of the intersection of a polyhedral solid with a sphere involve expensive voxelization, and are prone to numerical errors associated with the size of the voxels. This paper describes a fast and accurate technique for computing spherical harmonics coefficients directly from the description of the mesh. The algorithm runs in linear time O(pn), where n is the number of triangles of the mesh and p is the number of terms calculated, which is roughly linear.

#### 1 Introduction

If M is a closed embedded surface in 3D space then there is a solid volume V bounded by M. Fixing a point P, one can consider the intersection of V with a sphere  $S_r$  of radius r around P. In general, we choose P at the centroid of the object. The intersection  $M_r = V \cap S_r$  is a region of the sphere. The characteristic function  $\chi_r$  of this region (1 for points inside, 0 for those outside) can be approximated by a sum of spherical harmonics  $Y_l^m(\theta,\varphi)$  in the spherical coordinates. Theoretically, the expansion of  $\chi_r$  in terms of spherical harmonics is written as:

$$\chi_r(\theta, \varphi) = \sum_{l=0}^{\infty} \sum_{|m| \le l} c_{lm}^r Y_l^m(\theta, \varphi)$$
 (1)

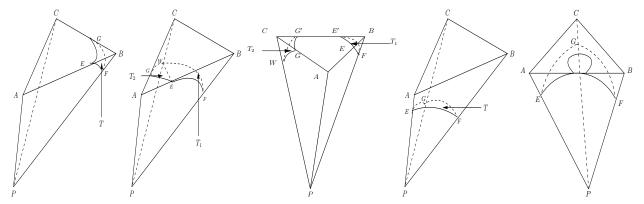
This expansion corresponds to a frequency-based decomposition of  $\chi_r$ . In practice, since higher order coefficients  $c_{lm}^r$  correspond to finer details of the objects (maybe noise), we limit this summation to a bandwidth denoted as bw:

$$\chi_r(\theta, \varphi) \approx \sum_{l=0}^{bw} \sum_{|m| \le l} c_{lm}^r Y_l^m(\theta, \varphi)$$
(2)

A collection of such approximations of the characteristic function  $\chi_r$ , for several values of r, has proved to be useful as shape-descriptors for searching and identifying 3D objects [3, 9].

In this paper, we show how to compute the coefficients of the spherical harmonics representation of  $\chi_r$  quickly and accurately when the surface M is given by a polyhedral mesh. The computation of each coefficient  $c_{lm}^r$  has an O(n) complexity, where n is the number of triangles of the mesh. To calculate the spherical harmonics representation of  $\chi_r$ , there is also a dependence on the number of terms calculated, which is roughly linear.

Standard algorithms [3, 6, 8] compute a voxelization of V and then use this discrete approximation to find the coefficients. The discretization introduces errors in the integrations needed to compute the harmonic coefficients. Furthermore, when the harmonics have high degree, the grid-spacing necessary to get accurate integration becomes smaller and smaller, and this, too, can add to the complexity. In contrast, our method does not require this discrete approximation of V to calculate the integration. The numerical quality of the voxelized methods can approximate ours when the voxel grid is chosen small enough. Mesh voxelization can be computed in a time that is approximately linear in the number of voxels that meet the mesh [5]. The integration of the function over these voxels is then linear in the number of voxels. Our algorithm is linear in the number of mesh triangles. Thus if the voxelization generates approximately a constant number of voxels per triangle, the two methods have identical asymptotic running times.



(a) A and C are inside (b) A is inside the (c) A is inside the sphere  $S_r$  (d) A, B and C are (e) A, B and C are outthe sphere  $S_r$ . EG is sphere  $S_r$ . EG is the and  $S_r$  intersects twice the outside the sphere. All side the sphere. Howthe only non geodesic only non geodesic arc. edge BC. EE' and GG' are arcs are geodesics. ever ABC intersects arc. the only non geodesic arcs.

Figure 1: intersections of the sphere  $S_r$  and a tetrahedron H.

# 2 Decomposition

The volume V can be defined as a union of tetrahedra, one from each triangle of the mesh to the point P. The triangles of the mesh are assumed to be oriented consistently, i.e., for two neighboring triangles, the shared edge has two different directions. If the normal of a triangle and P are in the opposite side with respect to the triangle, the corresponding tetrahedron is said to be positive, and negative otherwise.

Let  $\{H_k, k = 1, ..., n\}$  denote the set of signed tetrahedra. We split  $\{H_k\}$  into two subsets  $\{H_i^+\}$  and  $\{H_j^-\}$  according to the signs of the tetrahedra. The signed volume enclosed by the triangulated mesh can be represented as:

$$V = \left(\bigcup_{i} H_{i}^{+}\right) - \left(\bigcup_{j} H_{j}^{-}\right) \tag{3}$$

More formally, this means that a point is defined to be in V if the sum of the signs of all tetrahedra that it occupies is positive. Zhang and Chen [11] have shown that this decomposition can be used to calculate global volumetric moments on V as a sum of elementary volumetric moments computed on each tetrahedron of the decomposition.

$$moment(V) = \sum_{k} sign(H_k)moment(H_k)$$
 (4)

We extend this property to directly compute the spherical harmonics transform of the triangulated mesh M. To compute the spherical harmonics coefficients of  $\chi_r$ , we observe that the set  $M_r = V \cap S_r$  is a signed union of spherical triangles  $\{T_k, k=1,...,s_n\}$  arising from the intersections of the sphere  $S_r$  with the tetrahedra of V. Let  $\chi_{T_k}$  denote the characteristic function of  $T_k$ . Therefore  $\chi_r$  can be represented as:

$$\chi_r(\theta, \varphi) = \sum_k sign(T_k)\chi_{T_k}(\theta, \varphi)$$
 (5)

and

$$c_{l,m}^r = \sum_k sign(T_k)c_{l,m}^r|_{T_k}$$

$$\tag{6}$$

The decomposition of  $M_r$  into a signed union of spherical triangles is obtained from the intersection of  $S_r$  with the set of signed tetrahedra  $\{H_k\}$ . There are four general cases for the intersection of  $S_r$  and the tetrahedron H = PABC (recall that P is the center of the sphere).

- If A, B and C are inside  $S_r$ , then there is no intersection.
- If one of A, B and C is outside  $S_r$ , then  $S_r$  intersects the edges of H at three points forming a spherical triangle T (figure 1(a)).

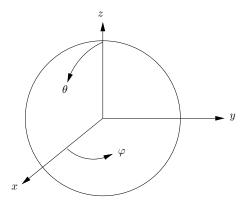


Figure 2: direction of  $\theta$  and  $\varphi$  in the spherical coordinate

- If two of A, B and C are outside  $S_r$ , then  $S_r$  intersects the edges of H at four or six points forming a union of two spherical triangles  $T_1 \cup T_2$  (figure 1(b) and 1(c)).
- If A, B and C are all outside  $S_r$ , then  $S_r$  intersects the edges of H at three points forming a spherical triangle T (figure 1(d)). An interior part of the triangle ABC may be inside  $S_r$  (figure 1(e)). Then the spherical triangle T does not entirely lie inside H. To deal with that case, let Q be a point on ABC lying inside  $S_r$ . Then the tetrahedron H can be seen as the union of the tetrahedra PABQ, PBCQ and PCAQ which can be treated as in the second case (figure 1(b) or 1(c)). Note that those tetrahedra raise spherical triangles with at most one non geodesic arc for each.

The sign of a spherical triangle is inherited from the tetrahedron it comes from. We compute the spherical harmonics coefficients for the characteristic function of a single spherical triangle EFG, and then sum the results. The details of the summation process are described in the next section.

# 3 The coefficients for a single spherical triangle

In this section, we describe the calculation of the harmonic coefficients over a single spherical triangle T. We describe it for general spherical triangles. To find the spherical harmonics coefficient  $c_{l,m}^r|_T$  for the characteristic function  $\chi_T$ , we must compute:

$$c_{l,m}^{r}|_{T} = \int \int_{\mathbb{S}^{2}} \chi_{T}(\theta, \varphi) \overline{Y}_{l}^{m}(\theta, \varphi) \sin(\theta) d\theta d\varphi$$
 (7)

 $Y_l^m$  is the spherical harmonics of degree l and order m where  $l \geq 0$  and  $|m| \leq l$ ,  $\theta \in [0 \ \pi]$  is the polar angle from the z-axis, and  $\varphi \in [0 \ 2\pi[$  is the azimuthal angle in the xy-plane from the x-axis (figure 2). The expression of  $c_{l,m}^r|_T$  is the projection of  $\chi_T$  on  $Y_l^m$  since the spherical harmonics are a set of orthogonal functions. Recall that  $Y_l^m(\theta,\varphi)$  is defined as:

$$Y_l^m(\theta,\varphi) = k_{lm} P_l^m(\cos\theta) e^{im\varphi} \tag{8}$$

 $k_{lm}$  is a constant depending on l and m, and  $P_l^m$  is the associated Legendre Polynomial [10]. For more details about spherical harmonics see [1, 4].

The expression to be integrated is nonzero only for points in EFG, so  $c_{l,m}^r|_T$  simplifies to

$$c_{l,m}^r|_T = \int \int_T \overline{Y}_l^m(\theta, \varphi) \sin(\theta) d\theta d\varphi$$
 (9)

The harmonic coefficients  $c_{l,m}^r|_T$  are complex. They are related to each other by the following relation:

$$c_{l,-m}^{r}|_{T} = (-1)^{m} \overline{c_{l,m}^{r}|_{T}} \tag{10}$$

This allows one to do half as much work as would be otherwise necessary.

Although directly integrating the spherical harmonics over a single spherical triangle might appear simple, symbolic packages offered by Mathlab or Mathematica do not permit, as far as we know, to calculate the integration expressed in equation 9, because of the nonlinear relation between  $\theta$  and  $\varphi$  on the domain boundaries, (see [7] for more details). We therefore prefer a general numerical approach, which we now describe.

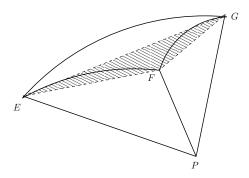


Figure 3: a spherical triangle and its corresponding euclidean triangle.

### 4 Numerical Estimation

A spherical triangle EFG bounded by geodesic arcs (and which is smaller than its complementary on the sphere) can be parametrized by the euclidean triangle sharing the same vertices (figure 3). Therefore, the radial projection from EFG to the corresponding standard triangle can be used to parametrize the integration over EFG. The function we want to integrate is:

$$\chi(\theta,\varphi)\overline{Y}_l^m(\theta,\varphi) \tag{11}$$

where  $\chi$  is 1 for each point of EFG and 0 otherwise. In fact, each point Q on the euclidean triangle EFG can be written as:

$$Q = \lambda E + \beta F + (1 - \lambda - \beta)G \qquad 0 \le \lambda \le 1 \quad 0 \le \beta \le 1 \quad 0 \le \lambda + \beta \le 1 \tag{12}$$

We can parametrize  $(\theta, \varphi)$  by  $(\lambda, \beta)$  using the conversion from the cartesian to spherical coordinates:

$$\theta(\lambda, \beta) = \arctan \frac{\sqrt{x(\lambda, \beta)^2 + y(\lambda, \beta)^2}}{z(\lambda, \beta)}$$
(13)

$$\varphi(\lambda, \beta) = \arctan \frac{y(\lambda, \beta)}{x(\lambda, \beta)}$$
 (14)

Therefore, the integration over a spherical triangle bounded by geodesic arcs becomes:

$$c_{l,m}^{r}|_{T} = \int_{0}^{1} \int_{0}^{1} \chi(\theta(\lambda,\beta),\varphi(\lambda,\beta)) \overline{Y}_{l}^{m}(\theta(\lambda,\beta),\varphi(\lambda,\beta)) \sin\theta(\lambda,\beta) J\left[\frac{\theta,\varphi}{\lambda,\beta}\right] d\lambda d\beta \tag{15}$$

where  $J\left[\frac{\theta,\varphi}{\lambda,\beta}\right]$  is the Jacobian of  $(\theta,\varphi)$  with respect to  $(\lambda,\beta)$ . Equation (15) can be evaluated using one of numerical integrations implemented in any scientific library. The examples presented in this article were evaluated using the plain Monte Carlo integration implemented in the GNU Scientific Library, GSL [2] with  $10^3$  as the size of the iteration space.

The numerical estimation has the following advantages:

- it need not partition the spherical triangle,
- it maintains implicitly the dependency between  $\theta$  and  $\varphi$ ,
- it can compute high order harmonic coefficients,

The numerical estimation described in this section can handle the case of the spherical triangle whose one boundary is not geodesic, see Figures 1(a), 1(b) and 1(c), but also spherical triangle that miss an interior part, see Figure 1(e), without splitting it into smaller ones. In fact, the integrand, in equation 15, evaluates to 0 for the points that do not lie inside the tetrahedron. The test of inside/outside the tetrahedron is what is done by the function  $\chi$ .

An overview of our method is summarized by the following algorithm:

#### Algorithm 1 overview of our algorithm

```
1: input: a triangulated mesh M, a sphere S_r with radius r and centered at P

2: output: c_{l,m}^r

3: T \leftarrow the set of triangles of M

4: H \leftarrow the set of signed tetrahedra induced by P and T

5: c_{l,m}^r \leftarrow 0

6: for all h \in H do

7: \Gamma \leftarrow the set of the spherical triangles resulting from h \cap S_r, Figure 1

8: for all t \in \Gamma do

9: c_{l,m}^r \leftarrow c_{l,m}^r + sign(h) \times c_{l,m}^r|_t, {where c_{l,m}^r|_t is evaluated using equation 15}

10: end for

11: end for

12: return c_{l,m}^r
```

# 5 Examples and Discussion

We have tested our method with different kinds of objects. Indeed, the quality of the spherical harmonics description of the object depends both on the number of spheres and on the bandwidth bw. Figure 4 and 5 show a reconstruction of different kind of models using different spheres radii and bandwidths.

To simplify the calculations, we normalize the models and translate them so that the centers of masses coincide with the origin. The spheres are chosen to be equispaced. We can exploit an interesting property offered by our decomposition. When the radius of the sphere changes and the intersection of the tetrahedron H and the sphere is always as depicted in figure 1(d) then the spherical harmonics coefficients associated to H remain the same. The source code available online does not include this optimization, since we want to make it more simple for the reader to comprehend the main algorithm.

The integrations performed over a single triangle are independent of the other triangles. Therefore the calculation can be parallelized without any overlapping problem.

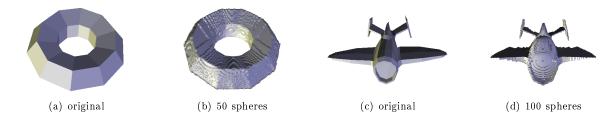


Figure 4: reconstruction of a polygonized torus and aircraft using bw = 64

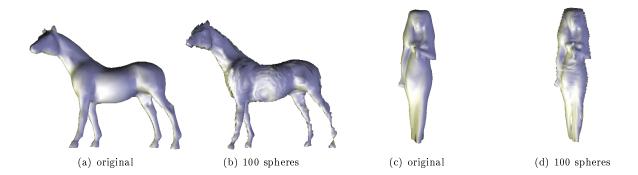


Figure 5: reconstruction of a horse and Isis statue using bw = 64

# Acknowledgement

Special thanks to the reviewers of JGT for their help and support to improve the appearance and structure of this paper. In fact, they provide us with valuable comments and suggestions.

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